#### Programming and Proving with Higher Inductive Types

#### Dan Licata

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#### Constructive Type Theory [Martin-Löf]

Three senses of constructivity:

## Constructive Type Theory [Martin-Löf]

Three senses of constructivity:

\* Non-affirmation of certain classical principles provides axiomatic freedom

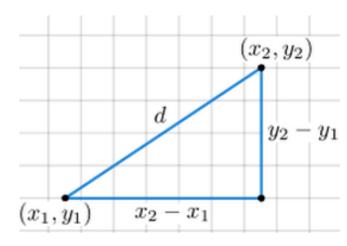
#### **Euclid's postulates**

- 1. To draw a straight line from any point to any point.
- 2. To produce a finite straight line continuously in a straight line.
- 3. To describe a circle with any center and distance.
- 4. That all right angles are equal to one another.
- 5. That, if a straight line falling on two straight lines make the interior angles on the same side less than to right angles, the two straight lines, if produced indefinitely, meet on that side on which are the angles less than the to right angles.

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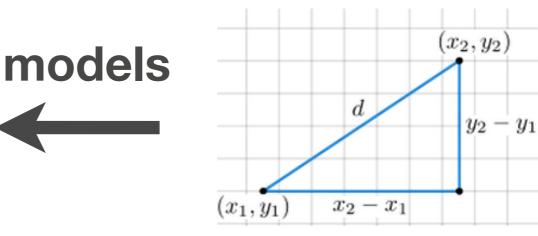
#### Cartesian



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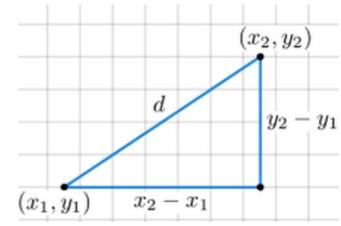
## models $(x_1, y_1)$ $x_2 - x_1$

#### **Euclid's postulates**

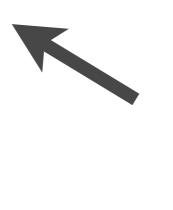
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## models

#### Cartesian



**Spherical** 



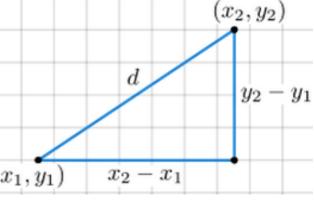


#### **Euclid's postulates**

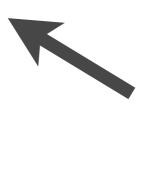
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- 3. To describe a circle with any center and distance.
- 4. That all right angles are equal to one another.
- 5. Two distinct lines meet at two antipodal points.

#### Cartesian





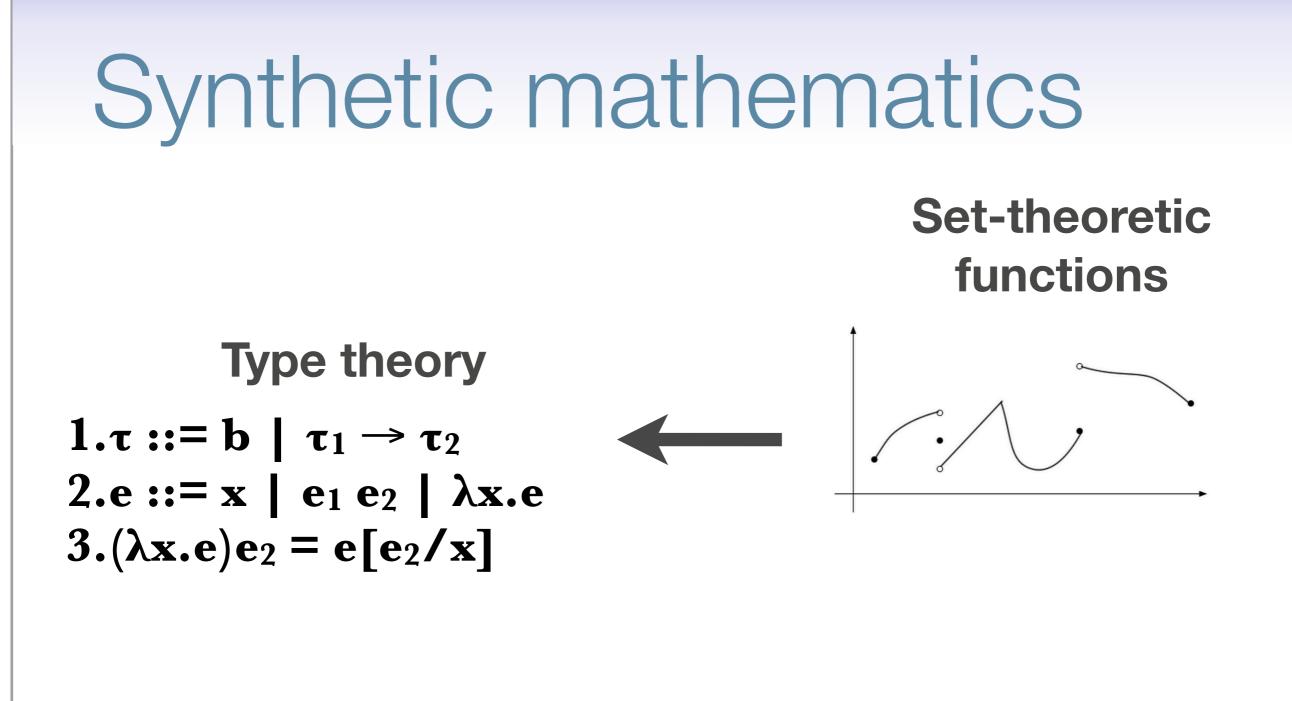
**Spherical** 

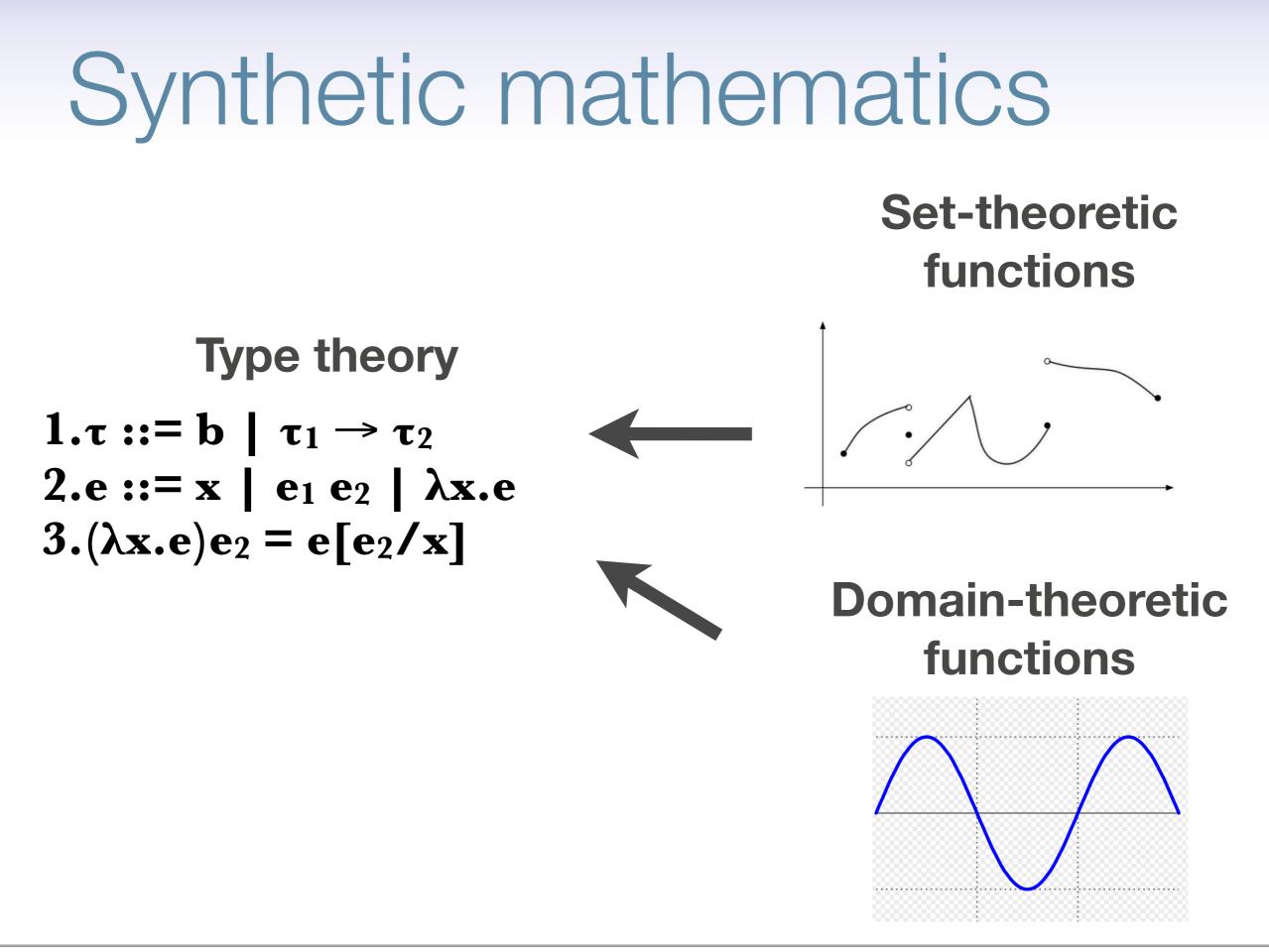


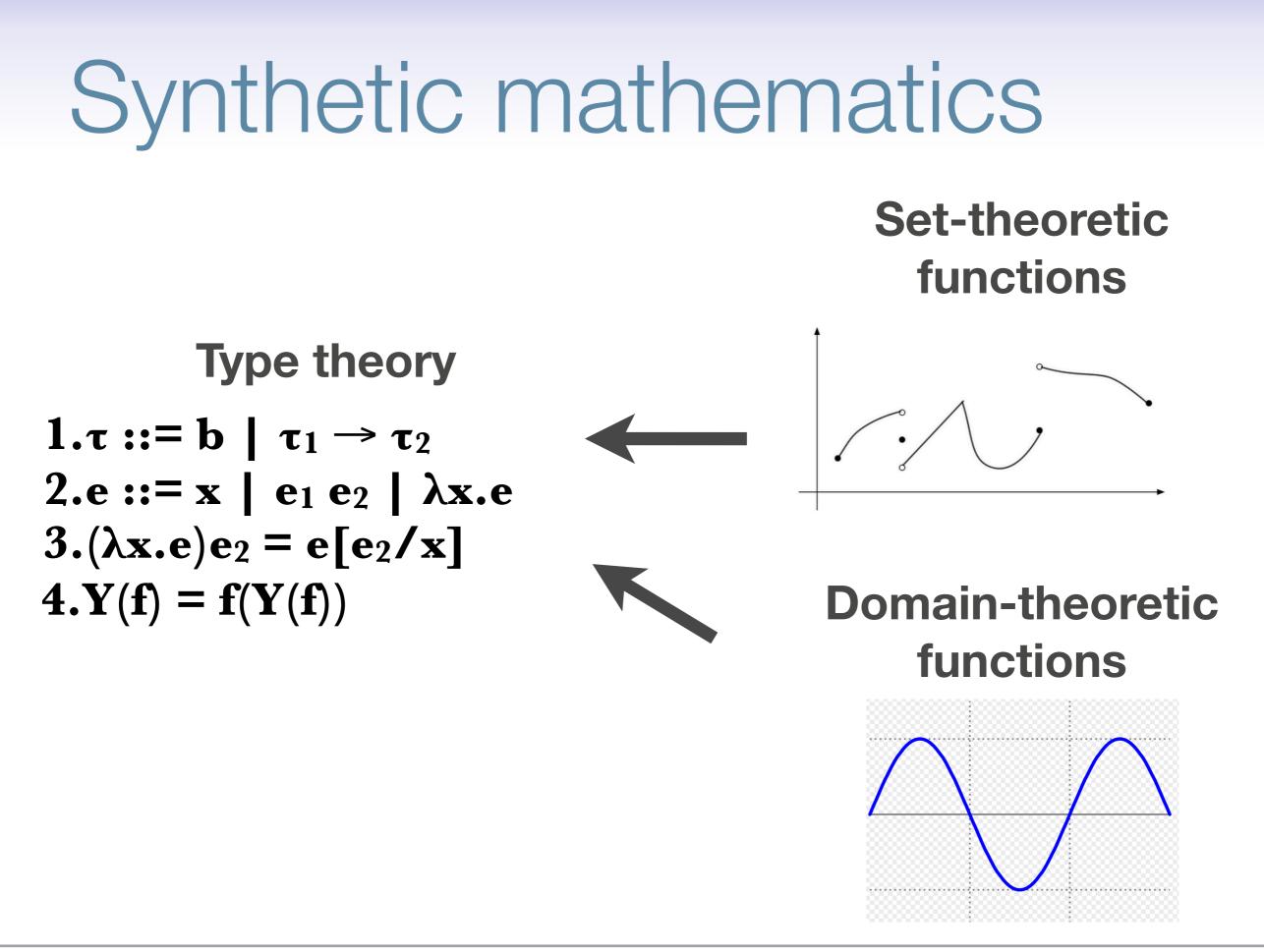


## Synthetic mathematics

Type theory  $1.\tau ::= b \mid \tau_1 \rightarrow \tau_2$   $2.e ::= x \mid e_1 e_2 \mid \lambda x.e$  $3.(\lambda x.e)e_2 = e[e_2/x]$ 







Three senses of constructivity:

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**Computational interpretation** supports software verification and proof automation

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\* Basis for software verification and proof automation

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\* Props-as-types allows proof-relevant mathematics

#### x : A

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#### $x =_A y$ equality type

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#### $p : x =_A y$ equality type

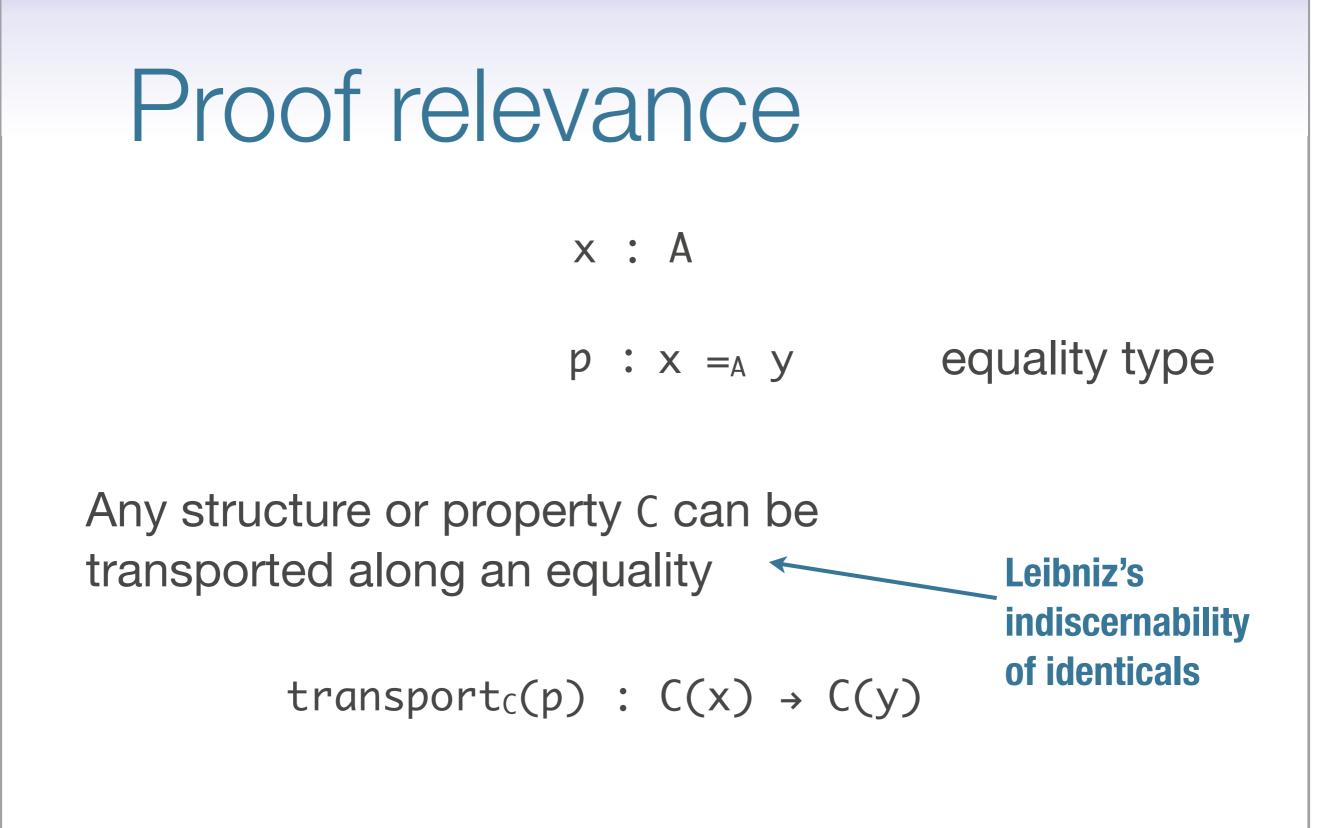
# Proof relevancex : A $p : x =_A y$ equality type

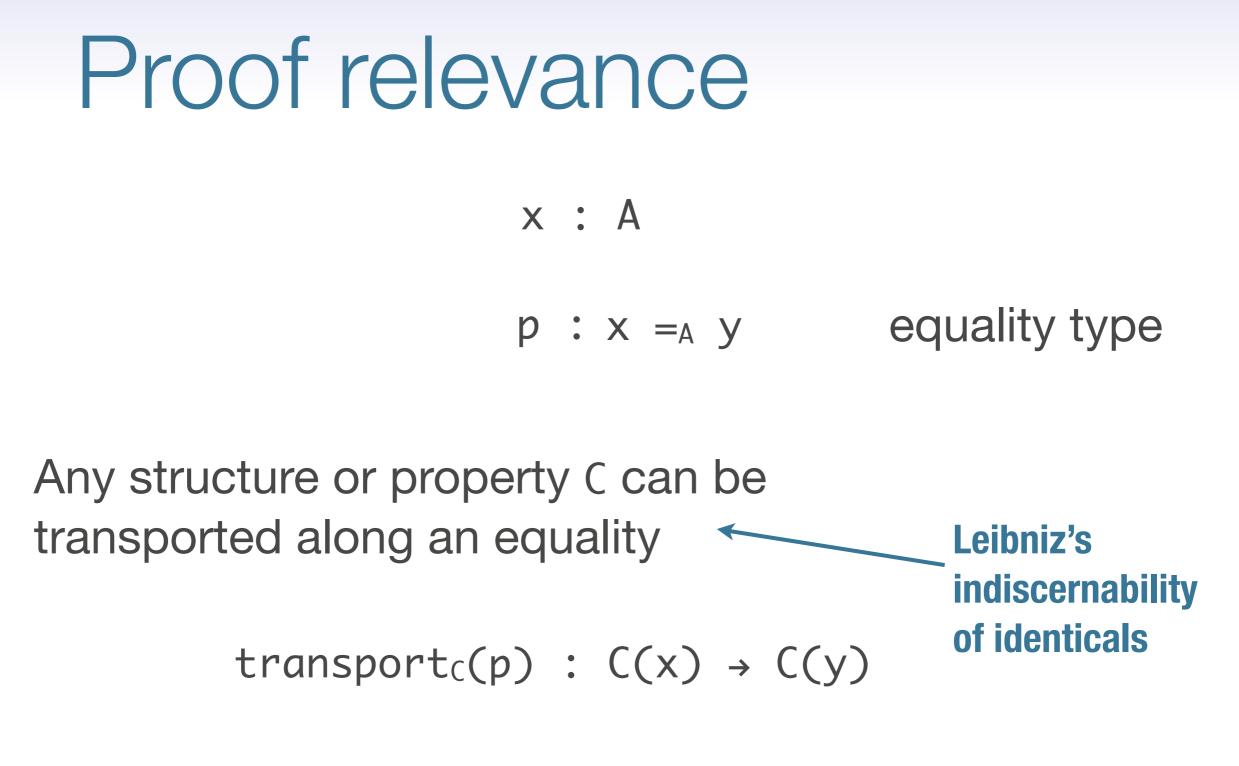
Any structure or property C can be transported along an equality

# Proof relevancex : Ap : x = A yequality type

Any structure or property C can be transported along an equality

transport<sub>C</sub>(p) : C(x)  $\rightarrow$  C(y)





by a function: can it do real work?

#### x : A

#### $p : x =_A y$ equality type

#### x : A

 $p : x =_A y$  equality type

 $p_1 =_{x=y} p_2$ 

#### x : A

 $p : x =_A y$  equality type

 $q : p_1 =_{x=y} p_2$ 

#### x : A

 $p : x =_A y$  equality type

 $q : p_1 =_{x=y} p_2$ 

**q**<sub>1</sub> =<sub>p1=p2</sub> **q**<sub>2</sub>

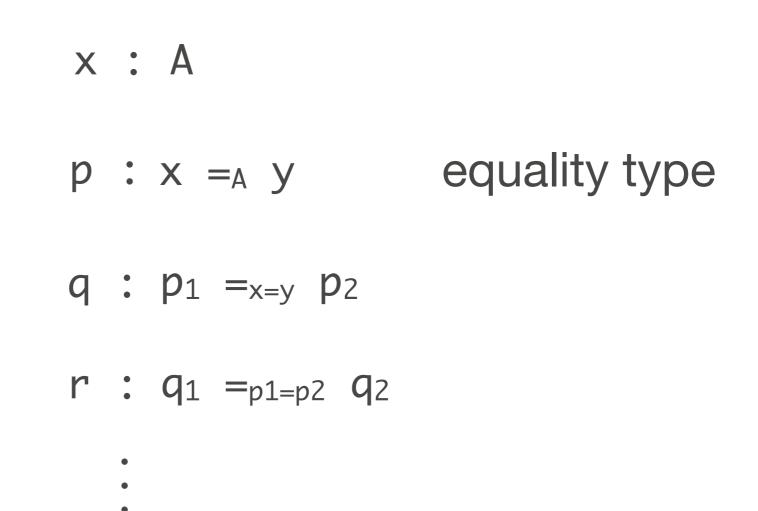
#### x : A

 $p : x =_A y$  equality type

 $q : p_1 =_{x=y} p_2$ 

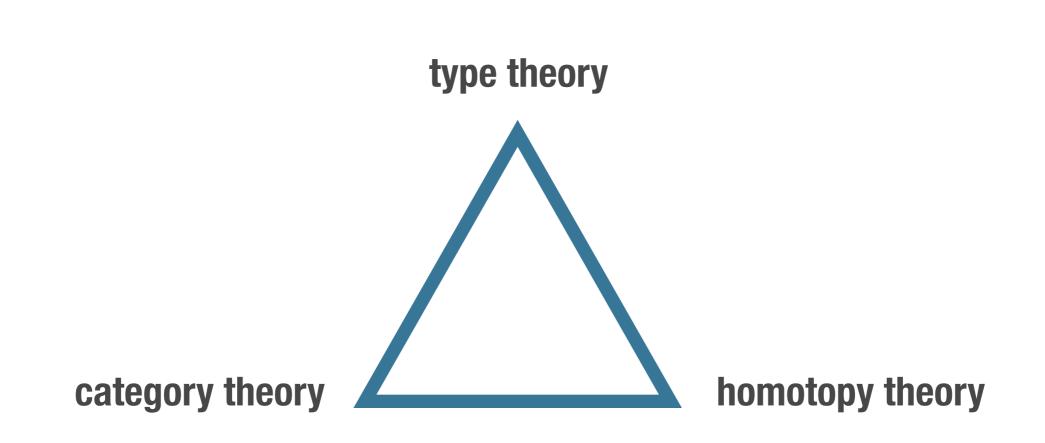
 $r: q_1 =_{p_1=p_2} q_2$ 



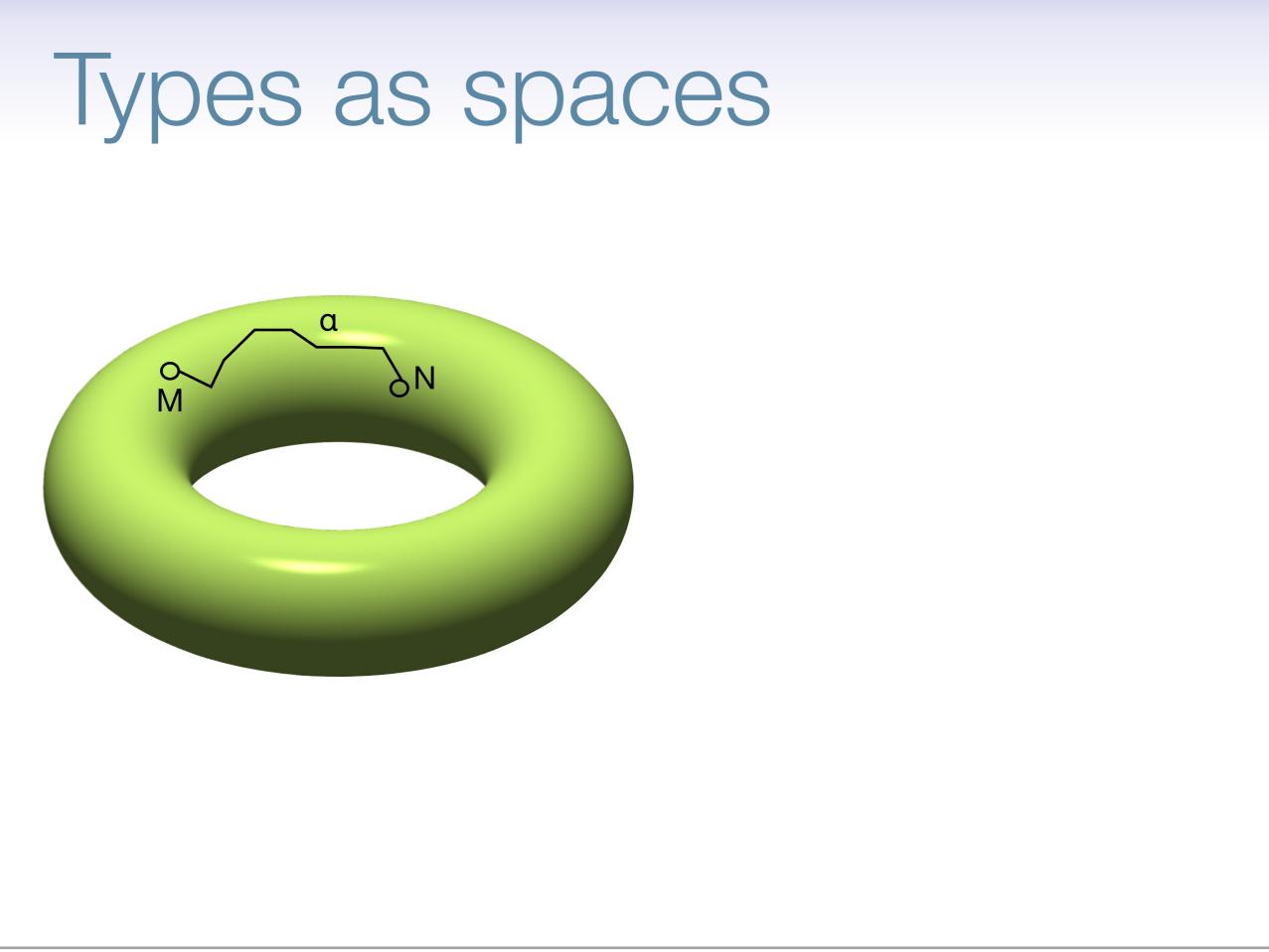


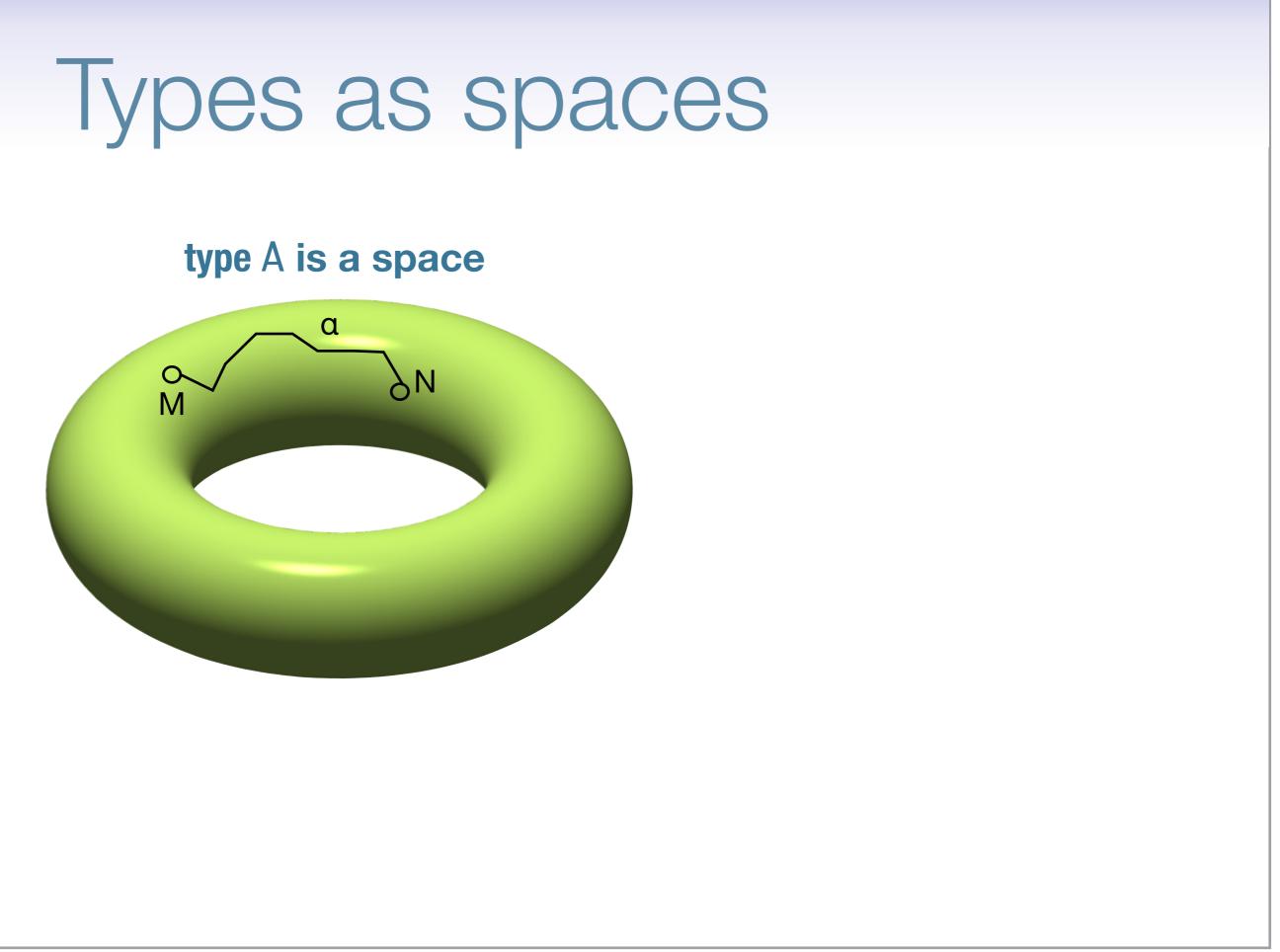
higher equalities radically expand the kind of math that can be done synthetically...

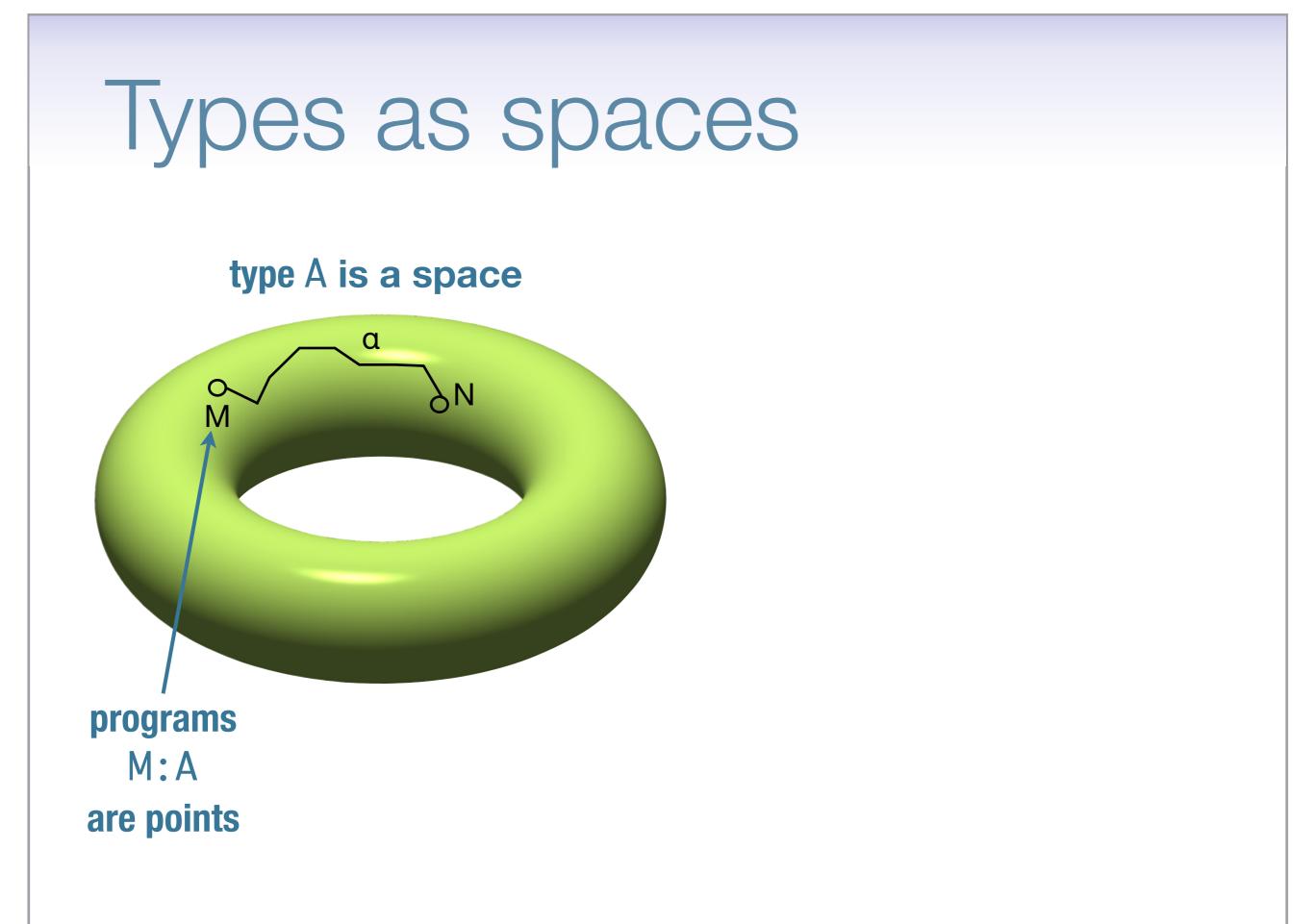


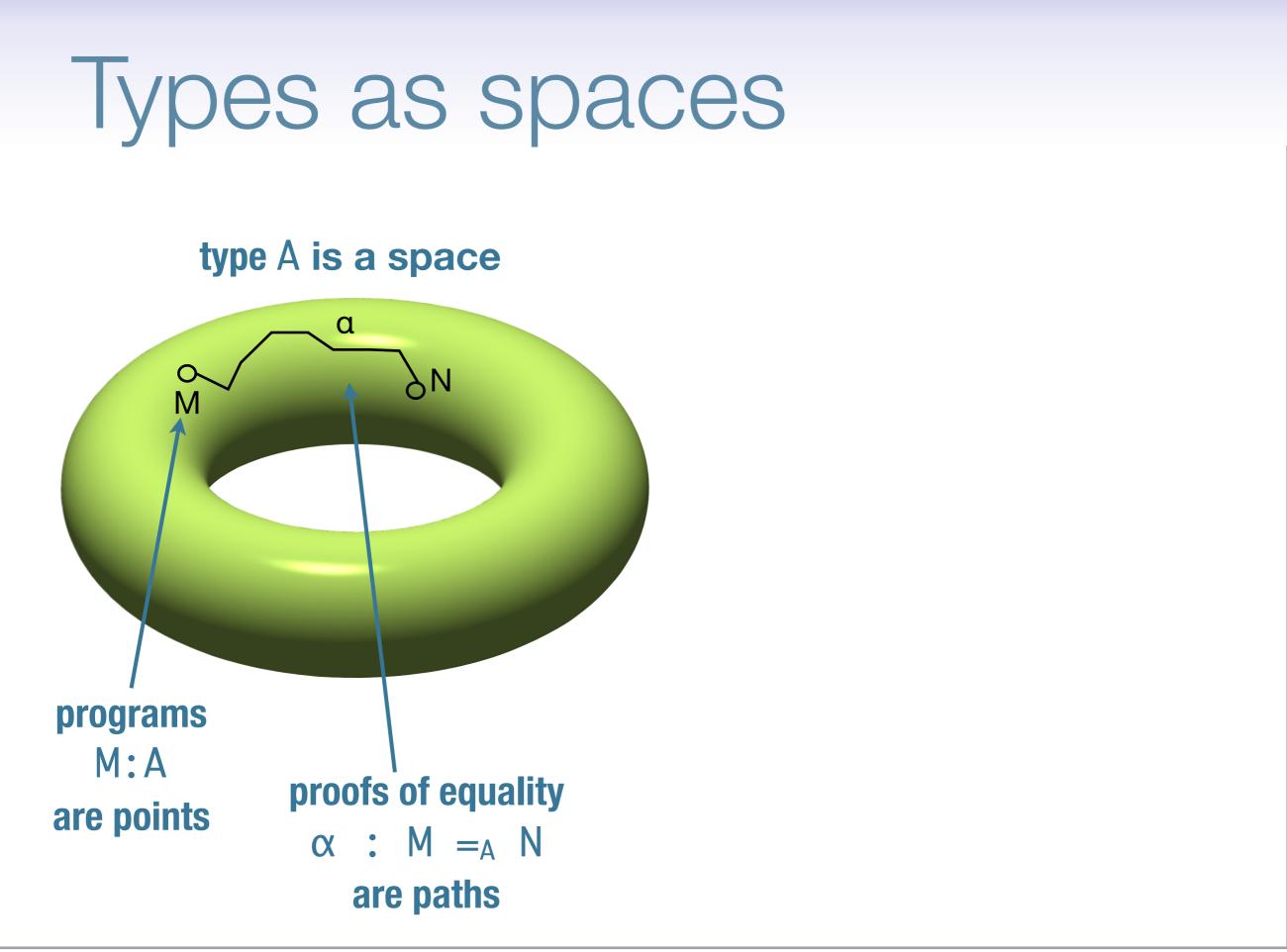


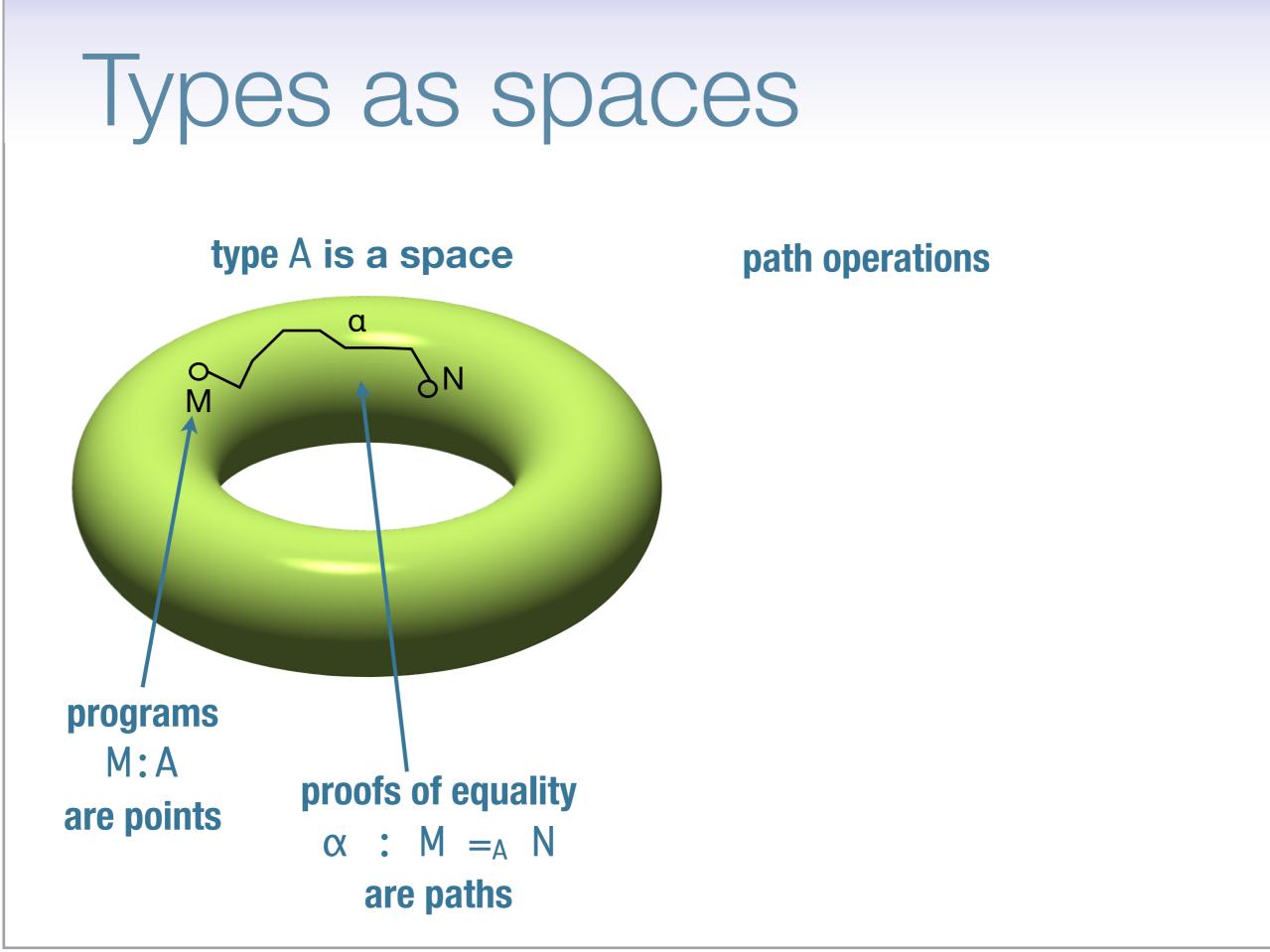
[Hofmann,Streicher,Awodey,Warren,Voevodsky Lumsdaine,Gambino,Garner,van den Berg]

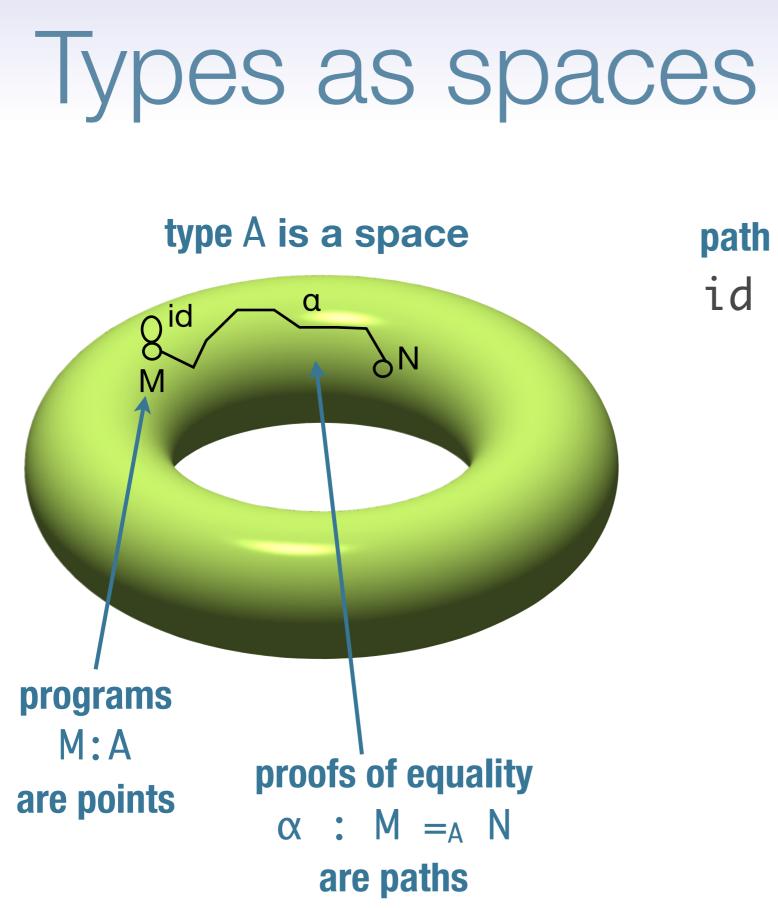








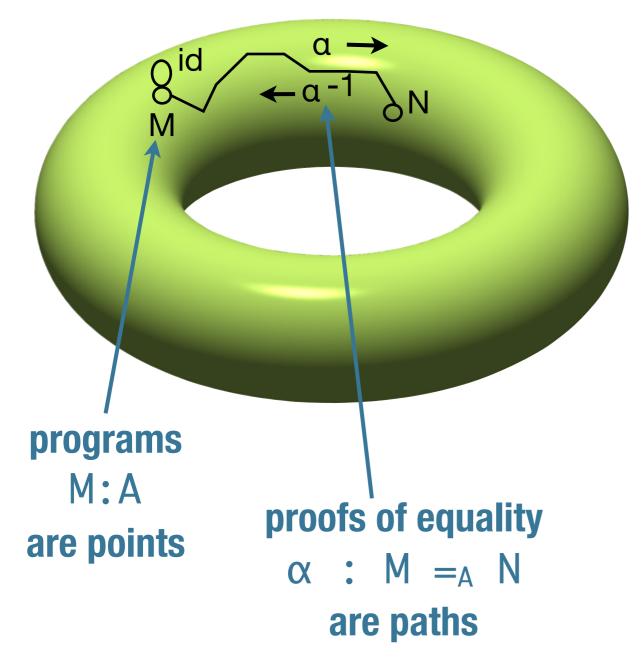




# path operations id : M = M (refl)

### Types as spaces

#### type A is a space

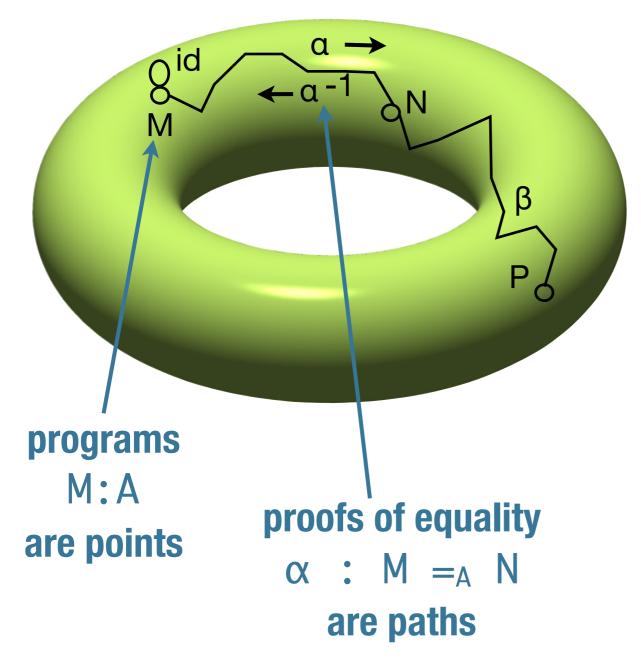


# path operations id : M = M (refl)

 $\alpha^{-1}$  : N = M (sym)

### Types as spaces

#### type A is a space



#### path operations

id		•	Μ	=	Μ	(refl)
α-1		•	Ν	=	Μ	(sym)
βο	α	•	Μ	=	Ρ	(trans)

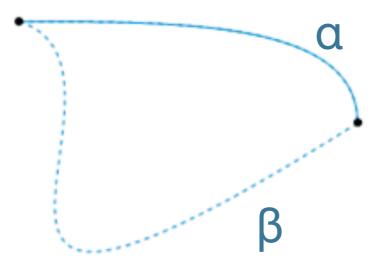
Homotopy

#### Deformation of one path into another

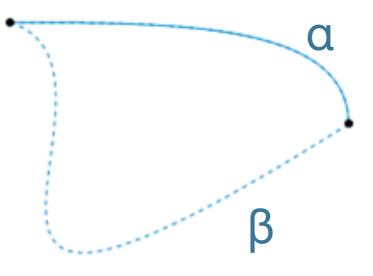
α

β

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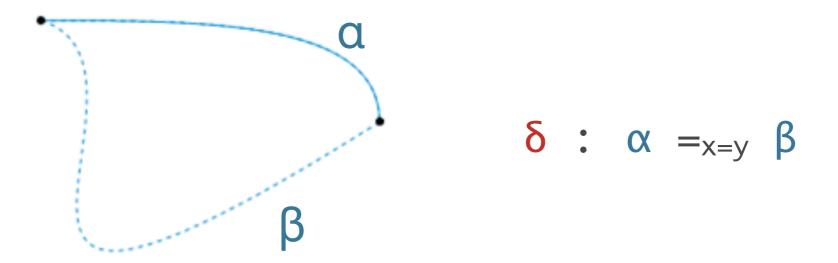


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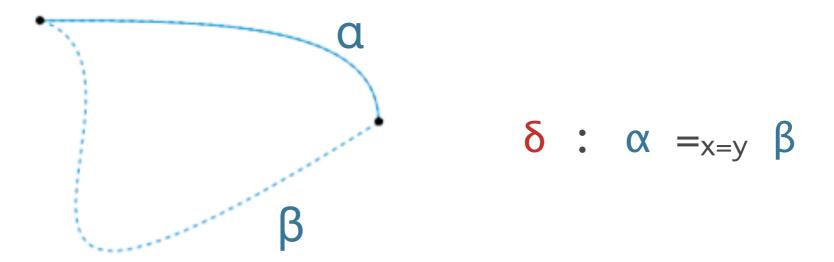
= 2-dimensional path between paths

### Deformation of one path into another



= 2-dimensional *path* between *paths* 

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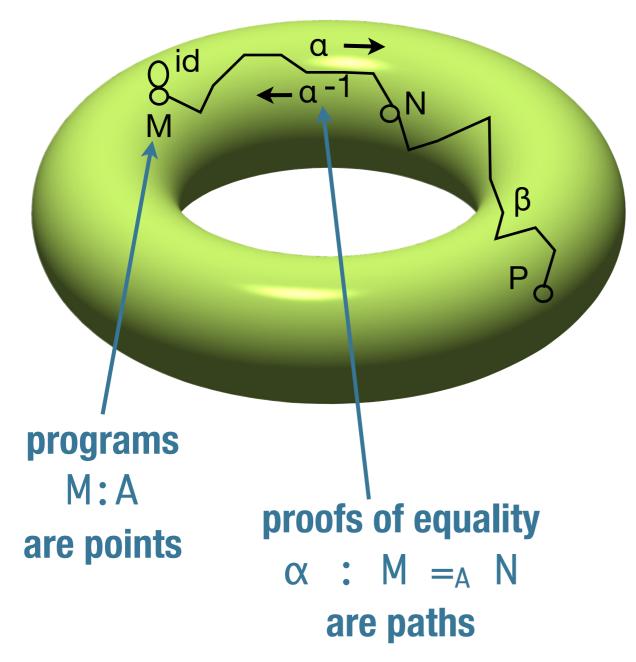


= 2-dimensional path between paths

Then homotopies between homotopies ....

### Types as spaces

#### type A is a space

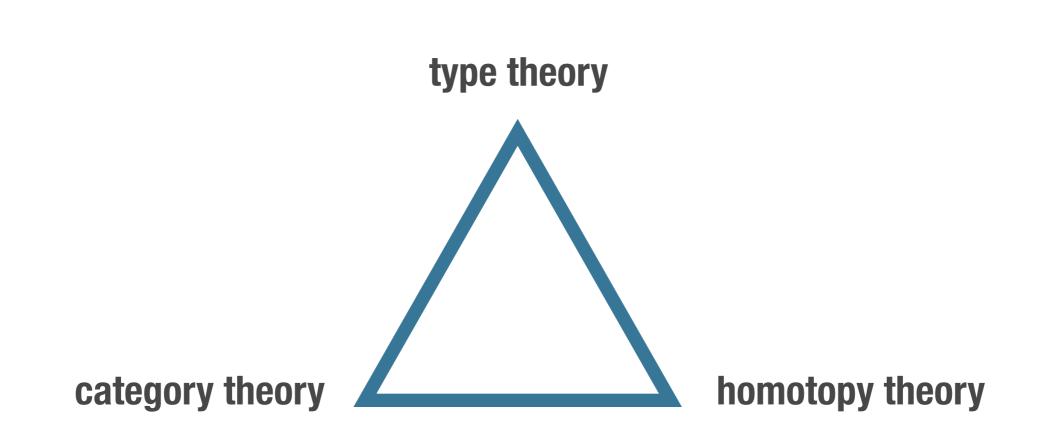


#### path operations

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### homotopies ul : id o $\alpha =_{M=N} \alpha$ il : $\alpha^{-1} \circ \alpha =_{M=M}$ id asc : $\gamma \circ (\beta \circ \alpha)$ $=_{M=P} (\gamma \circ \beta) \circ \alpha$





[Hofmann,Streicher,Awodey,Warren,Voevodsky Lumsdaine,Gambino,Garner,van den Berg]

# Types as ∞-groupoids

#### type A is an ∞-groupoid

\* infinite-dimensional algebraic structure, with morphisms, morphisms between morphisms, ...

\* each level has a
groupoid structure,
and they interact

#### morphisms

id		•	Μ	=	Μ	(refl)
<b>x</b> <sup>-1</sup>		•	Ν	=	Μ	(sym)
βο	α	•	Μ	=	Ρ	(trans)

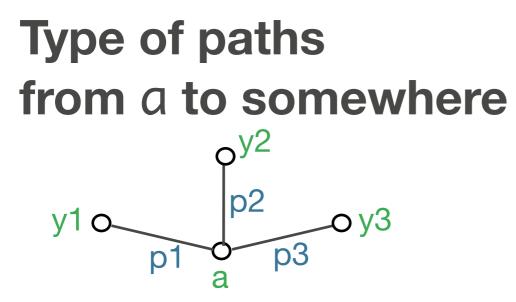
### morphisms between morphisms ul : id o $\alpha =_{M=N} \alpha$ il : $\alpha^{-1} \circ \alpha =_{M=M} id$ asc : $\gamma \circ (\beta \circ \alpha)$ $=_{M=P} (\gamma \circ \beta) \circ \alpha$

# Path induction

Type of paths from a to somewhere  $y_{10}^{y_{2}^{$  is inductively generated by

8<sup>id</sup>

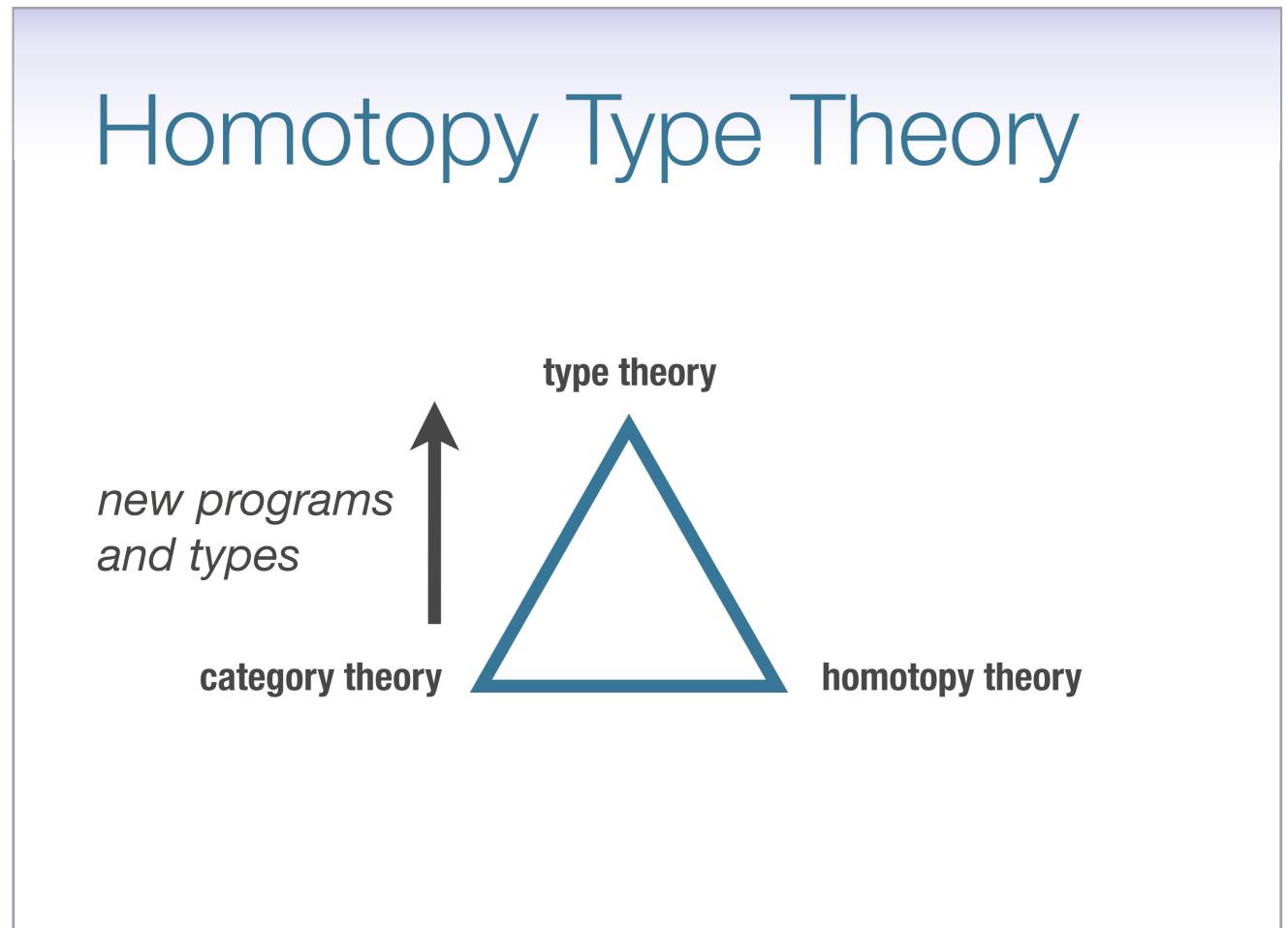
# Path induction



is inductively generated by

8<sup>id</sup>

# Type theory is a synthetic theory of spaces/∞-groupoids



*\* Equivalence of types* is a generalization to spaces of bijection of sets

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# Univalence axiom: equality of types (A =Type B) is (equivalent to) equivalence of types (Equiv A B)

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\* .: all structures/properties respect equivalence

\* Not by collapsing equivalence, but by exploiting proof-relevant equality: transport does real work

# Higher inductive types

[Bauer,Lumsdaine,Shulman,Warren]

New way of forming types:

Inductive type specified by generators not only for points (elements), but also for paths

Constructivity

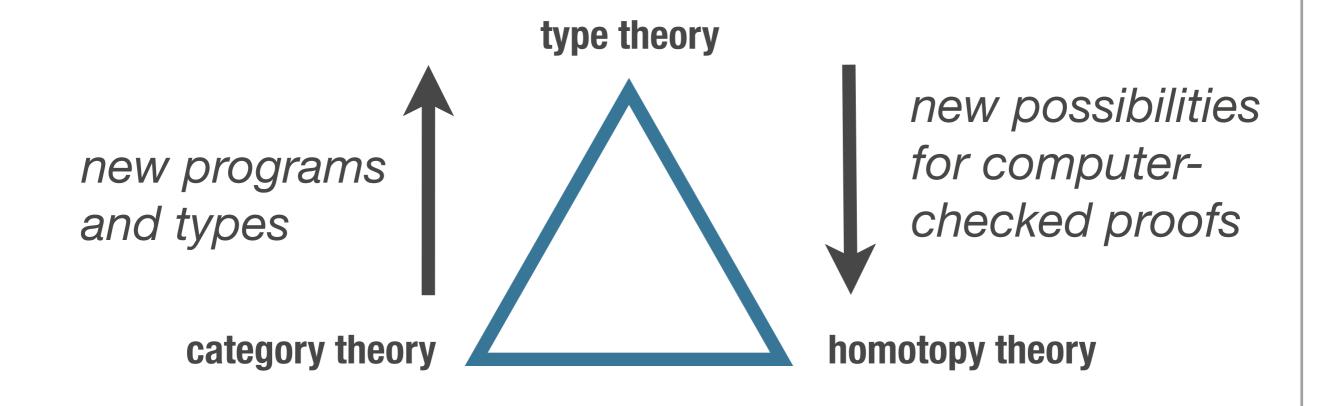
\* Non-affirmation of classical principles

Computational interpretation

\* Proof-relevant mathematics

7

# Homotopy Type Theory



### Outline

1.Certified homotopy theory

2.Certified software

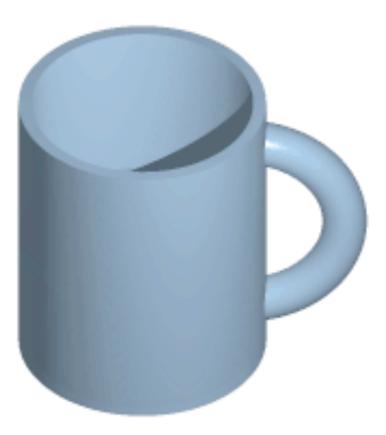
### Outline

### **1.Certified homotopy theory**

2.Certified software

# Homotopy Theory

### A branch of topology, the study of spaces and continuous deformations



[image from wikipedia]

# Homotopy in HoTT

 $\pi_1(S^1) = \mathbb{Z}$  $\pi_{k < n}(S^n) = 0$ Hopf fibration  $\pi_2(S^2) = \mathbb{Z}$  $\pi_3(S^2) = \mathbb{Z}$ James Construction  $\pi_4(S^3) = \mathbb{Z}_?$ 

Freudenthal

 $\pi_n(S^n) = \mathbb{Z}$ 

K(G,n)

Cohomology axioms

**Blakers-Massey** 

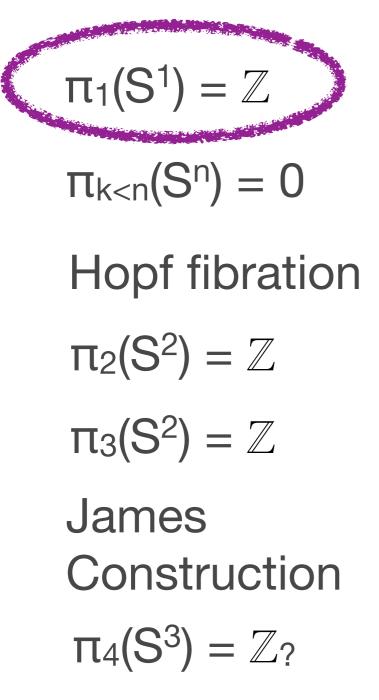
Van Kampen

**Covering spaces** 

Whitehead for n-types

### [Brunerie, Finster, Hou, Licata, Lumsdaine, Shulman]

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### [Brunerie, Finster, Hou, Licata, Lumsdaine, Shulman]

# Homotopy Groups

Homotopy groups of a space X:

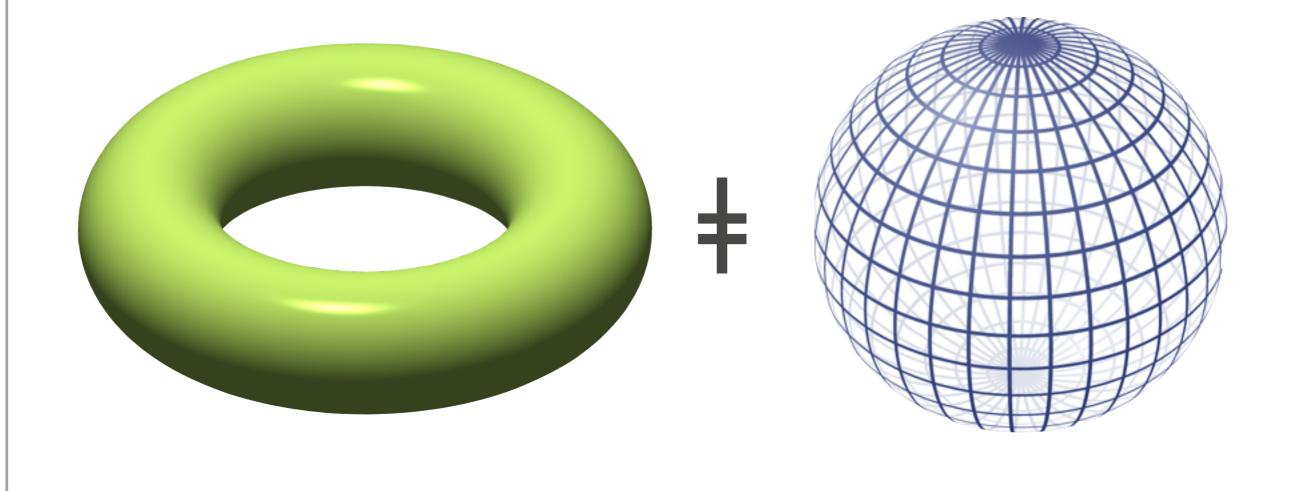
 $\pi_1(X)$  is fundamental group (group of loops)

 $\pi_2(X)$  is group of homotopies (2-dimensional loops)

\* π<sub>3</sub>(X) is group of 3-dimensional loops



### Telling spaces apart



## Telling spaces apart

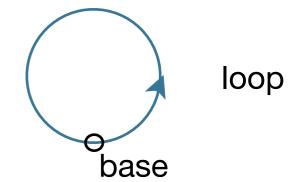
### =

# fundamental group is non-trivial ( $\mathbb{Z} \times \mathbb{Z}$ )

fundamental group is trivial

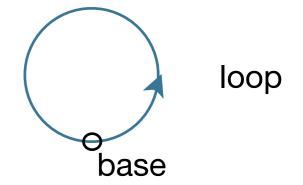
### The Circle

# Circle S<sup>1</sup> is a **higher inductive type** generated by



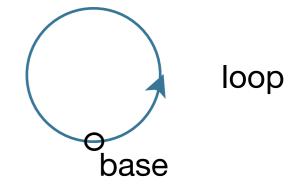
Circle S<sup>1</sup> is a **higher inductive type** generated by

base : S<sup>1</sup>
loop : base = base



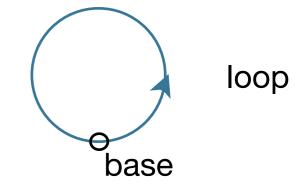
Circle S<sup>1</sup> is a **higher inductive type** generated by

point base : S<sup>1</sup>
loop : base = base



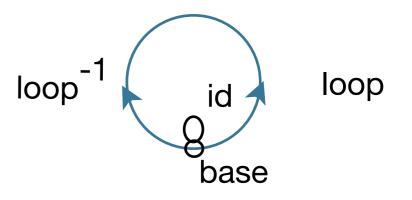
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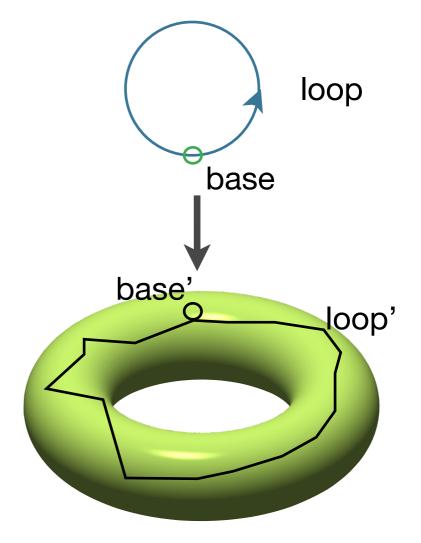


Free type: equipped with structure

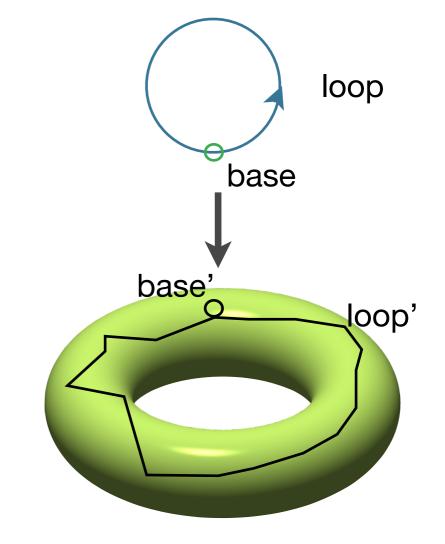
id inv : loop o loop<sup>-1</sup> = id loop<sup>-1</sup> ... loop o loop

Circle recursion: function  $S^1 \rightarrow X$  determined by

base' : X
loop' : base' = base'

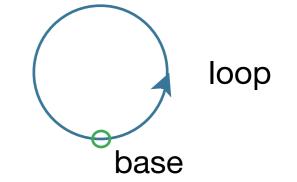


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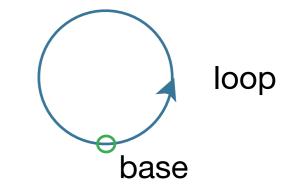


**Circle induction:** To prove a predicate P for all points on the circle, suffices to prove P(base), continuously in the loop

How many different loops are there on the circle, up to homotopy?

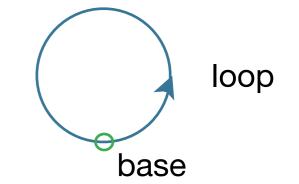


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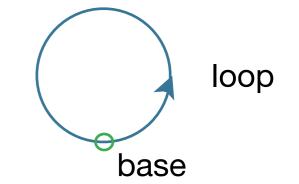
id

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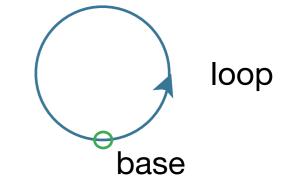
id loop

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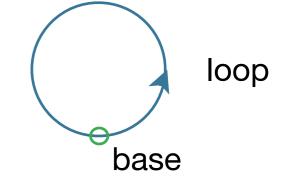
id loop loop<sup>-1</sup>

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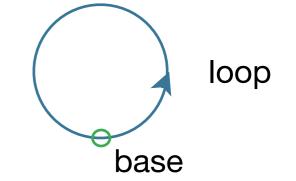
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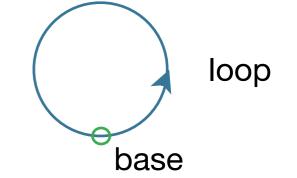
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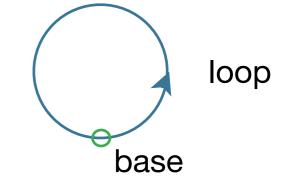
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id loop loop<sup>-1</sup> loop o loop loop<sup>-1</sup> o loop<sup>-1</sup> loop o loop<sup>-1</sup> = id

How many different loops are there on the circle, up to homotopy?



id loop loop<sup>-1</sup> loop o loop loop<sup>-1</sup> o loop<sup>-1</sup> loop o loop<sup>-1</sup> = id

0

loop

base

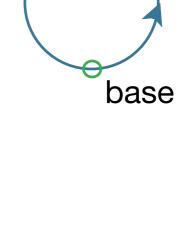
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Ω

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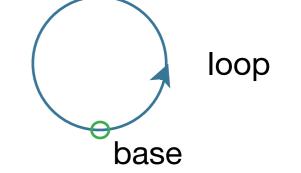
id loop loop<sup>-1</sup> loop o loop loop<sup>-1</sup> o loop<sup>-1</sup> loop o loop<sup>-1</sup> = id



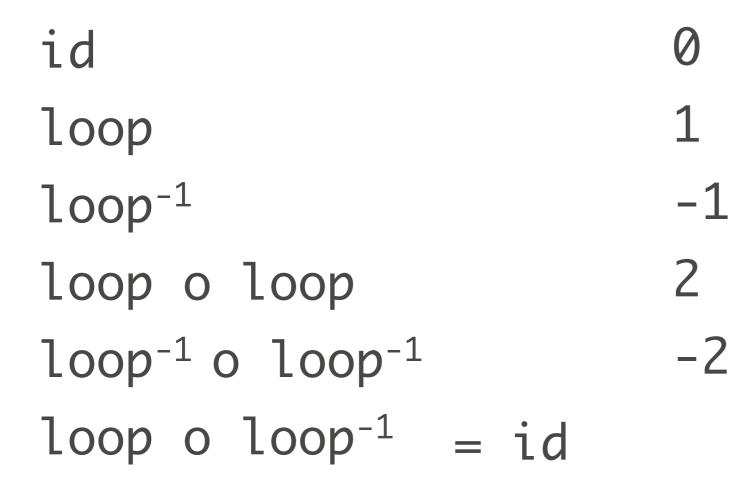
loop

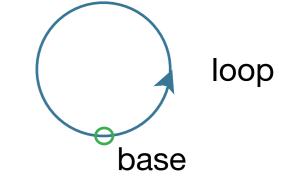
How many different loops are there on the circle, up to homotopy?

id 0loop 1 loop<sup>-1</sup> -1 loop o loop 2 loop<sup>-1</sup> o loop<sup>-1</sup> loop o loop<sup>-1</sup> = id

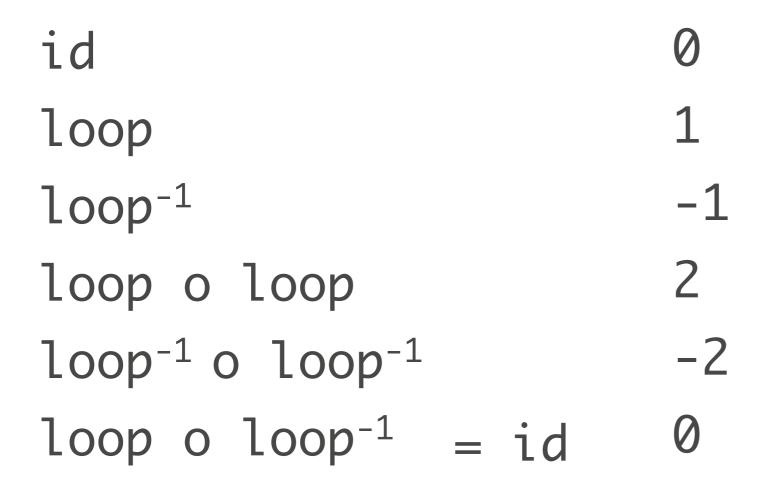


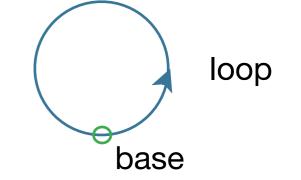
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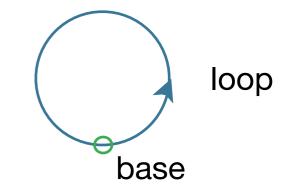
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How many different loops are there on the circle, up to homotopy?

id 0
loop 1
loop^{-1} -1
loop 0 loop 2
loop^{-1} 0 loop^{-1} -2
loop 0 loop^{-1} = id 0



integers are "codes" for paths on the circle

**Definition.**  $\Omega(S^1)$  is the **type** of loops at base i.e. the type (base =<sub>S1</sub> base)

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**Theorem.**  $\Omega(S^1)$  is equivalent to  $\mathbb{Z}$ , by a map that sends 0 to +

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**Corollary:** Fundamental group of the circle is isomorphic to  $\mathbb{Z}$ 

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Corollary: Fundamental group of the circle is isomorphic to  $\mathbb{Z}$ 0-truncation (set of connected components) of  $\Omega(S^1)$ 

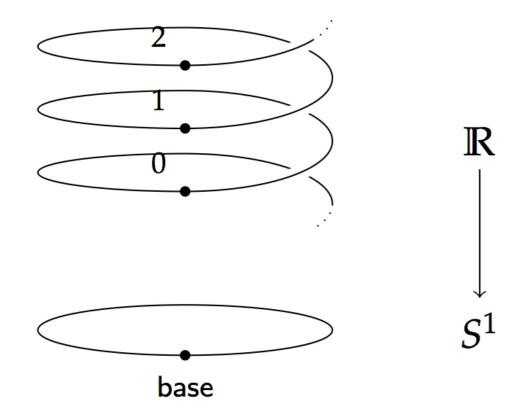
**Theorem.**  $\Omega(S^1)$  is equivalent to  $\mathbb{Z}$ **Proof (Shulman, L.):** two mutually inverse functions

wind :  $\Omega(S^1) \rightarrow \mathbb{Z}$ 

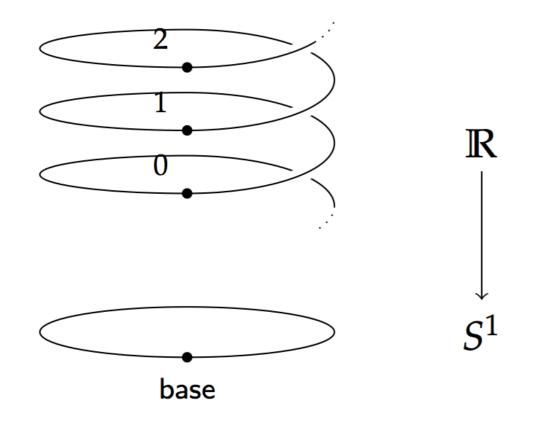
 $loop^{-}$ :  $\mathbb{Z} \rightarrow \Omega(S^{1})$ 

**Theorem.**  $\Omega(S^1)$  is equivalent to  $\mathbb{Z}$ **Proof (Shulman, L.):** two mutually inverse functions

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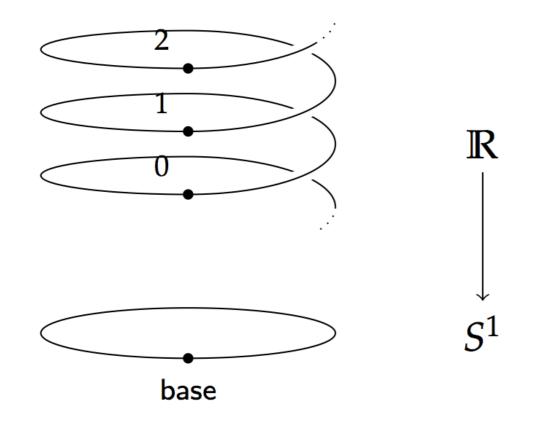


wind :  $\Omega(S^1) \rightarrow \mathbb{Z}$ defined by **lifting** a loop to the cover, and giving the other endpoint of 0



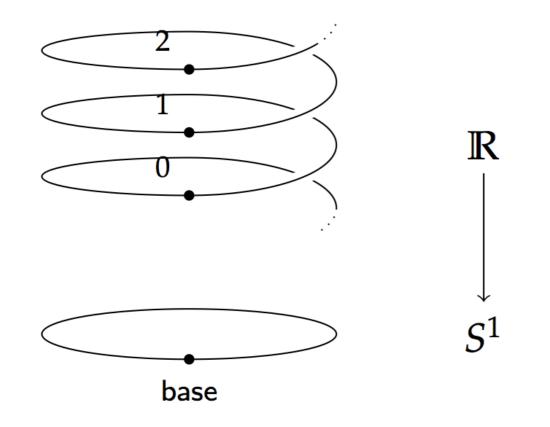
#### lifting is functorial

wind :  $\Omega(S^1) \rightarrow \mathbb{Z}$ defined by **lifting** a loop to the cover, and giving the other endpoint of 0



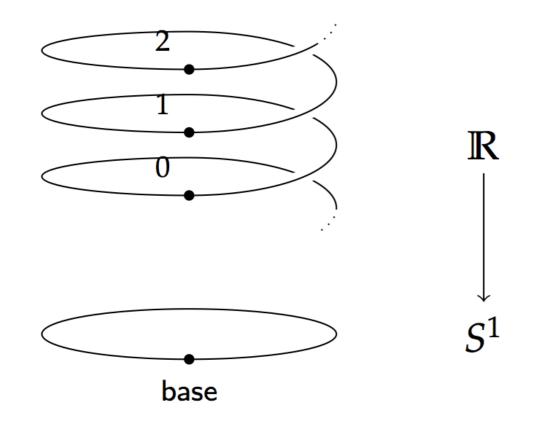
wind :  $\Omega(S^1) \rightarrow \mathbb{Z}$ defined by **lifting** a loop to the cover, and giving the other endpoint of 0

lifting is functorial lifting loop adds 1



wind :  $\Omega(S^1) \rightarrow \mathbb{Z}$ defined by **lifting** a loop to the cover, and giving the other endpoint of 0

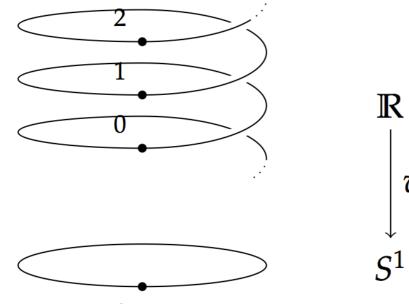
lifting is functorial lifting loop adds 1 lifting loop<sup>-1</sup> subtracts 1



lifting is functorial lifting loop adds 1 lifting loop<sup>-1</sup> subtracts 1 wind :  $\Omega(S^1) \rightarrow \mathbb{Z}$ defined by **lifting** a loop to the cover, and giving the other endpoint of 0

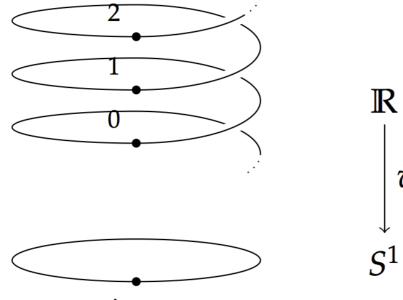
#### Example: wind(loop o loop<sup>-1</sup>) = 0 + 1 - 1 = 0

W



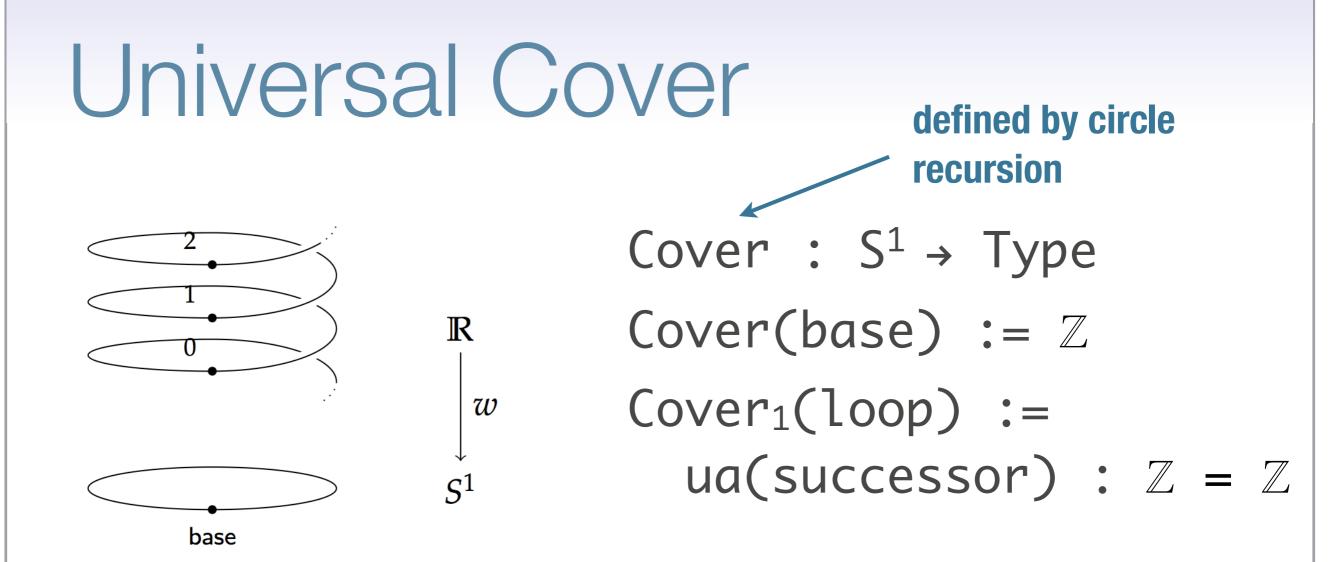
base

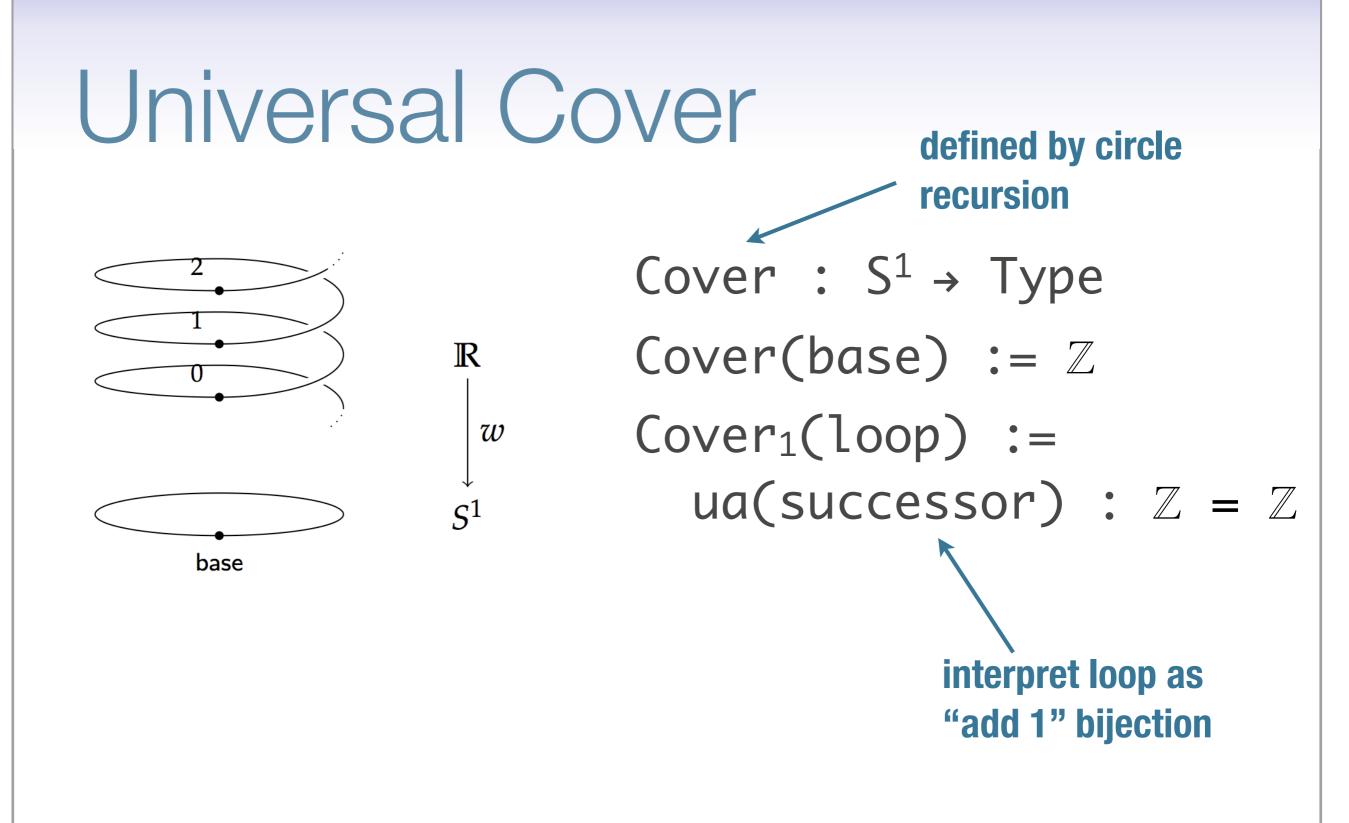
W

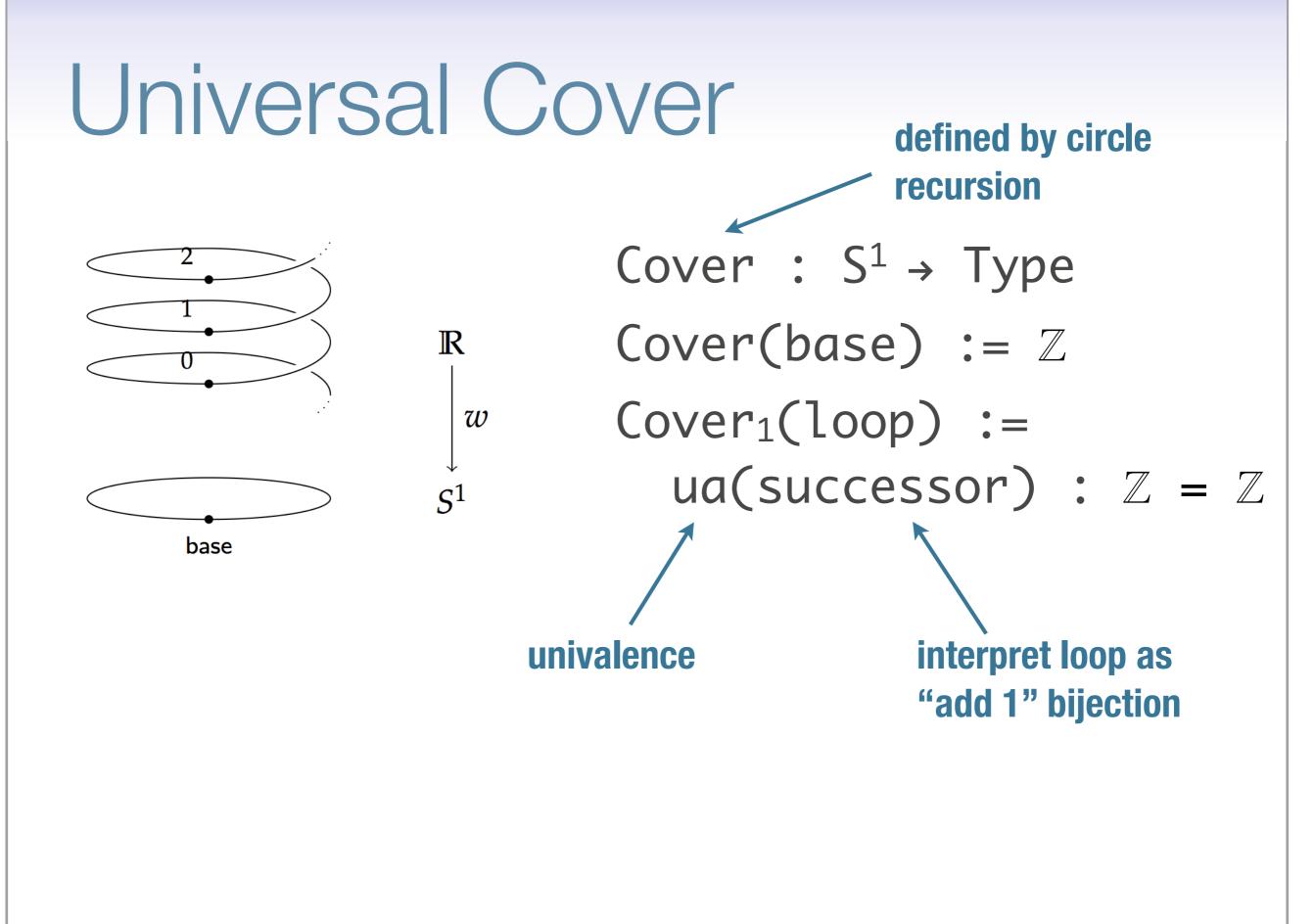


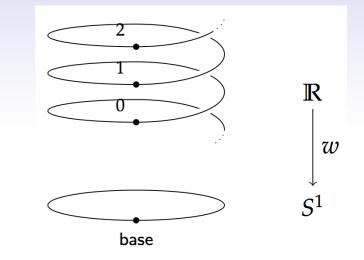
base

Cover :  $S^1 \rightarrow Type$ Cover(base) :=  $\mathbb{Z}$ Cover<sub>1</sub>(loop) := ua(successor) :  $\mathbb{Z} = \mathbb{Z}$ 



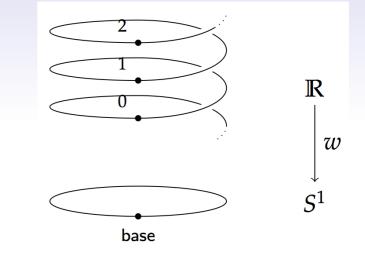






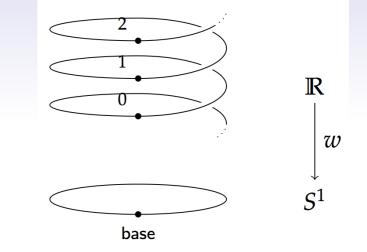
#### wind : $\Omega(S^1) \rightarrow \mathbb{Z}$ wind(p) = transport<sub>Cover</sub>(p,0)

lift p to cover, starting at 0



wind :  $\Omega(S^1) \rightarrow \mathbb{Z}$ wind(p) = transport<sub>Cover</sub>(p,0)

lift p to cover, starting at 0

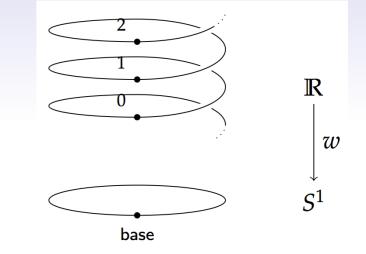


wind :  $\Omega(S^1) \rightarrow \mathbb{Z}$ wind(p) = transport<sub>Cover</sub>(p,0)

lift p to cover, starting at 0

wind(loop<sup>-1</sup> o loop)

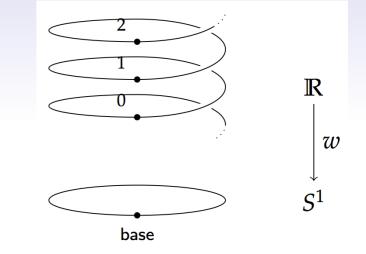
= transport<sub>Cover</sub>(loop<sup>-1</sup> o loop, 0)



wind :  $\Omega(S^1) \rightarrow \mathbb{Z}$ wind(p) = transport<sub>Cover</sub>(p,0)

lift p to cover, starting at 0

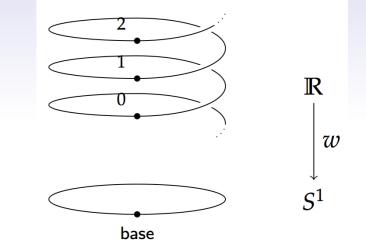
- = transport<sub>Cover</sub>(loop<sup>-1</sup> o loop, 0)
- = transport<sub>Cover</sub>(loop<sup>-1</sup>, transport<sub>Cover</sub>(loop,0))



wind :  $\Omega(S^1) \rightarrow \mathbb{Z}$ wind(p) = transport<sub>Cover</sub>(p,0)



- = transport<sub>Cover</sub>(loop<sup>-1</sup> o loop, 0)
- = transport<sub>Cover</sub>(loop<sup>-1</sup>, transport<sub>Cover</sub>(loop,0))
- = transport<sub>Cover</sub>(loop<sup>-1</sup>, 1)



wind :  $\Omega(S^1) \rightarrow \mathbb{Z}$ wind(p) = transport<sub>Cover</sub>(p,0)



- = transport<sub>Cover</sub>(loop<sup>-1</sup> o loop, 0)
- = transport<sub>Cover</sub>(loop<sup>-1</sup>, transport<sub>Cover</sub>(loop,0))
- = transport<sub>Cover</sub>(loop<sup>-1</sup>, 1)
- = 0

#### **Fundamental group of the circle**

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#### The HoTT book

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#### 7.2 SOME BASIC HOMOTOPY GROUPS

#### 7.2.1.1 Encode/decode proof

By definition,  $\Omega(S^1)$  is base —y: base. If we attempt to prove that  $\Omega(S^1)={\bf Z}$  by directly constructing an equivalence, we will get stuck, because type theory gives you little lever-age for working with loops. Instead, we generalize the theorem statement to the path ibration, and analyze the whole fibration

 $P(x:S^1) := (base =_{x^2} x)$ 

with one end-point free.

We show that P(x) is equal to another fibration, which gives a more explicit descrip tion of the paths-we call this other fibration "codes", because its elements are data that act as codes for paths on the circle. In this case, the codes fibration is the universal cover of the circle.

Definition 7.3.1 (Universal Cover of S<sup>3</sup>). Define code(x + S<sup>3</sup>) + U by circle-recursion, with

#### code(base) := Z code (loop) :== ua(succ)

where succ is the equivalence  $\mathbf{Z}\simeq \mathbf{Z}$  given by adding one, which by univalence determines a path from Z to Z in U.

To define a function by circle recursion, we need to find a point and a loop in the target. In this case, the target is I/, and the point we choose is Z, corresponding to our expectation that the fiber of the universal cover should be the integers. The loop we choose is the successor/predecessor isomorphism on Z, which corresponds to the fact that going around the loop in the base goes up one level on the helix. Univalence is necessary for this part of the proof, because we need a non-tritical equivalence on Z.

From this definition, it is simple to calculate that transporting with code takes loop to the successor function, and loss-1 to the predecessor function;

Lemma 7.2.2. transport<sup>code</sup>(loop, x) = x + 1 and transport<sup>code</sup>(loop<sup>-1</sup>, x) = x - 1Proof. For the first, we calculate as follows:

- $\begin{array}{l} transport^{troll}(loop, x) \\ = transport^{A \sim A}((code(loop)), x) \\ \end{array} \\ associativity \end{array}$
- transport<sup>d-vd</sup>(ua(suce), x) reduction for circle-recursion reduction for us

The second follows from the first, because transport<sup>8</sup>p and and transport<sup>8</sup>p<sup>-1</sup> are always inverses, so transport<sup>code</sup>loop<sup>-1</sup> = must be the inverse of the -+1.

In the remainder of the proof, we will show that P and code are equivalent.

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CHAPTER 7. HOMOTOPY THEORY
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7.2.3.3.3 Encoding Next, we define a function encode that maps paths to codes: Definition 7.2.3. Define encode :  $\prod(x : S^1)$ ,  $\rightarrow P(x) \rightarrow code(x)$  by

encode p :::: transport<sup>ioth</sup> (p.0)

#### (we leave the argument x implicit).

Encode is defined by lifting a path into the universal cover, which determines an equivalence, and then applying the resulting equivalence to 0. The interesting thing about this function is that it computes a concrete number from a loop on the circle, when this loop is represented using the abstract groupoidal framework of HoTT. To gain an intuition for how it does this, observe that by the above lemmas, transport" -+1 and transport<sup>colo</sup>loop<sup>-1</sup>x is x-1. Further, transport is functorial (chapter 2), so transport """ loop is (transport """ loop) = (transport """ (loop, )), etc. Thus, when p is a composition like

long + long -1 + long + ...

transport<sup>code</sup>p will compute a composition of functions like

(-+1)+(--1)+(-+1)+...

Applying this composition of functions to 0 will compute the axialing number of the pathhow many times it goes around the circle, with orientation marked by whether it is posi-tive or negative, after inverses have been canceled. Thus, the computational behavior of encode follows from the reduction rules for higher-inductive types and univalence, and the action of transport on compositions and inverses.

Note that the instance encode' :::= encode<sub>tern</sub> has type base = base  $\rightarrow \mathbb{Z}$ , which will be one half of the equivalence between base = base and Z

7.2.1.1.2 Decoding Decoding an integer as a path is defined by recursion

Definition 7.2.4. Define loop<sup>-</sup> : Z → base - base by

loop - loop - \_\_ - koop (n times) for positive n loop<sup>-1</sup> · loop<sup>-1</sup> · \_\_ · loop<sup>-1</sup> (s times) for negative n for 0

Since what we want overall is an equivalence between base - base and Z, we might expect to be able to prove that encode' and loop" give an equivalence. The problem comes in trying to prove the "decode after encode" direction, where we would need to show that loog\*\*\*\*\* = p for all p. We would like to apply path induction, but path induction 7.2 SOME BASIC HOMOTOPY GROUPS

does not apply to loops like a with both endpoints fixed! The way to solve this problem is to generalize the theorem to show that  $loop^{model,p} = p$  for all  $x : S^2$  and p : base = x. However, this does not make sense as is, because  $loop^{-1}$  is defined only for base = base, whereas here it is applied to a base - x. Thus, we generalize loop as follows:

Definition 7.2.5. Define decode :  $\prod \{x : S^{\dagger}\} \prod (code(x) \rightarrow P(x))$ , by circle induction on x. It suffices to give a function code(base) -> P(base), for which we use loop", and to show that loop respects the loop.

Proof. To show that loop" respects the loop, it suffices to give a path from loop" to itself that loss over loop. Formally, this means a path from transport("--Comm("-P(r))(loop, loop") to loop". We define such a path as follows:

- transport<sup>(x'-code(x')-P(x'))</sup>(loop.loop<sup>-</sup>) transport<sup>®</sup>loop + loop<sup>®</sup> + transport<sup>®</sup> = (- · loop) o (loop") o transport<sup>code</sup>loop"  $= (-i \log 2) \circ (\log 2) \circ (--1)$
- = ( $n \mapsto loop^{n-1} \cdot loop$ )

From line 1 to line 2, we apply the definition of transport when the outer connective of the fibration is ---, whelh reduces the transport to pre- and post-composition with transport at the domain and range types. From line 2 to line 3, we apply the definition of transport when the type family is base = x, which is post-composition of paths. From line 3 to line 4, we use the action of code on loss<sup>-1</sup> defined in Lemma 7.2.2. From line 4 to line 5, we simply reduce the function composition. Thus, it suffices to show that for all n, loop"-1 · loop = loop", which is an easy induction, using the groupoid laws.

#### 7.2.1.1.3 Decoding after encoding

Lemma 7.2.6. For all for all  $x : S^1$  and p : base = x, decode, (encode, (p)) = p.

Proof. By path induction, it suffices to show that decodences(encodences(reflues)) = reflues 
$$\label{eq:base_state} \begin{split} & \text{Product} = \text{Product} \\ & \text{But encode}_{\text{base}}(\text{ref}_{\text{base}}) \equiv \text{transport}^{\text{trade}}(\text{ref}_{\text{base}},0) \equiv 0 \text{, and } \text{decode}_{\text{base}}(0) \equiv \text{loop}^0 \equiv \text{ref}_{\text{base}}, \end{split}$$

#### 7.2.1.1.4 Encoding after decoding

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Lemma 7.2.7. For all for all  $x : S^1$  and c : code(x),  $encode_r(decode_r(c)) = c$ .

Proof. The proof is by circle induction. It suffices to show the case for base, because the case for loop is a path between paths in Z, which can be given by appealing to the fact that Z is a set.

CHAPTER 7. HOMOTOPY THEORY

Thus, it suffices to show, for all n : Z, that

 $encode'(loce'') = \pi$ 

The proof is by induction, with cases for 0.1, -1.n + 1, and n - 1.

- . In the case for 0, the result is true by definition.
- In the case for 1, encode<sup>7</sup> (loop<sup>1</sup>) reduces to transport<sup>mole</sup> (loop, 0), which by Lemma 7.2.2 is 0 + 1 = 1.
- In the case for n + 1.
  - encode<sup>(</sup>(loop<sup>8+1</sup>))
  - = encode (loop" · loop)
  - = transport<sup>most</sup>((loop<sup>\*</sup> loop), 0) = transport<sup>most</sup>(loop, (transport<sup>most</sup>((loop<sup>\*</sup>), 0))) by functoriality
  - = (transport<sup>code</sup>((loop<sup>\*</sup>)\_0)) + 1 by Lemma 7.2.2 - - 1 by the IH

The cases for negatives are analogous

7.2.1.1.5 Tying it all togehter

- **Theorem 7.2.8.** There is a family of equivalences  $\prod(x : S^1) \prod(P(x) \simeq code(x))$ .
- Proof. The maps encode and decode are mutually inverse by Lemmas 7.2.6 and 7.2.6, and this can be improved to an equivalence.
- Instantiating at base gives
- Corollary 7.2.9. (base = base) ~ Z

A simple induction shows that this equivalence takes addition to composition, so  $\Omega(S^2) =$ Z as groups.

Corollary 7.2.10. m/S<sup>7</sup>) = Z if k = 1 and 1 otherwise.

Proof. For k = 1, we sketched the proof from Corollary 7.2.9 above. For k > 1,  $||\Omega^{n+1}(S^7)||_0 =$  $\|\Omega^{*}(\Omega S^{\dagger})\|_{2} = \|\Omega^{*}(Z)\|_{2}$ , which is 1 because Z is a set and  $\pi_{*}$  of a set is trivial (FDME lemmas to cite?).

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#### Cover : S<sup>1</sup> - Type Cover x = S1-rec Int (us succEquiv) x

```
transport-Cover-loop : Path (transport Cover loop) succ
transport-Cover-loop =
  transport Cover loop
  =( transport-ap-assoc Cover loop )
transport (& x = x) (ap Cover loop)
  +( ap (transport (i x - x))
   (gloop/rec Int (us succEquiv)) )
transport (i x - x) (us succEquiv)
     +( type+$ _ >
   SUCC .
```

transport-Cover-Iloop : Puth (transport Cover (1 loop)) pred transport-Cover-Iloop = transport Cover (1 loop) =( transport-ap-assoc Cover (1 loop) ) transport (\u03c4 x = x) (ap Cover (1 loop))
+( ap (transport (\u03c4 x = x)) (ap-1 Cover loop)) transport  $(\lambda \times - x)$  (! (ap Cover loop)) -( ap (), y - transport (), x - x) (1 y))
(\$loop/rec Int (us succliquiv)) >
transport (), x - x) (1 (us succliquiv)) -( ap (transport (\lambda x - x)) (1-ua succEquiv) >
transport (\lambda x - x) (ua (lequiv succEquiv)) +( type=0 \_ ) need a

encode : {x : S<sup>1</sup>} - Poth base x - Cover x encode a = transport Cover a Zero

encode' : Path base base - Int encode' = = encode {base} =

```
loopA : Int - Path base base
loop<sup>A</sup> Zero = id
loop<sup>A</sup> (Pos One) = loop
loop^ (Pos (S n)) = loop - loop^ (Pos n)
loop^ (Neg One) = 1 loop
loop^ (Neg (S n)) = 1 loop - loop^ (Neg n)
        A-preserves-pred

(n : Int) - Peth (loop' (pred n)) (| loop - loop' n)

A-preserves-pred (Pas One) = | (1-Inv-1 loop)

P-preserves-pred (Pas (S y)) =

1 (-assoc (| loop' loop (loop' (Pas y)))

- | (op (0 x = x - loop' (Pas y)) (1-Inv-1 loop))

- | (op (1 x = x - loop' (Pas y)) (1-Inv-1 loop))

- | (op (1 x = x - loop' (Pas y)) (1-Inv-1 loop))

- | (op (1 x = x - loop' (Pas y)))
    oph-preserves-pred Zero = Ld
oph-preserves-pred (Neg Ore) = Ld
oph-preserves-pred (Neg (5 y)) = Ld
```

decode : (x : S<sup>1</sup>) - Cover x - Poth base x decode (x) = (& x' - Cover x' - Poth base x')

```
DOD!
    -respects-loop
```

street -- prevent Agdo from normalizing sop4-respects-loop : transport ()  $x^*$  - Cover  $x^*$  - Poth base  $x^*$  ) loop loop4 = () n - loop4 n) pt-respects-loop =
(transport () x\* - Cover x\* - Path base x\*) loop loop^
-( transport -- Cover (Path base) loop loop^)
transport () x\* - Path base x\*) loop a transport Cover (1 loop) = lar (L y = transport-Path-right loop (loop\* (transport Cover (1 loop) y))) ) \_ (L p = loop · p) 0 tog+ = transport Cover (! loop) = i a= (i y - ap (i x" - loop - loop^ x") (ap= transport-Cover-Iloop)) > (i p - loop - p) o loop/ o pred 0, n - loop - (loop<sup>A</sup> (pred n))) -1 2+ 0, y = move-left-1 \_ loop (loop<sup>A</sup> y) (loop<sup>A</sup>-preserves-pred y)) > 0, n = loop<sup>A</sup> n)

encode-loop\* (Pos One) = ap- transport-Cover-loop encode-loop\* (Pos (5 n)) = encode (loop\* (Pos (5 n))) -{ id } transport Cover (loop - loop^ (Pos n)) Zero
=( ap= (transport-- Cover loop (loop^ (Pos n))) ) transport Cover loop (transport Cover (loop\* (Pos n)) Zero) -< ap+ transport-Cover-loop > succ (transport Cover (loop\* (Pos m)) Zero) succ (encode (loop^ (Pos n)))
=( ap succ (encode-loop^ (Pos n)) ) (\ (x : S<sup>1</sup>) - (c : Cover x) - Poth (encode(x) (decode(x) c)) c) encode-loop\* (i= (i x' - fst (use-level (use-level (use-level MSet-Int \_ \_) \_ \_)))) x

encode-loop\* : (n : Int) - Poth (encode (loop\* n)) n encode-loop\* Zero = id

decode-encode {x} = = path-induction
(\lambda (x': 51) (s': Path base x')
- Path (decode (encode s')) s') 14 -

G.[51]-Equiv-Int : Equiv (Poth base base) Int G.[S1]-Equiv-Int = improve (heaviv encode decode decode-encode encode-loop^)

Ω[5<sup>3</sup>]-is-Int : (Path base base) = Int Ω[5<sup>3</sup>]-is-Int = us Ω[5<sup>3</sup>]-Equiv-Int

m[S<sup>1</sup>]-is-Int : x One S<sup>1</sup> base = Int m[S<sup>1</sup>]-is-Int = UnTrunc.path \_ \_ HSet-Int · op (Trunc (tl 0)) Ω[S<sup>1</sup>]-is-Int

# $\pi_n(S^n)$ in HoTT

n-dimensional sphere

#### k<sup>th</sup> homotopy group

	Π1	Π2	<b>π</b> 3	π4	π <sub>5</sub>	π <sub>6</sub>	Π7	π <sub>8</sub>	пэ	π <sub>10</sub>	π11	π <sub>12</sub>	π <sub>13</sub>	π <sub>14</sub>	π <sub>15</sub>
<b>S</b> 0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>S</b> 1	z	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>S</b> <sup>2</sup>	0	z	z	<b>Z</b> 2	<b>Z</b> 2	<b>Z</b> <sub>12</sub>	<b>Z</b> 2	<b>Z</b> 2	Z <sub>3</sub>	<b>Z</b> 15	<b>Z</b> 2	<b>Z</b> 2 <sup>2</sup>	<b>Z</b> <sub>12</sub> × <b>Z</b> <sub>2</sub>	<b>Z</b> <sub>84</sub> × <b>Z</b> <sub>2</sub> <sup>2</sup>	<b>Z</b> 2 <sup>2</sup>
<b>S</b> 3	0	0	z	<b>z</b> 2	<b>z</b> 2	<b>Z</b> <sub>12</sub>	<b>Z</b> 2	<b>Z</b> 2	z <sub>3</sub>	<b>Z</b> 15	<b>Z</b> 2	<b>Z</b> 2 <sup>2</sup>	<b>Z</b> <sub>12</sub> × <b>Z</b> <sub>2</sub>	<b>Z</b> <sub>84</sub> × <b>Z</b> <sub>2</sub> <sup>2</sup>	<b>Z</b> 2 <sup>2</sup>
<b>S</b> 4	0	0	0	z	<b>z</b> 2	<b>z</b> 2	<b>Z×Z</b> <sub>12</sub>	<b>Z</b> 2 <sup>2</sup>	<b>Z</b> 2 <sup>2</sup>	<b>Z</b> <sub>24</sub> × <b>Z</b> <sub>3</sub>	<b>Z</b> 15	<b>Z</b> 2	<b>Z</b> 2 <sup>3</sup>	<b>Z</b> <sub>120</sub> × <b>Z</b> <sub>12</sub> × <b>Z</b> <sub>2</sub>	Z <sub>84</sub> ×Z <sub>2</sub>
<b>S</b> <sup>5</sup>	0	0	0	0	z	<b>Z</b> 2	<b>z</b> 2	<b>Z</b> 24	<b>Z</b> 2	<b>Z</b> 2	<b>Z</b> 2	<b>Z</b> 30	<b>Z</b> 2	<b>Z</b> 2 <sup>3</sup>	<b>Z</b> 72× <b>Z</b>
<b>S</b> <sup>6</sup>	0	0	0	0	0	z	<b>z</b> 2	<b>z</b> <sub>2</sub>	<b>Z</b> 24	0	z	<b>z</b> 2	<b>Z</b> <sub>60</sub>	<b>Z</b> <sub>24</sub> × <b>Z</b> <sub>2</sub>	<b>Z</b> 2 <sup>3</sup>
<b>S</b> 7	0	0	0	0	0	0	z	<b>Z</b> 2	<b>z</b> 2	<b>Z</b> 24	0	0	<b>Z</b> 2	<b>Z</b> <sub>120</sub>	<b>Z</b> 2 <sup>3</sup>
<b>S</b> 8	0	0	0	0	0	0	0	z	<b>z</b> 2	<b>z</b> 2	<b>Z</b> 24	0	0	<b>Z</b> 2	Z×Z <sub>12</sub>

[image from wikipedia]

# $\pi_n(S^n)$ in HoTT

#### k<sup>th</sup> homotopy group



	Π1	Π2	пз	π4	π <sub>5</sub>	п <sub>6</sub>	Π7	π <sub>8</sub>	п9	π <sub>10</sub>	Π11	Π12	Π13	Π14	π <sub>15</sub>
e	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
S1	Z		0	0	0	0	0	0	0	0	0	0	0	0	0
<b>S</b> <sup>2</sup>	0	Z		<b>Z</b> 2	<b>z</b> <sub>2</sub>	<b>Z</b> <sub>12</sub>	<b>Z</b> 2	<b>Z</b> 2	<b>z</b> <sub>3</sub>	<b>Z</b> 15	<b>Z</b> 2	<b>Z</b> 2 <sup>2</sup>	<b>Z</b> <sub>12</sub> × <b>Z</b> <sub>2</sub>	<b>Z</b> <sub>84</sub> × <b>Z</b> <sub>2</sub> <sup>2</sup>	<b>Z</b> 2 <sup>2</sup>
<b>S</b> <sup>3</sup>	0	0	Z	A STATEMENT	<b>Z</b> 2	<b>Z</b> <sub>12</sub>	<b>Z</b> 2	<b>Z</b> 2	<b>z</b> <sub>3</sub>	<b>Z</b> 15	<b>Z</b> 2	<b>Z</b> 2 <sup>2</sup>	<b>Z</b> <sub>12</sub> × <b>Z</b> <sub>2</sub>	<b>Z</b> <sub>84</sub> × <b>Z</b> <sub>2</sub> <sup>2</sup>	<b>Z</b> 2 <sup>2</sup>
<b>S</b> 4	0	0	UNIT	z	2 State	<b>Z</b> 2	<b>Z×Z</b> 12	<b>Z</b> 2 <sup>2</sup>	<b>Z</b> 2 <sup>2</sup>	<b>Z</b> <sub>24</sub> × <b>Z</b> <sub>3</sub>	<b>Z</b> 15	<b>Z</b> 2	<b>Z</b> 2 <sup>3</sup>	<b>Z</b> <sub>120</sub> × <b>Z</b> <sub>12</sub> × <b>Z</b> <sub>2</sub>	<b>Z</b> <sub>84</sub> × <b>Z</b> <sub>2</sub> <sup>5</sup>
<b>S</b> <sup>5</sup>	0	0	0	C	z		<b>z</b> 2	<b>Z</b> 24	<b>Z</b> 2	<b>Z</b> 2	<b>Z</b> 2	<b>Z</b> 30	<b>Z</b> 2	<b>Z</b> 2 <sup>3</sup>	<b>Z</b> <sub>72</sub> × <b>Z</b> <sub>2</sub>
<b>S</b> <sup>6</sup>	0	0	0	0		z	22	<b>Z</b> 2	<b>Z</b> 24	0	z	<b>z</b> 2	<b>Z</b> <sub>60</sub>	<b>Z</b> <sub>24</sub> × <b>Z</b> <sub>2</sub>	<b>Z</b> 2 <sup>3</sup>
<b>S</b> 7	0	0	0	0	0	Contraction of the second	z	<b>Z</b> 2	<b>z</b> 2	<b>Z</b> 24	0	0	<b>z</b> 2	<b>Z</b> <sub>120</sub>	<b>Z</b> 2 <sup>3</sup>
<b>S</b> <sup>8</sup>	0	0	0	0	0	0	0	Z	<b>z</b> 2	<b>Z</b> 2	<b>Z</b> 24	0	0	<b>Z</b> 2	<b>Z</b> × <b>Z</b> <sub>120</sub>

[image from wikipedia]

**Proof:** Induction on n

\* Base case:  $\pi_1(S^1) = \mathbb{Z}$ 

\*Inductive step:  $\pi_{n+1}(S^{n+1}) = \pi_n(S^n)$ 

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n-truncation: best approximation of a type such that all (n+1)-paths are equal higher inductive type generated by basen : S<sup>n</sup>

 $loop_n : \Omega^n(S^n)$ 

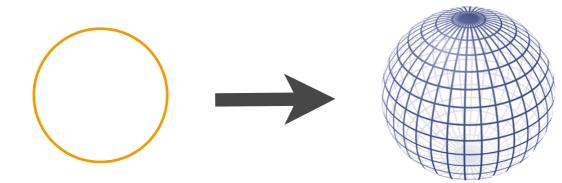
# $|\mathbf{S}^n|_n = |\Omega(\mathbf{S}^{n+1})|_n$

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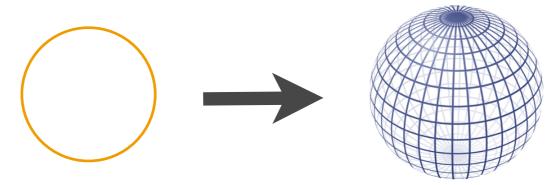
\* Decode: promote n-dimensional loop on S<sup>n</sup> to n+1-dimensional loop on S<sup>n+1</sup>



# $|\mathbf{S}^n|_n = |\Omega(\mathbf{S}^{n+1})|_n$

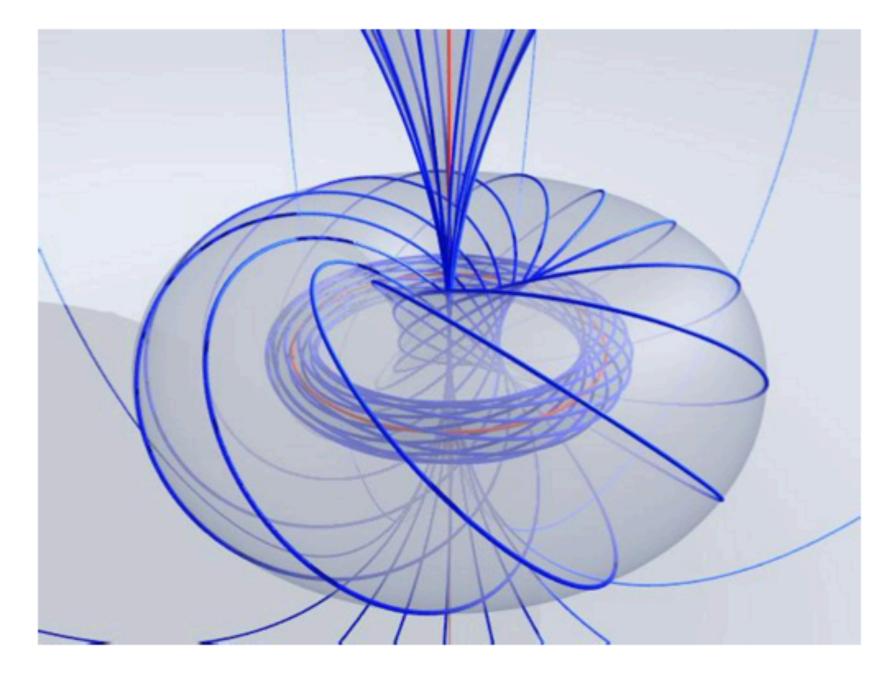
n-truncation of S<sup>n</sup> is the type of "codes" for loops on S<sup>n+1</sup>

\* Decode: promote n-dimensional loop on S<sup>n</sup> to n+1-dimensional loop on S<sup>n+1</sup>



# Encode: define fibration Code(x:S<sup>n+1</sup>) with Code(base<sub>n+1</sub>) :=  $|S^n|_n$ Code(loop<sub>n+1</sub>) := equivalence  $|S^n|_n \cong |S^n|_n$ "rotating by loop<sub>n</sub>"

# $\pi_2(S^2)$ : Hopf fibration



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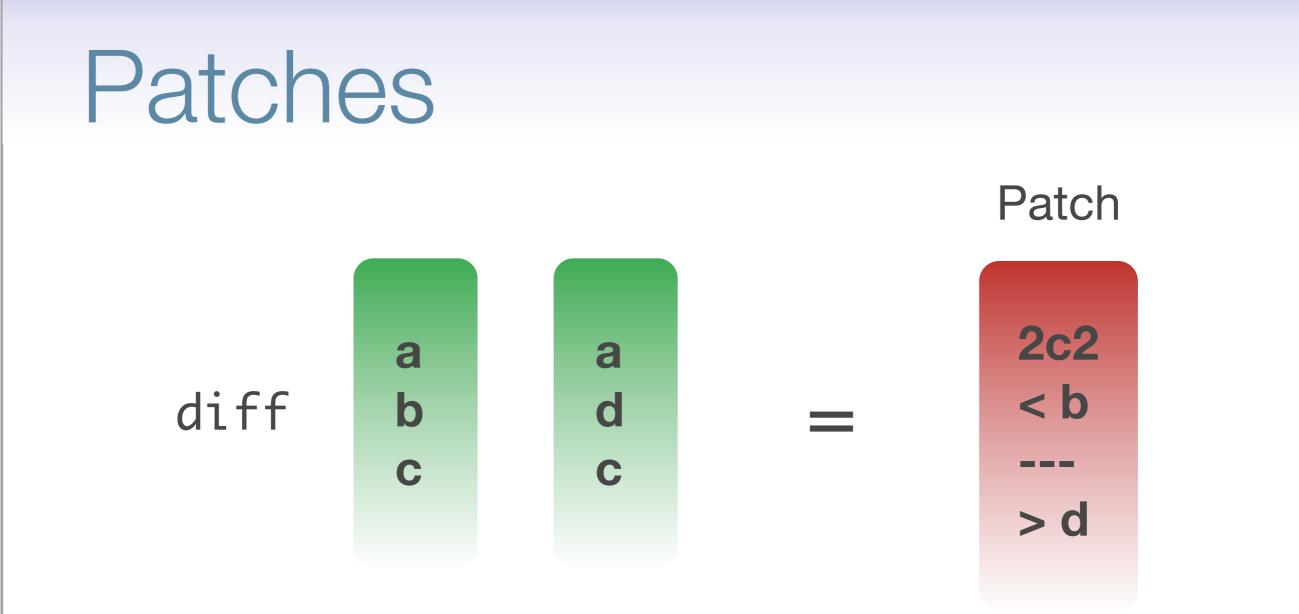
## Synthetic homotopy theory

- \* Gap between informal and formal proofs is small
- \* Proofs are constructive\*: can run them
- Results apply in a variety of settings, from simplicial sets (hence topological spaces) to Quillen model categories and ∞-topoi\*
- New type-theoretic proofs/methods

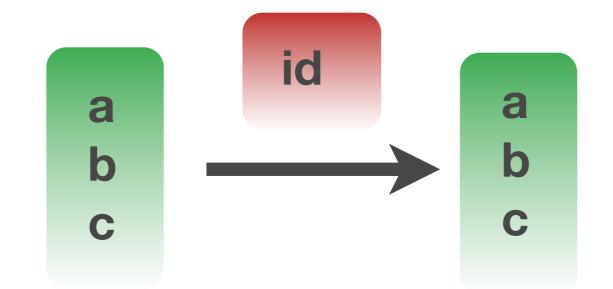
#### Outline

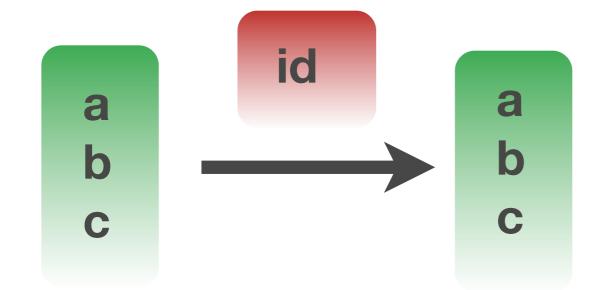
#### 1.Certified homotopy theory

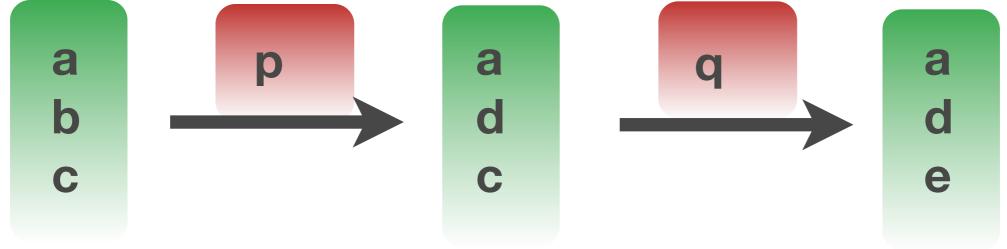
#### **2.Certified software**

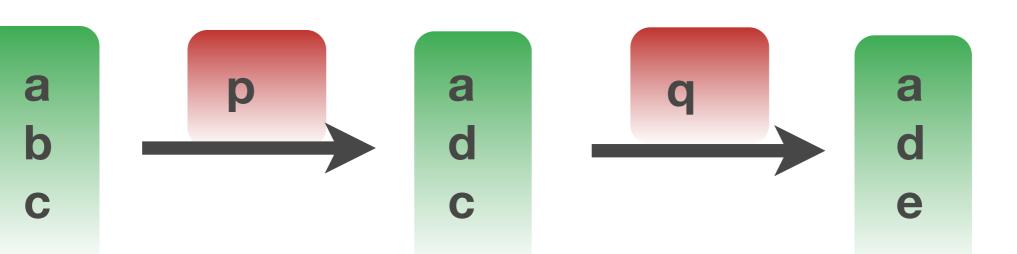


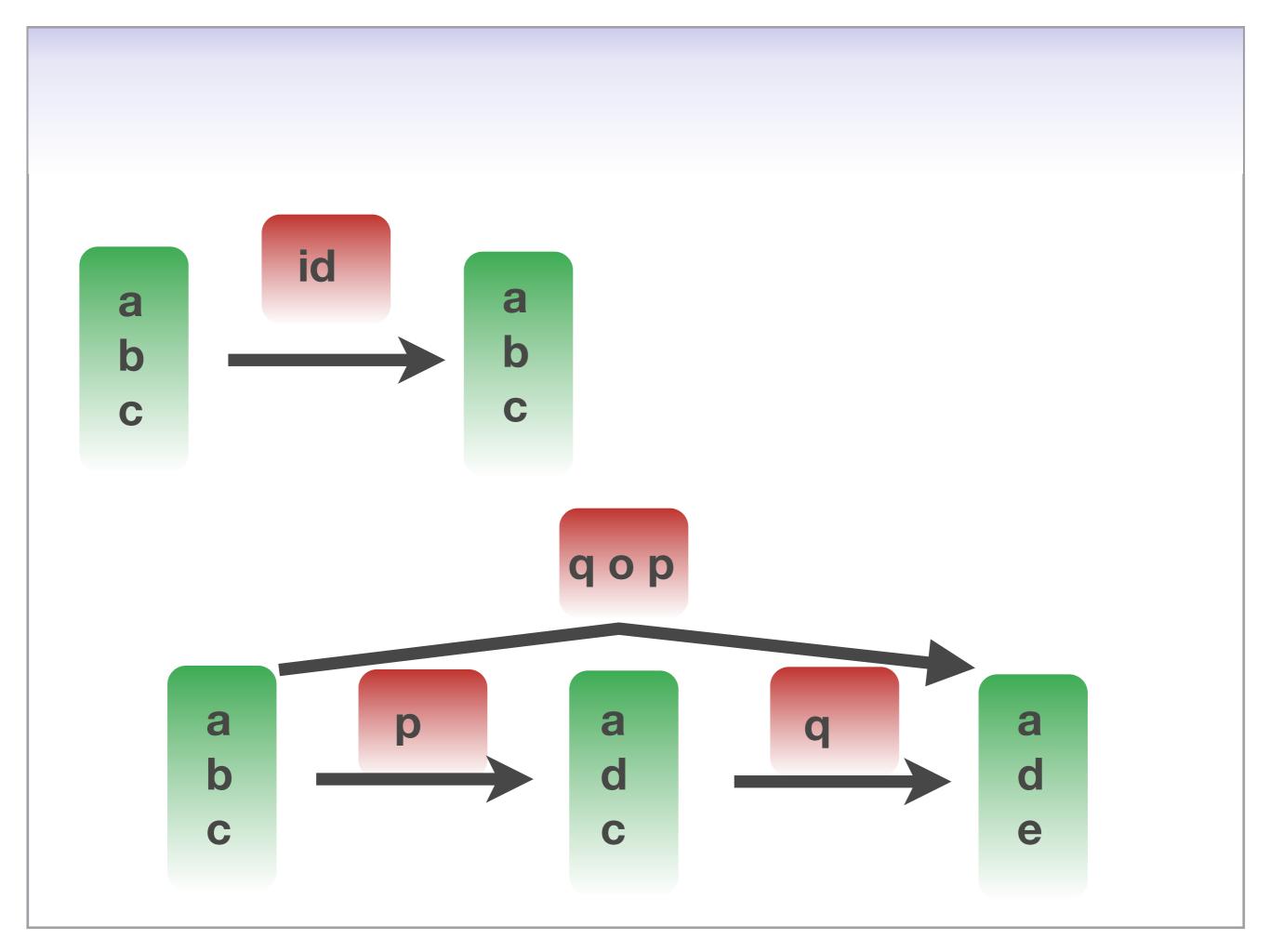
# \* Version control\* Collaborative editing

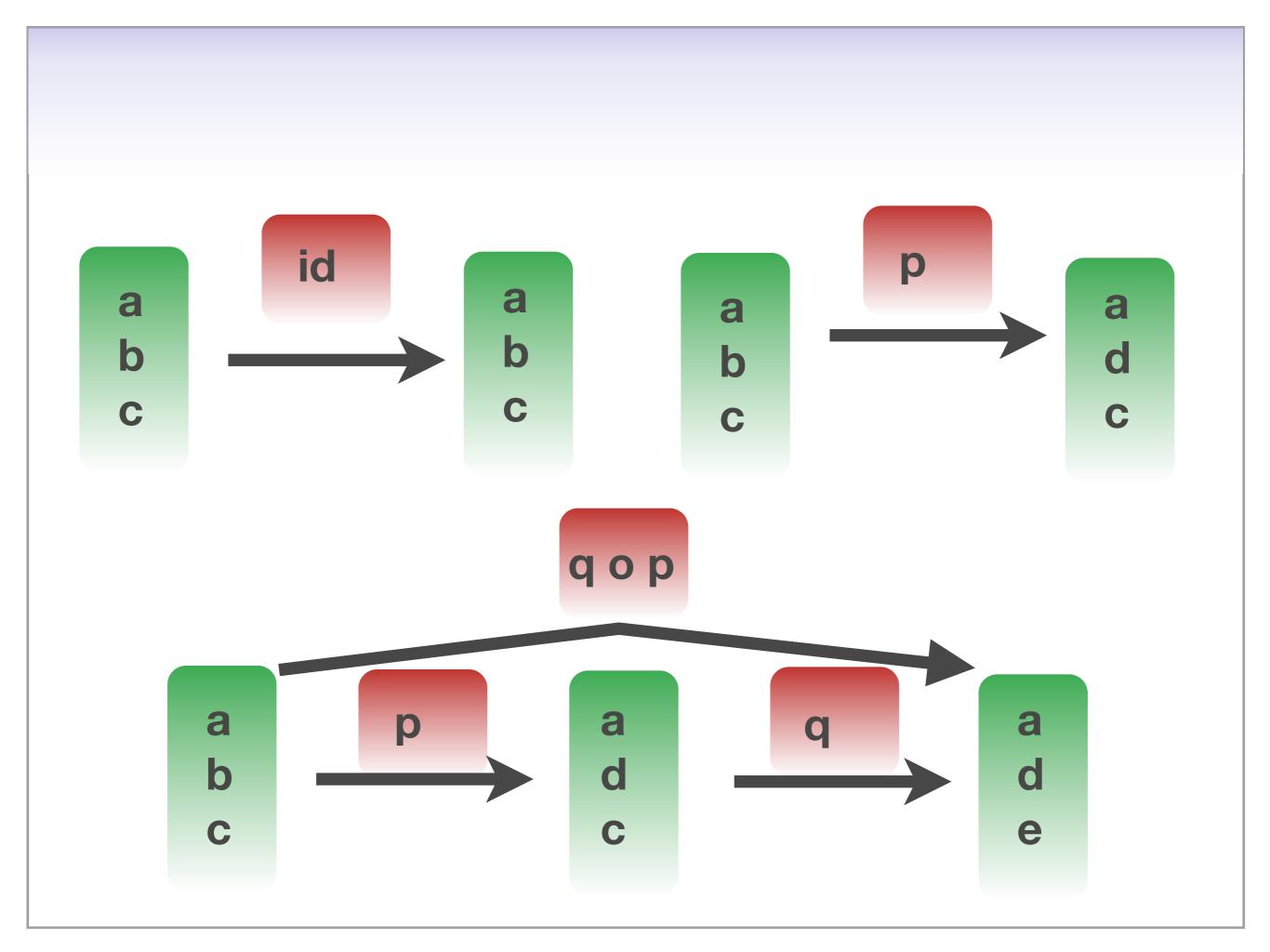


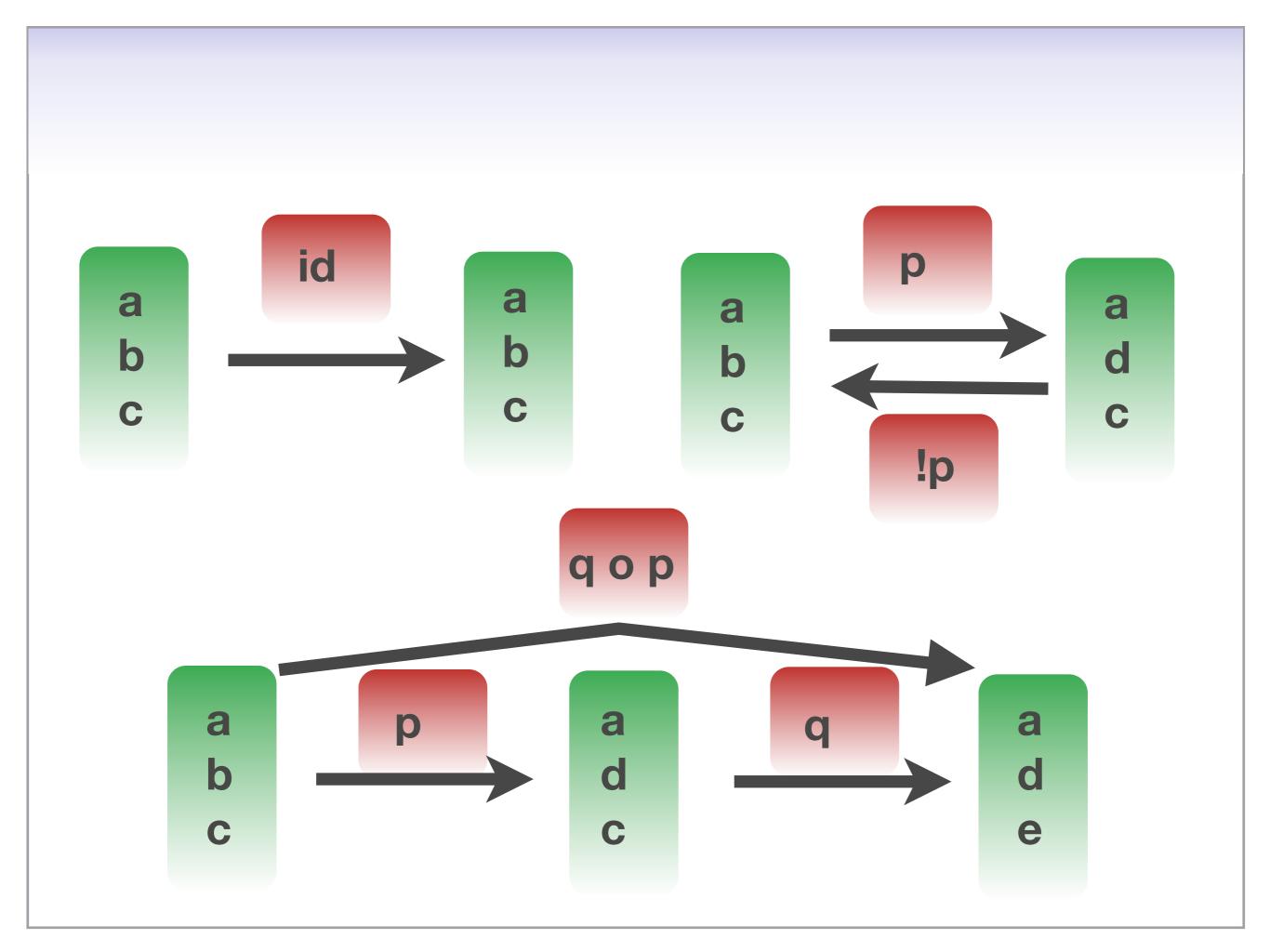


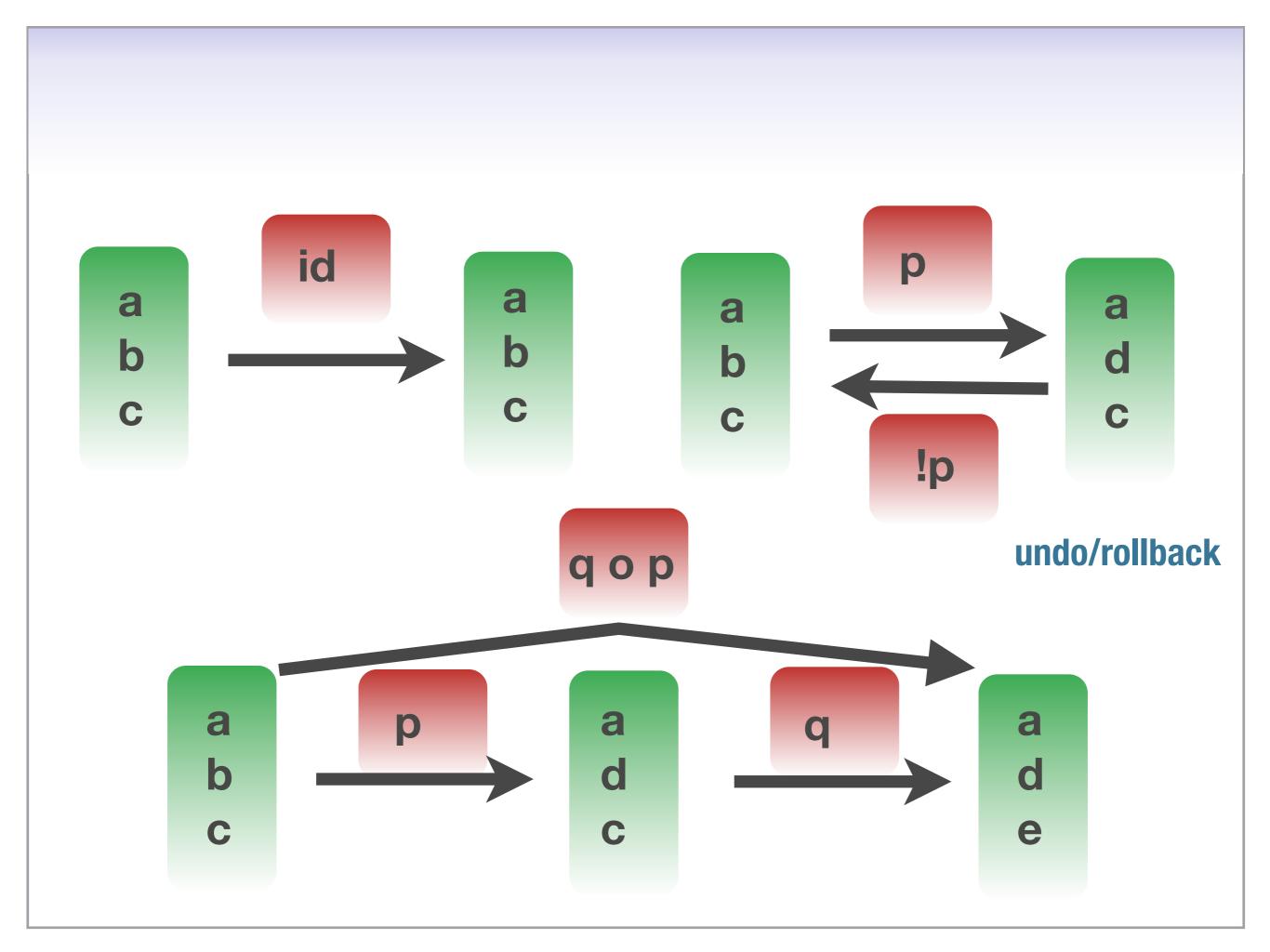




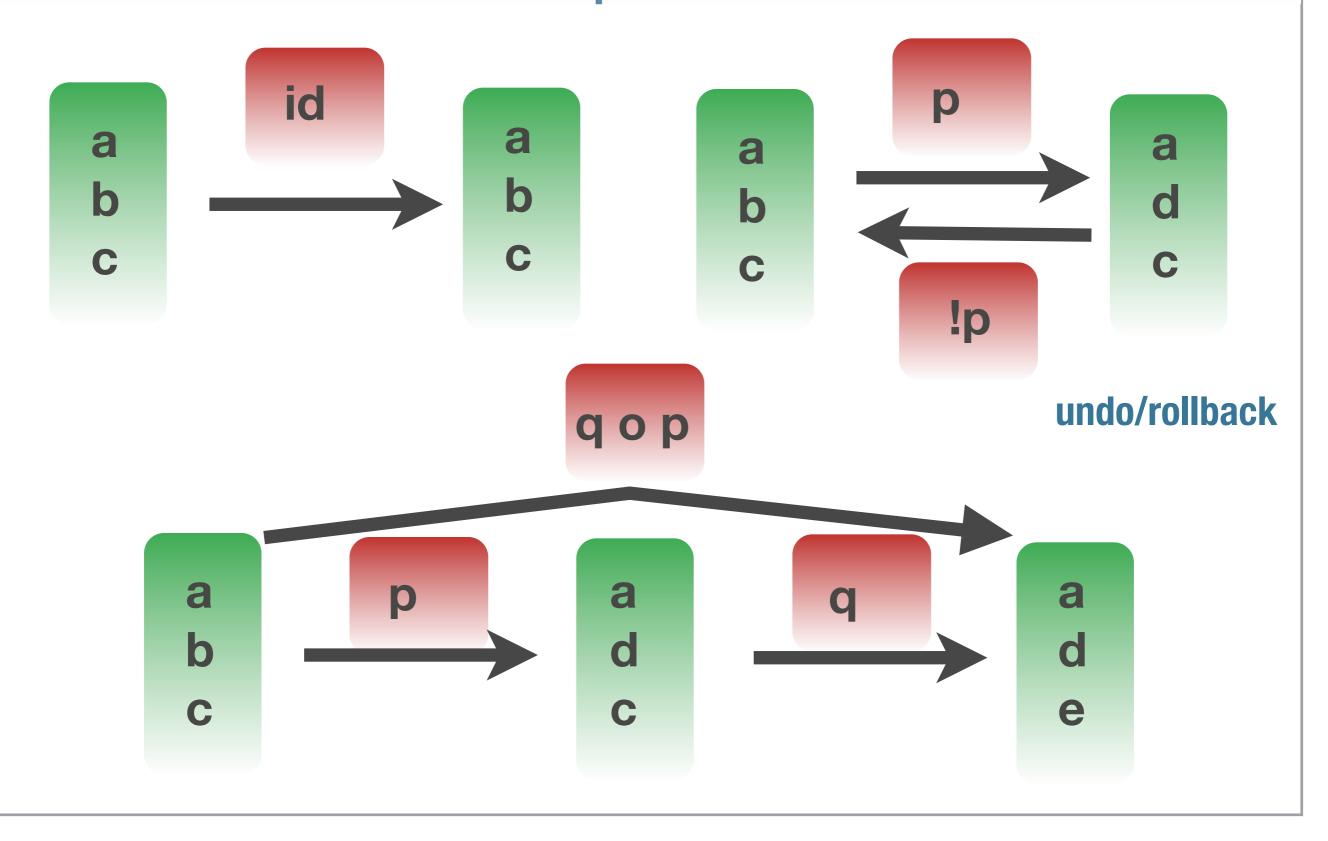


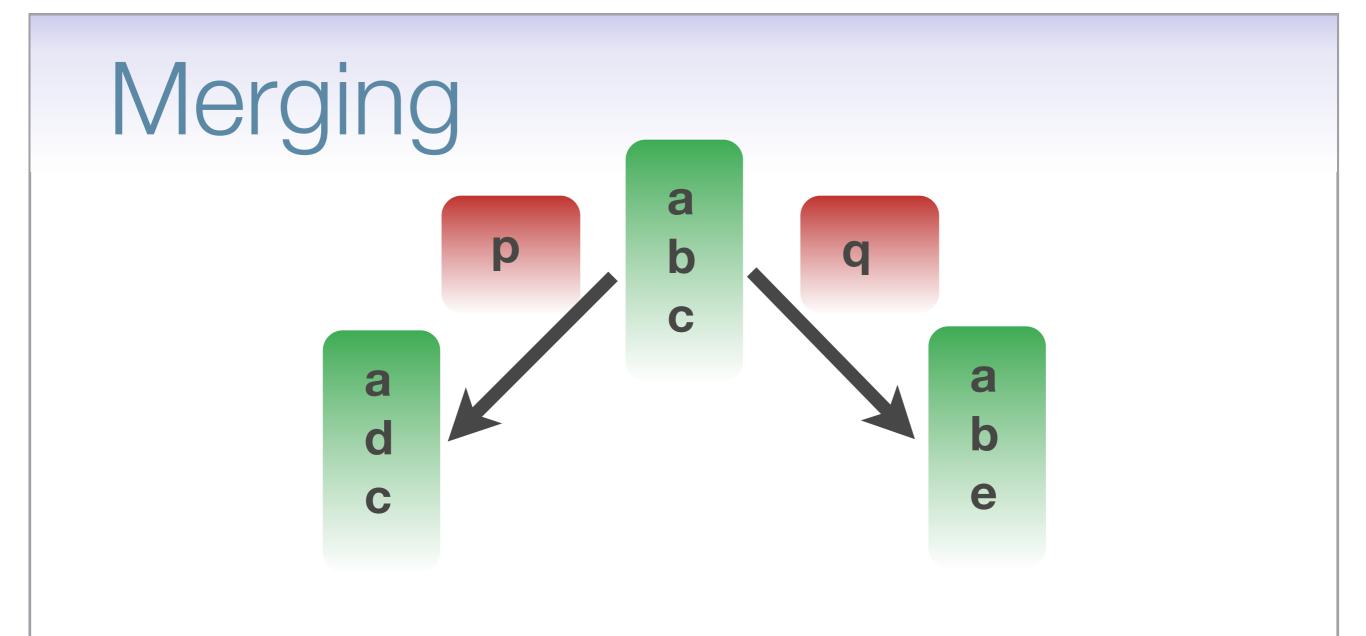


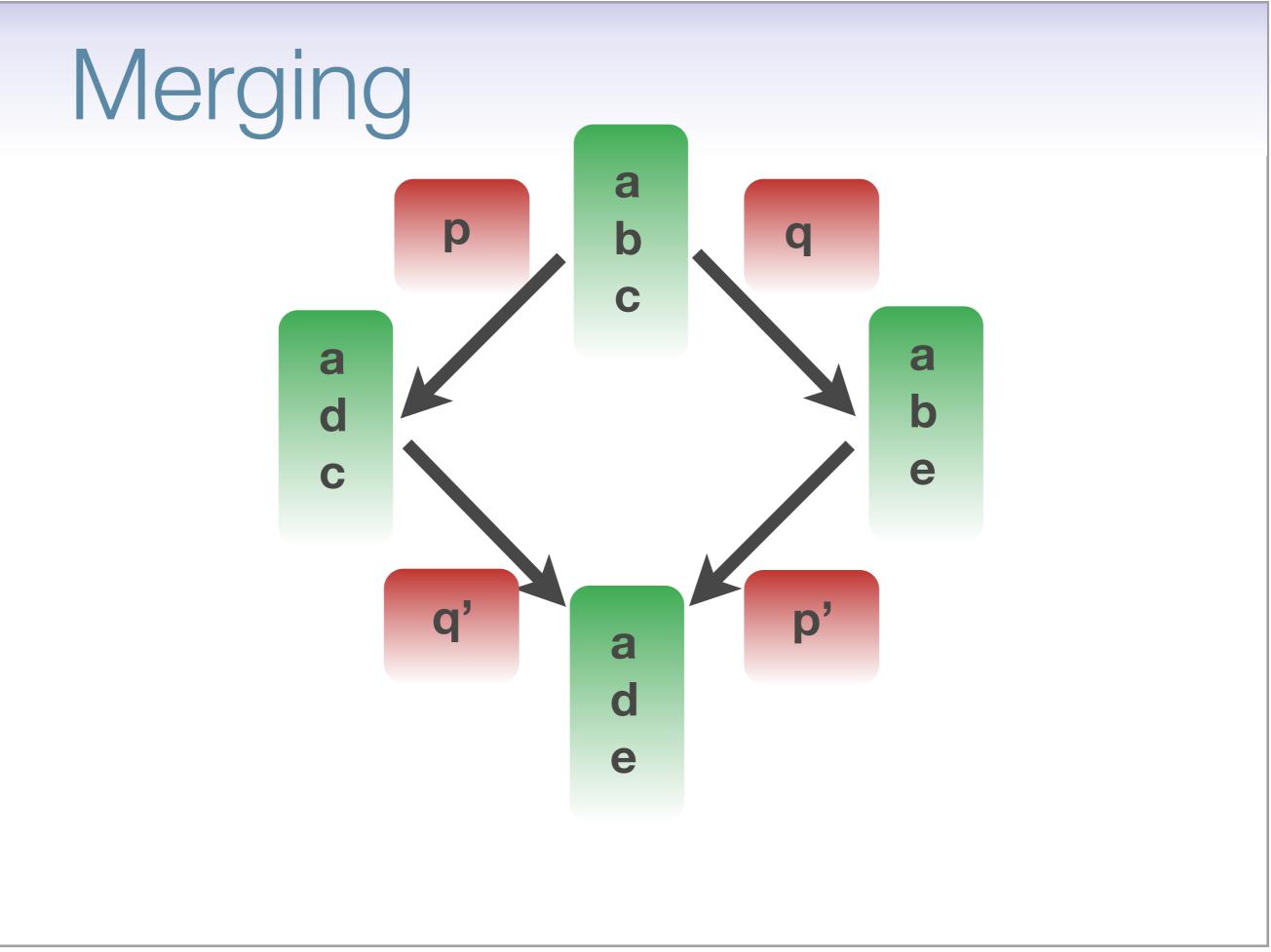


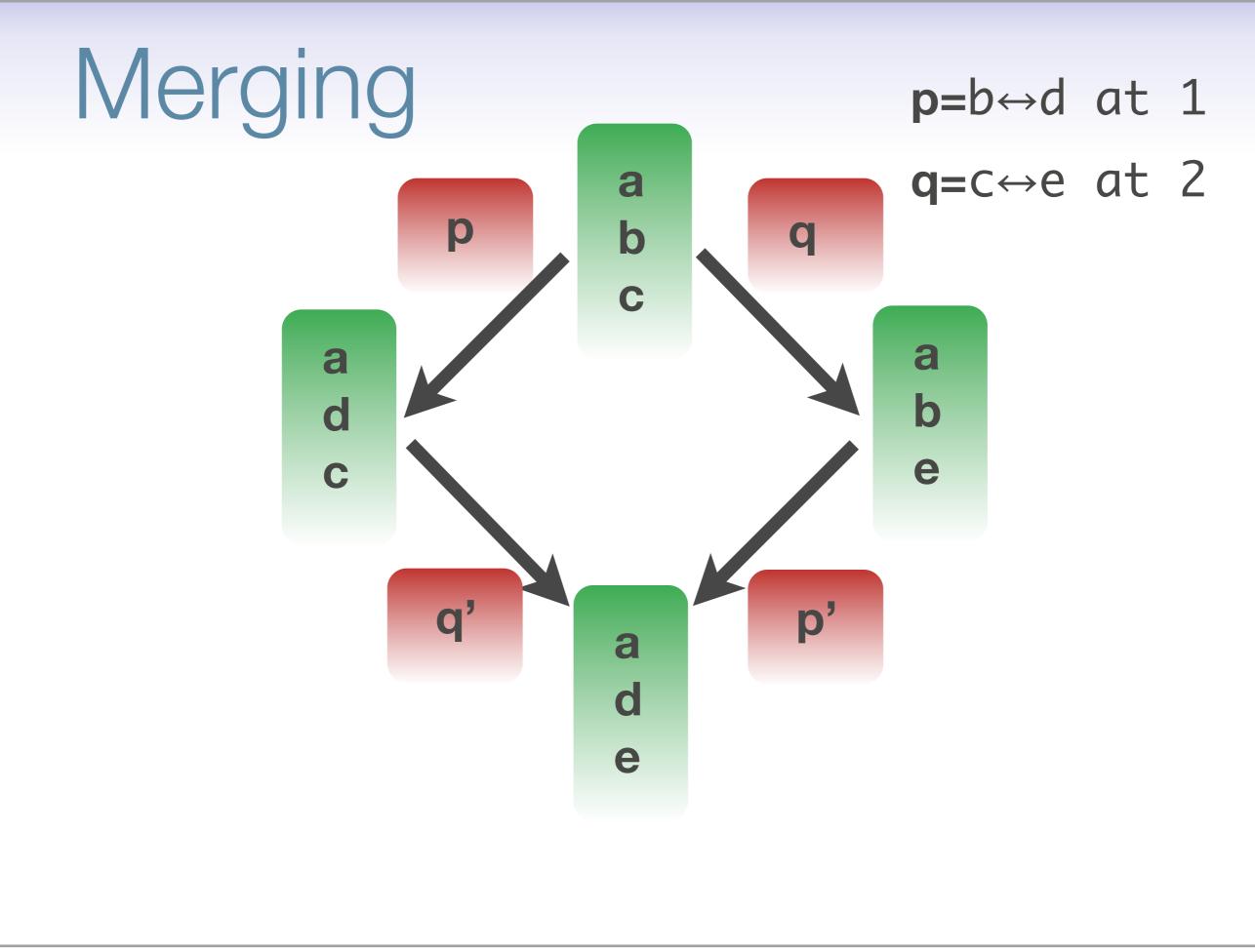


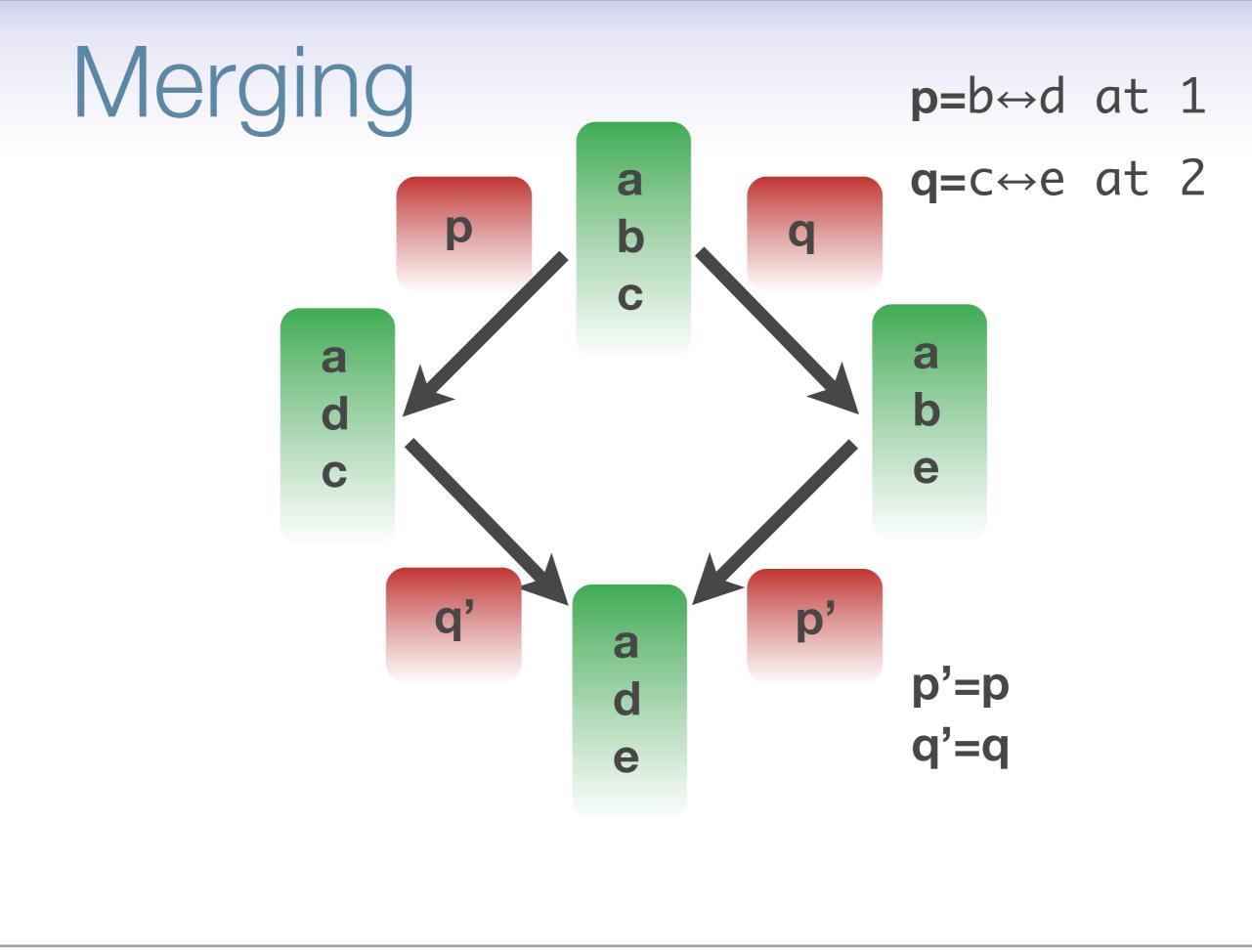
#### Patches are paths

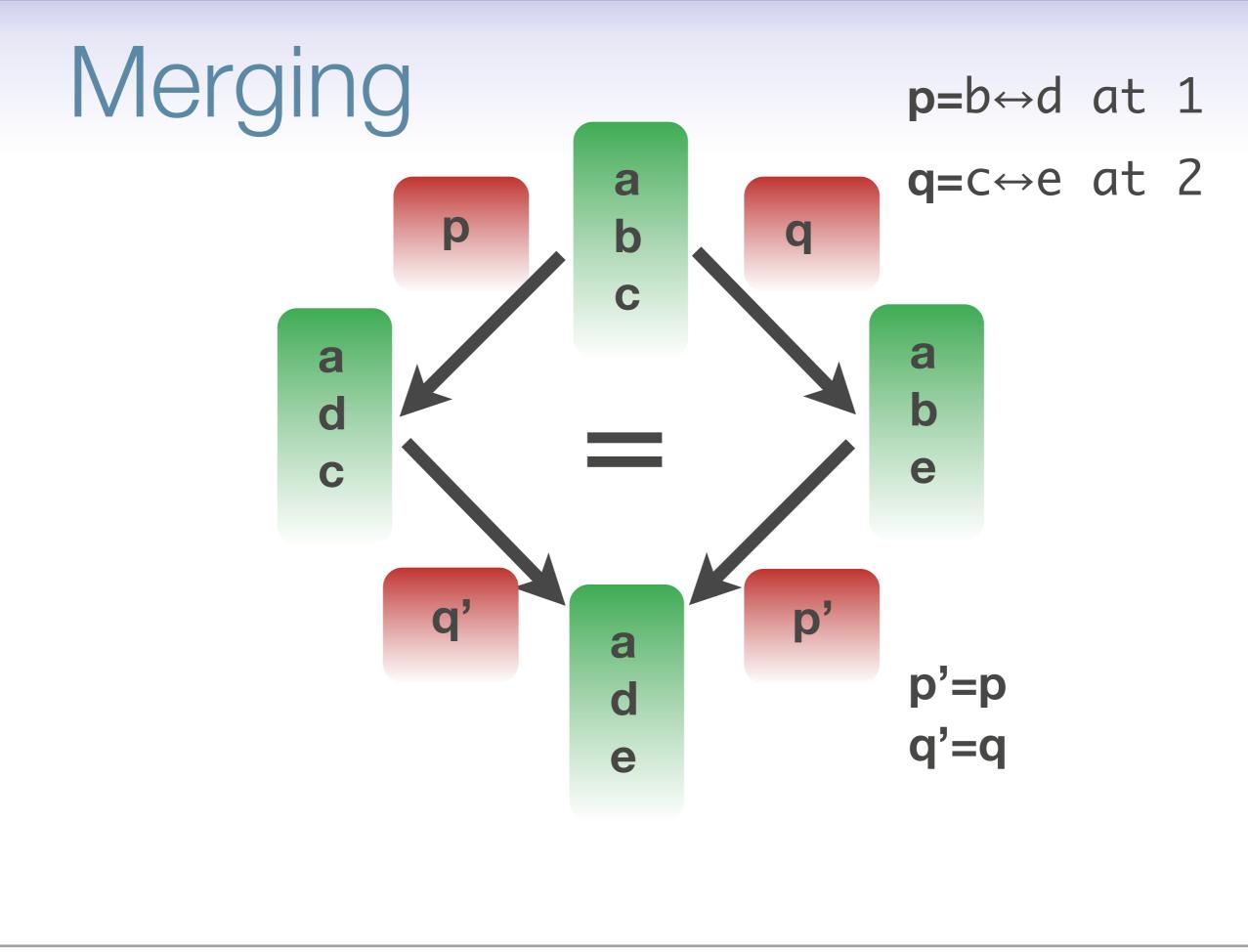






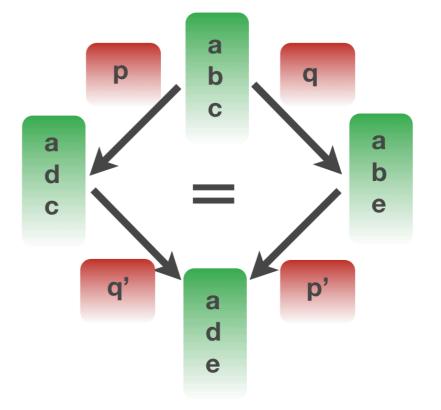






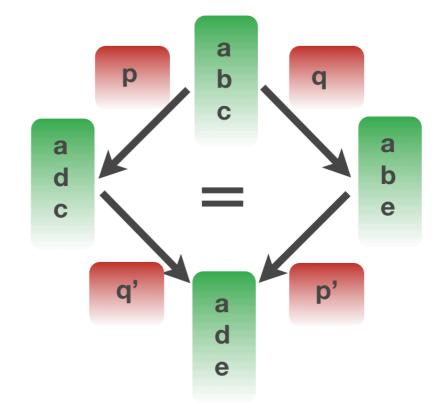
## Merging

merge : (p q : Patch)
 → Σq',p':Patch.
 Maybe(q' o p =
 p' o q)

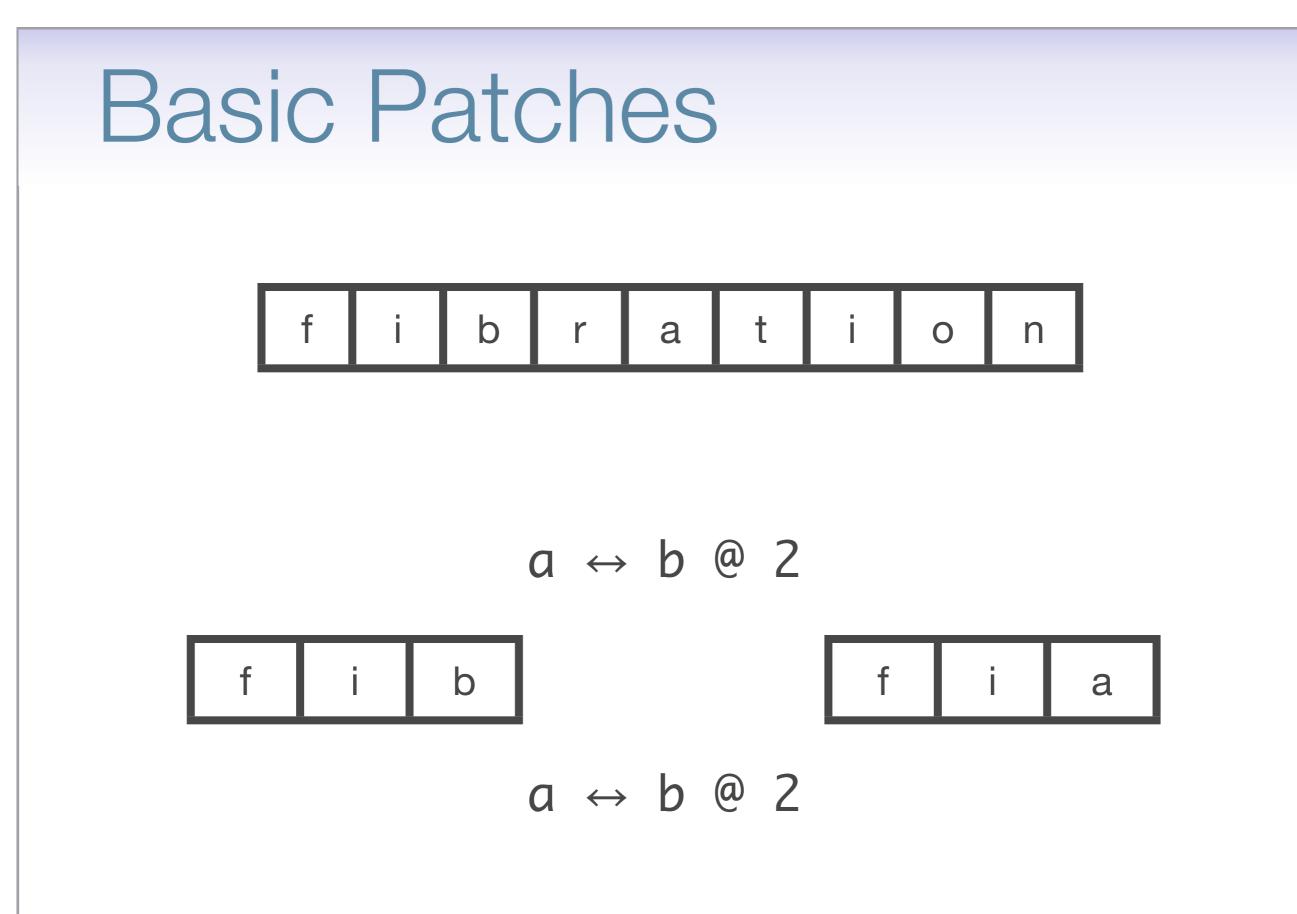


## Merging

merge : (p q : Patch)
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 Maybe(q' o p =
 p' o q)



## Equational theory of patches = paths between paths



### **Basic Patches**

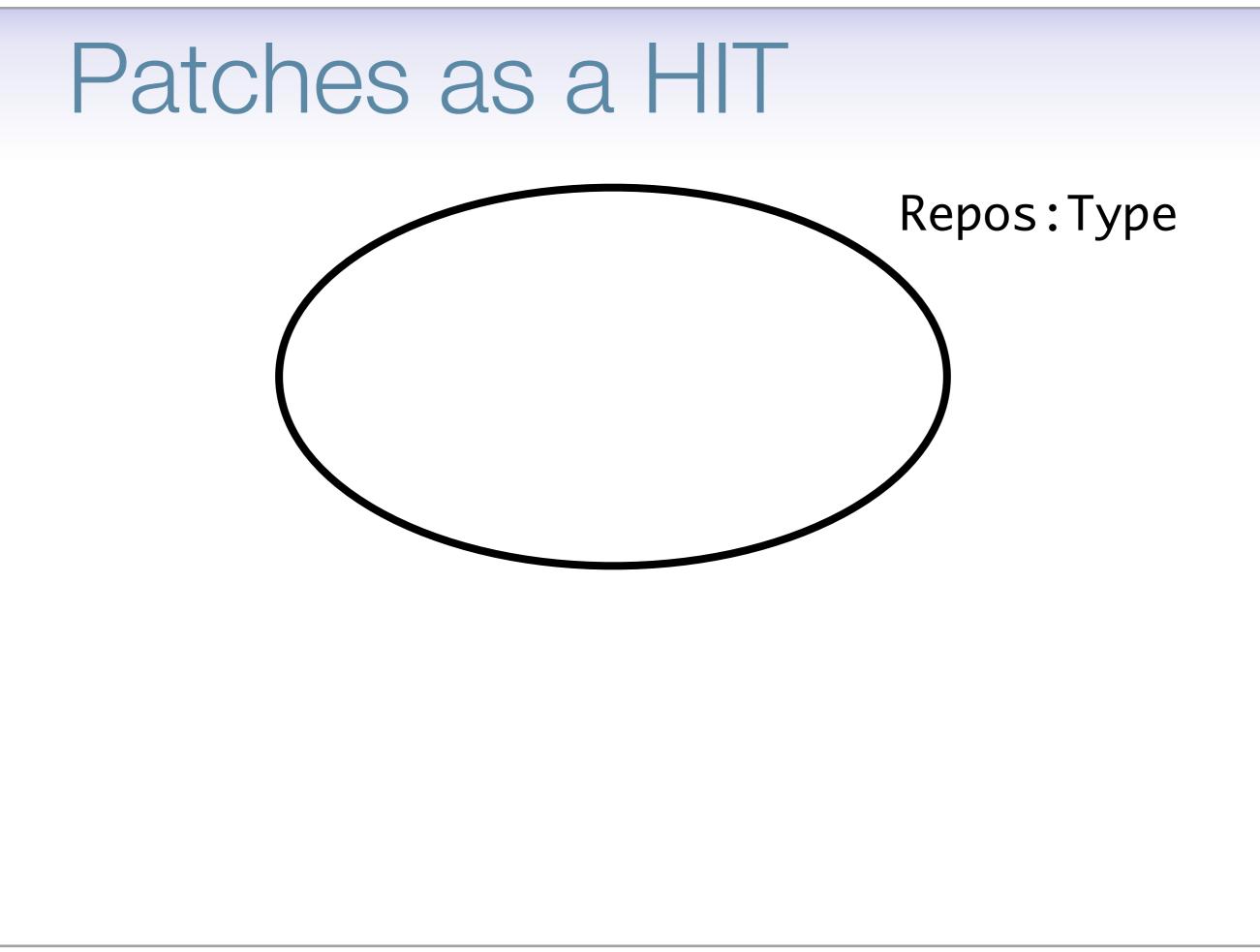
\* "Repository" is a char vector of length n

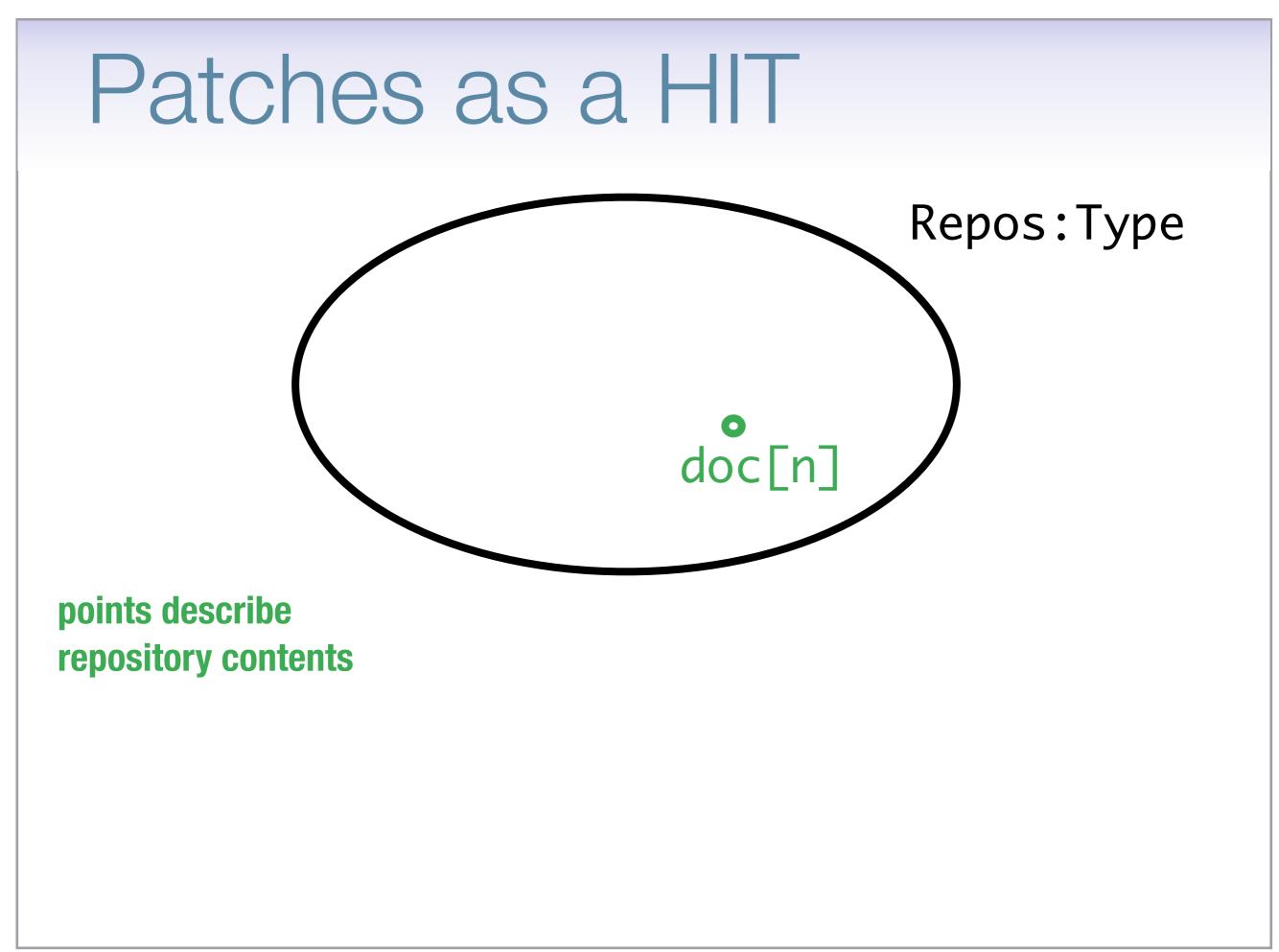
\* Basic patch is  $a \leftrightarrow b$  @ i where i < n

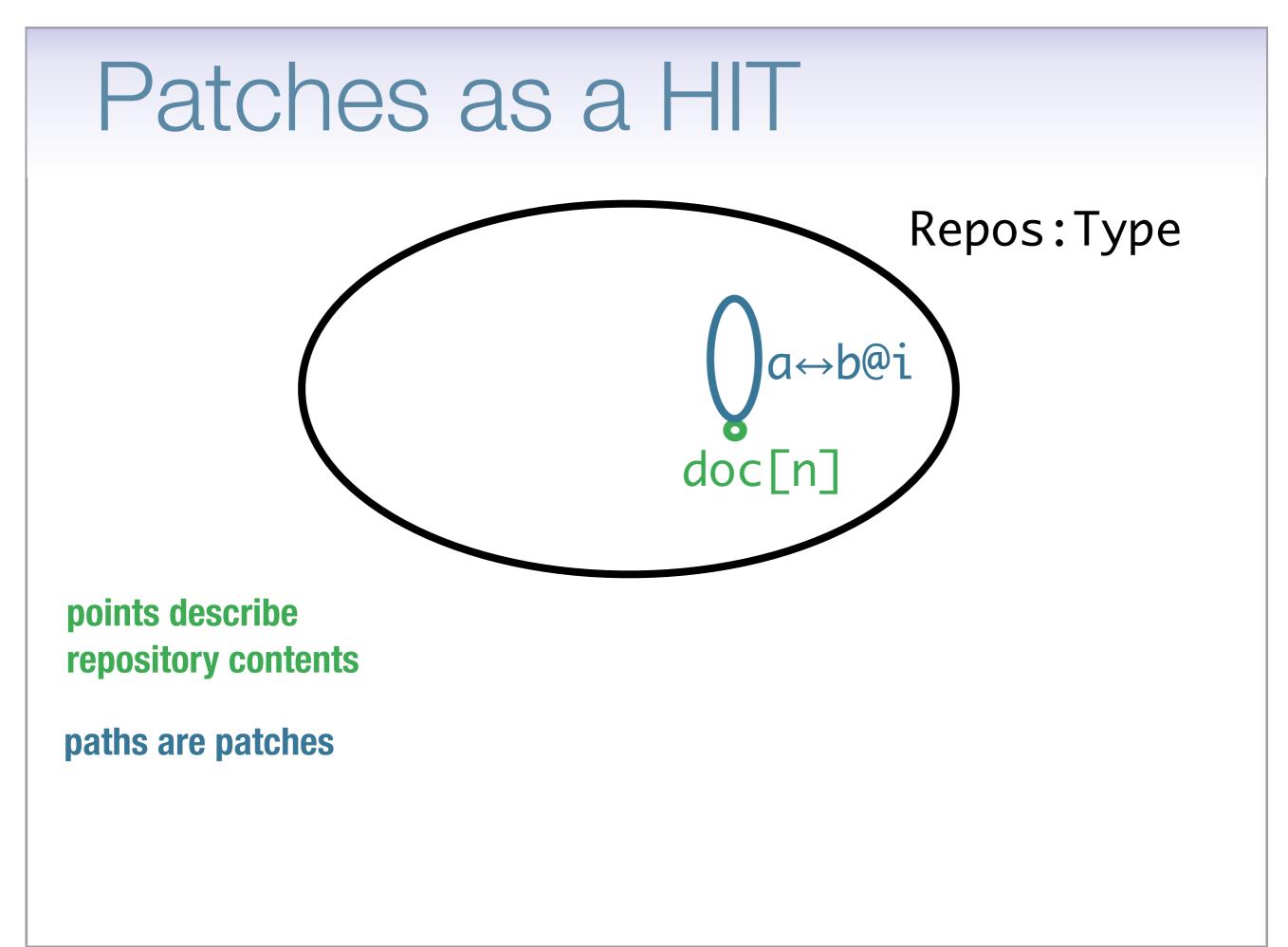
$$a \leftrightarrow b @ 2$$

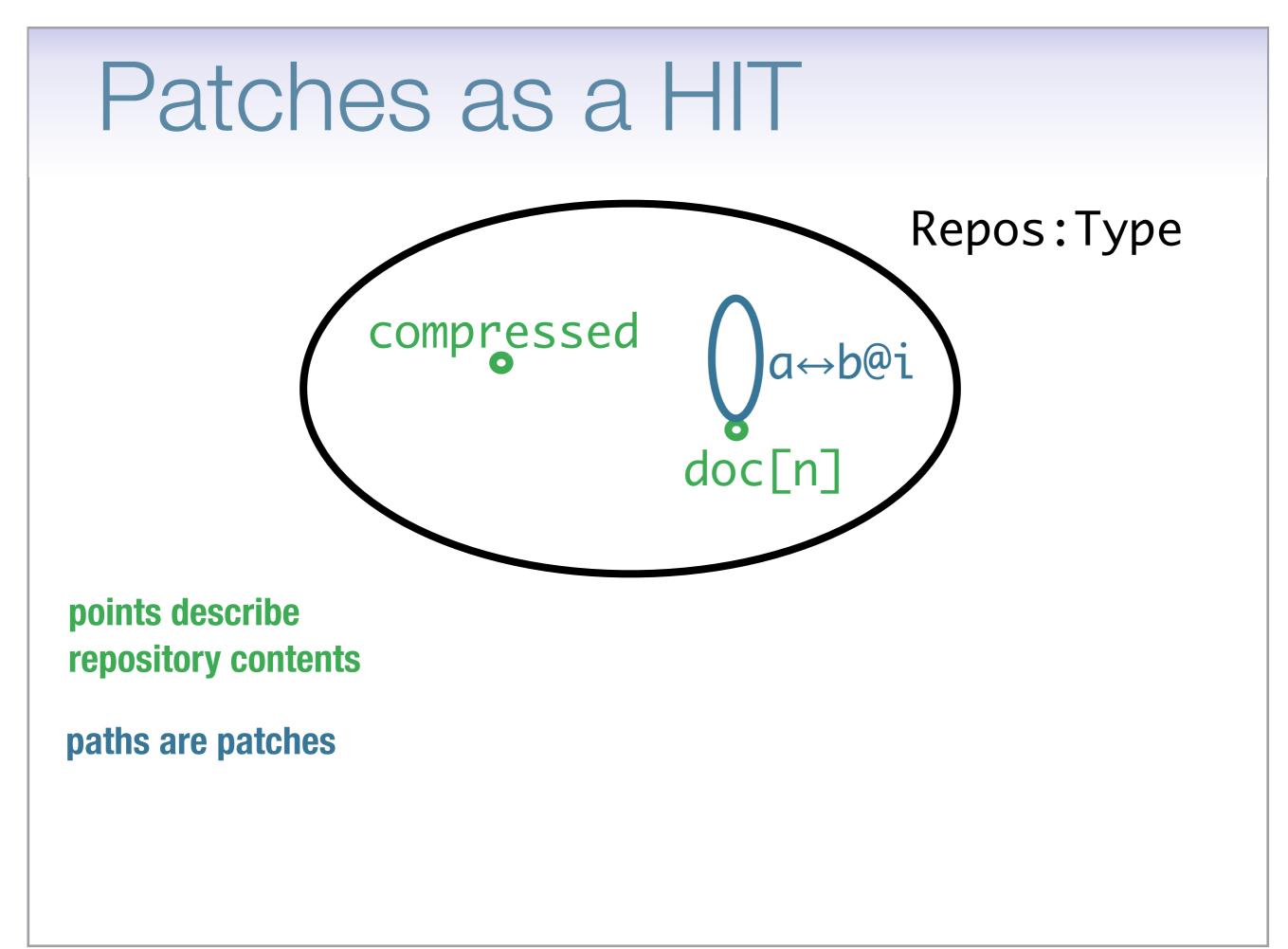
$$f \quad i \quad b \quad \leftarrow \quad f \quad i \quad a$$

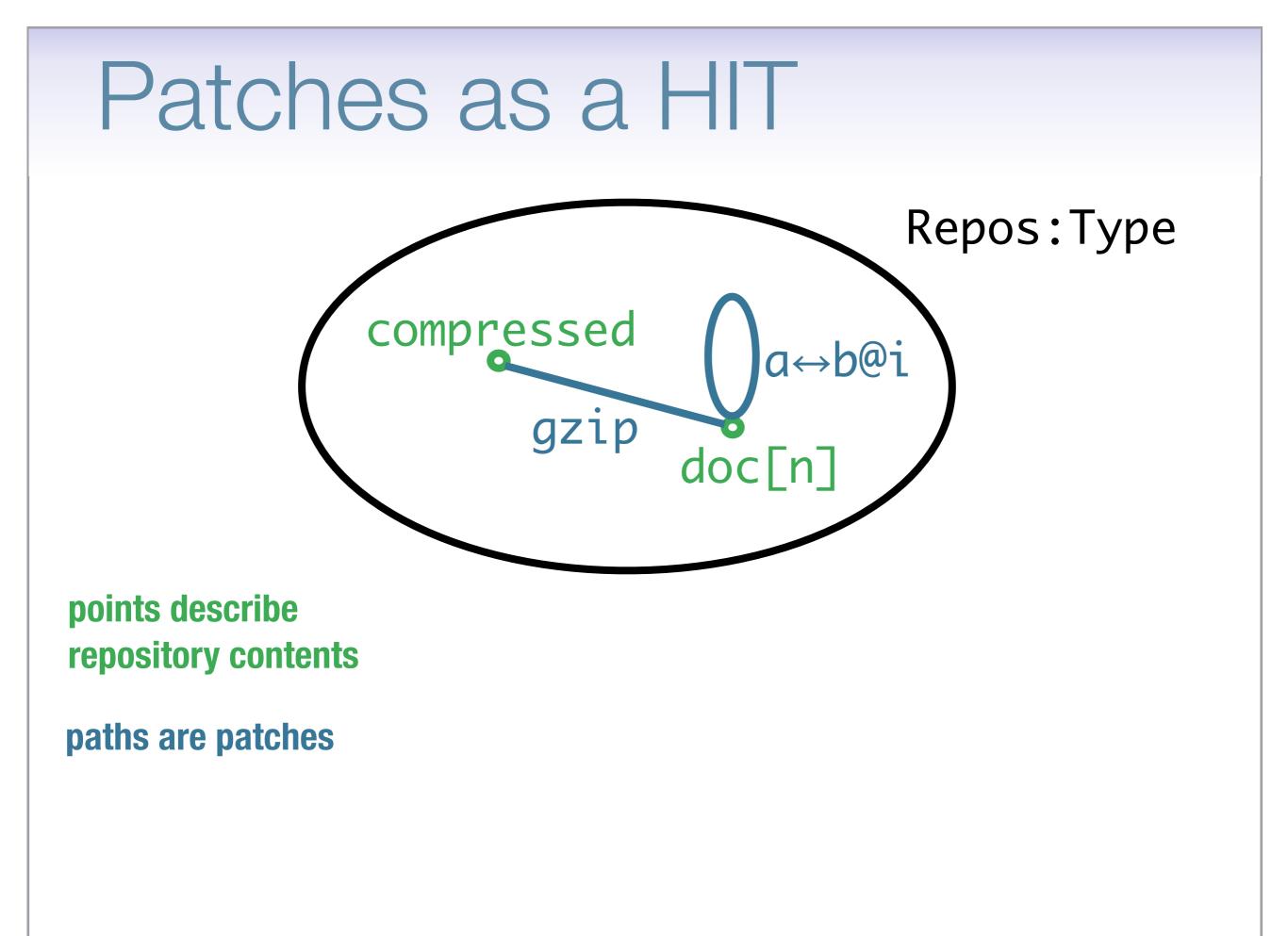
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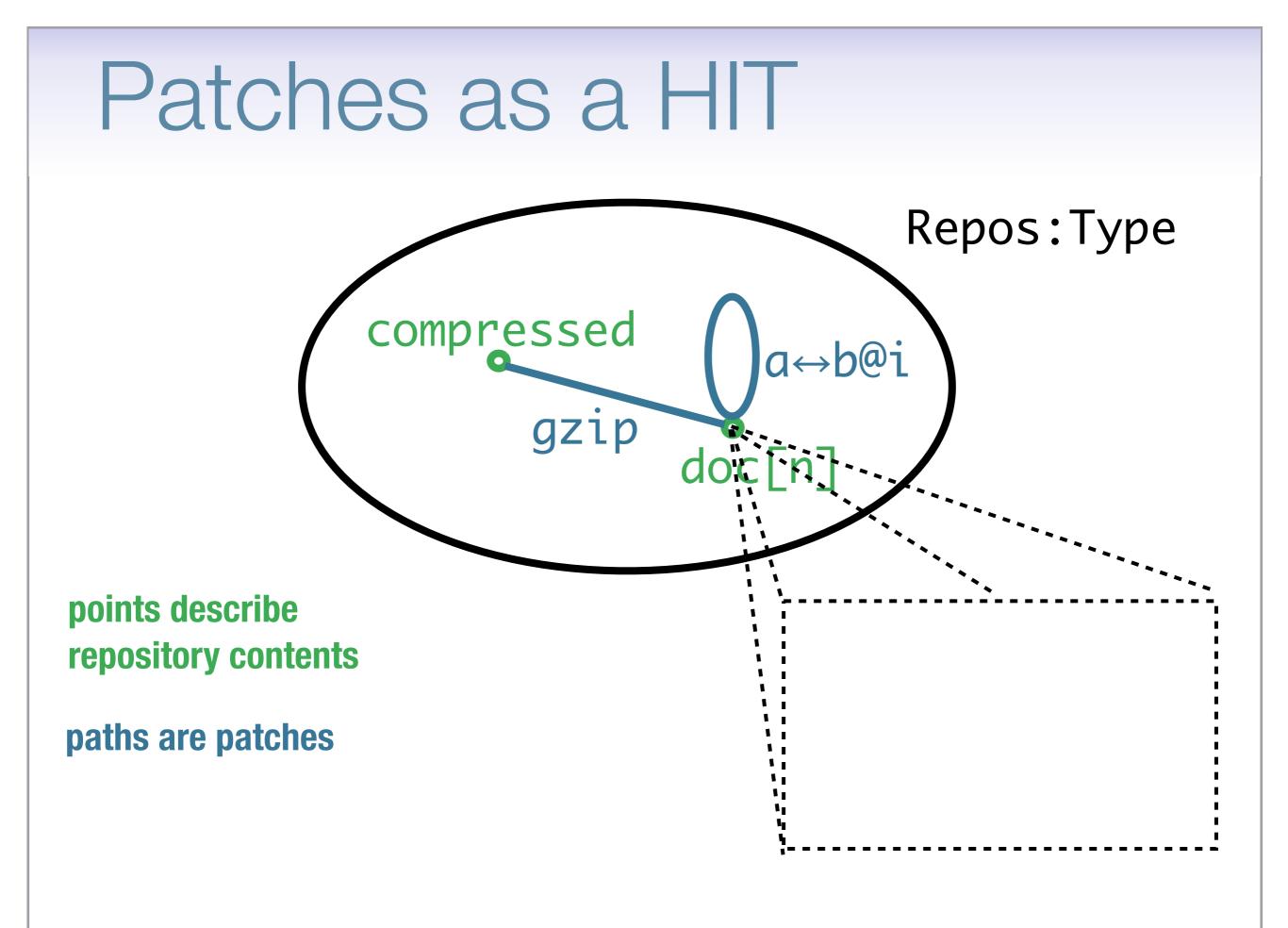


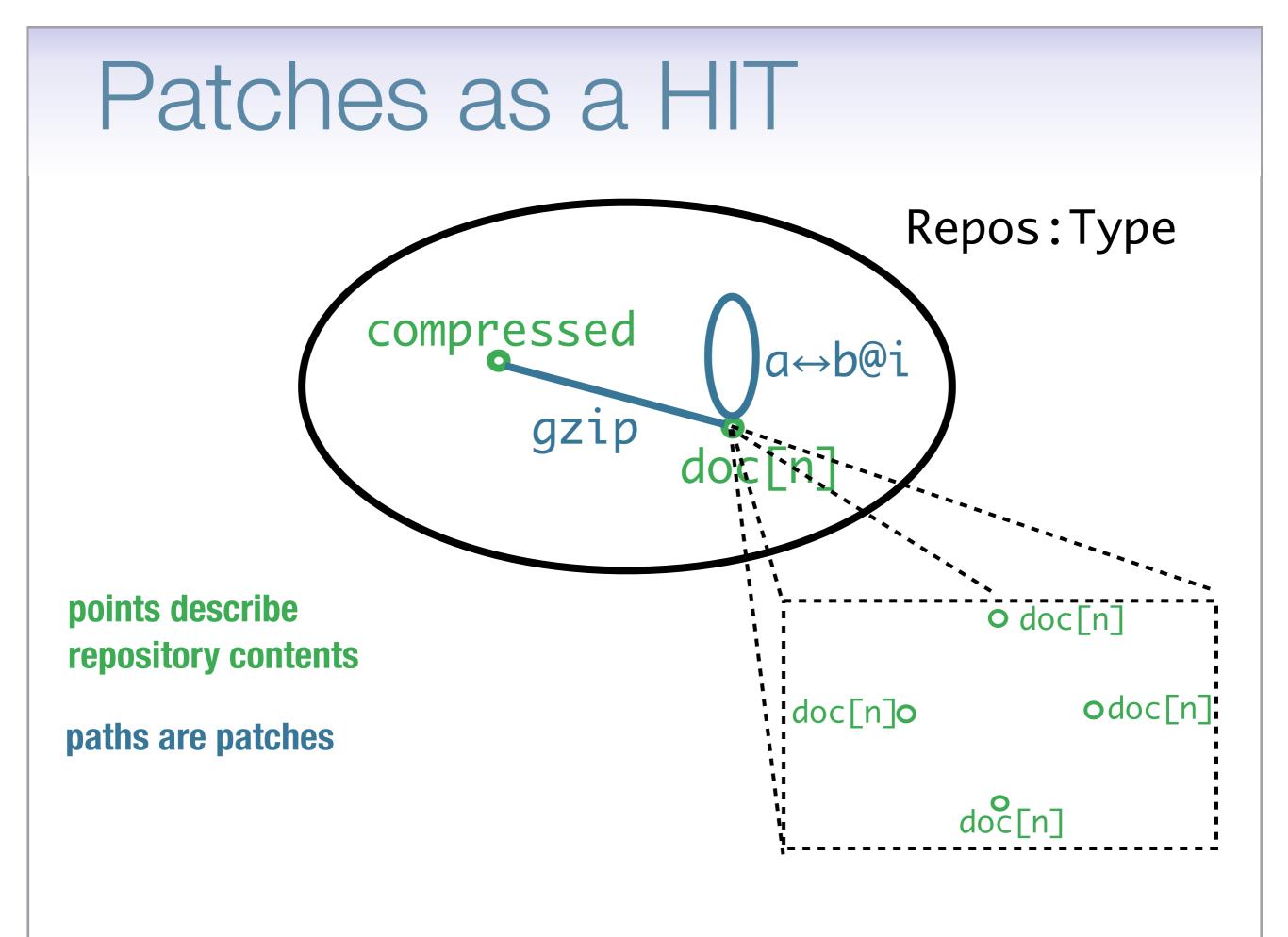


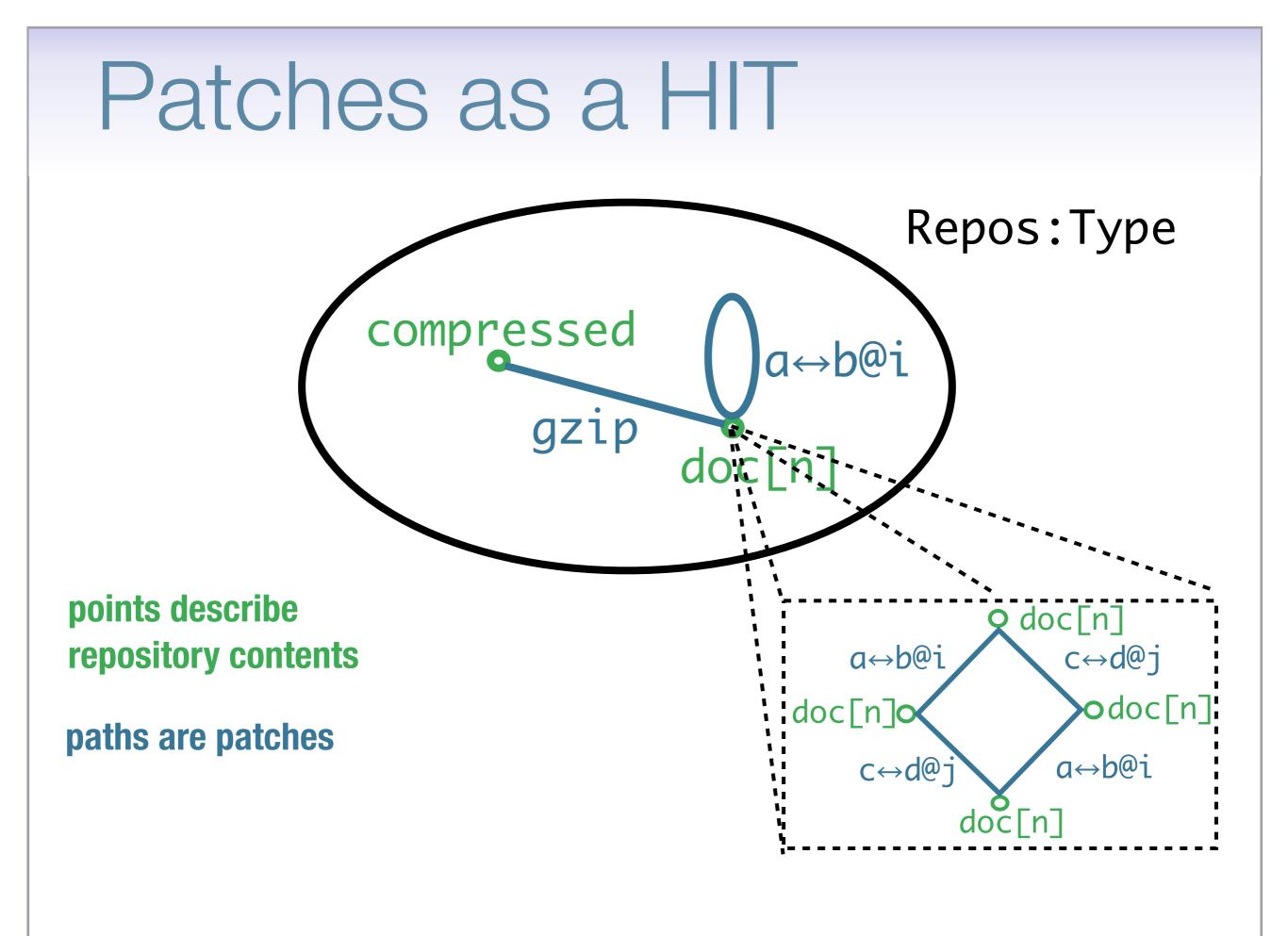


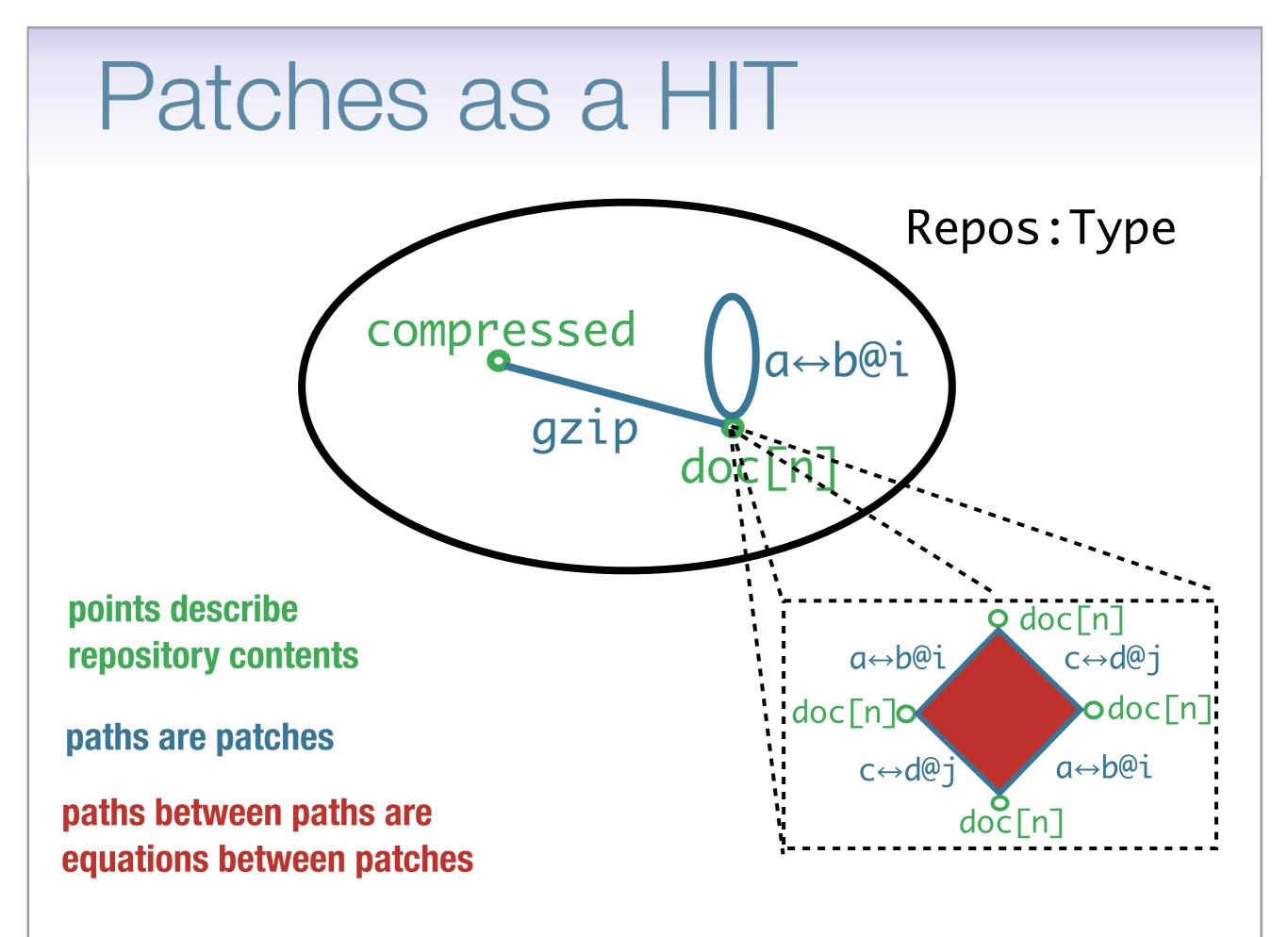


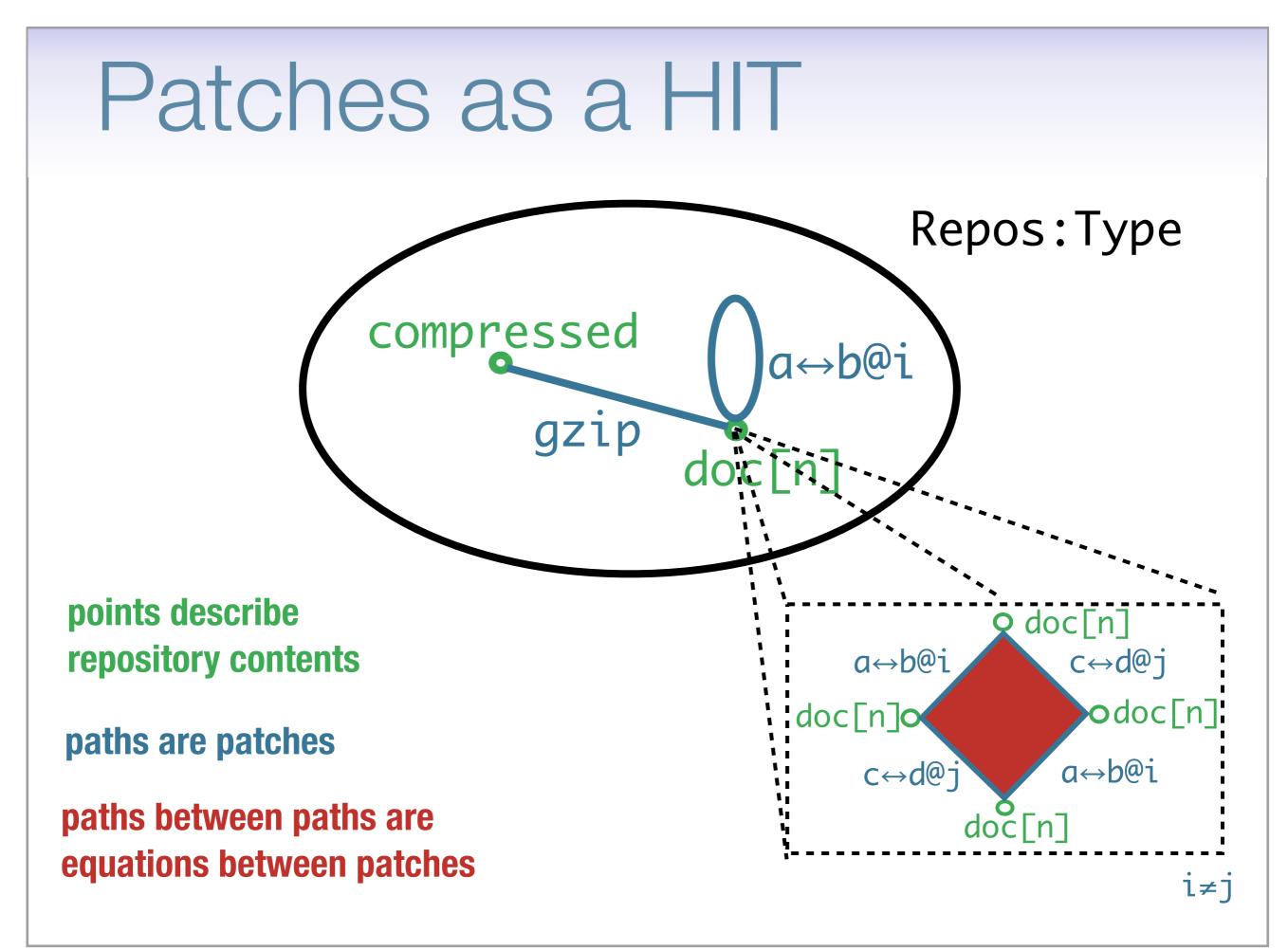












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- doc[n] : Repos
  compressed : Repos

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commute: (a↔b at i)o(c↔d at j) if i ≠ j =(c↔d at j)o(a↔b at i)

<b>Type:</b> Patch
Elements:
id : Patch $\_\circ\_$ : Patch $\rightarrow$ Patch $\rightarrow$ Patch ! : Patch $\rightarrow$ Patch $\_\leftrightarrow\_at\_$ : Char $\rightarrow$ Char $\rightarrow$ Fin n $\rightarrow$ Patch
Equality: (a⇔b at i)o(c⇔d at j)= (c⇔d at j)o(a⇔b at i)
id o $p = p = p$ o id
po(qor) = (poq)or
!p o p = id = p o !p
p=p
p=q if q=p
p=r if p=q and q=r
!p = !p' if p = p'
$p \circ q = p' \circ q'$ if $p = p'$ and $q = q'$

Type: ReposPoints:doc[n]Paths:

a⇔b@i

#### Paths between paths:

commute :
(a↔b at i)o(c↔d at j)=
(c↔d at j)o(a↔b at i)

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All functions on Repos respect patches All functions on patches respect patch equality

### Interpreter

Goal is to define:

#### interp : doc[n] = doc[n]

→ Bijection (Vec Char n) (Vec Char n)

### Interpreter

Goal is to define: interp : doc[n] = doc[n] → Bijection (Vec Char n) (Vec Char n) interp(id) = (λx.x, ...) interp(q o p) = (interp q) o<sub>b</sub> (interp p) interp(!p) = !<sub>b</sub> (interp p) interp(a⇔b@i) = swapat a b i

### Interpreter

But only tool available is RepoDesc recursion: no direct recursion over paths

Need to pick A and define I(doc[n]) := ... : A  $I_1(a \leftrightarrow b@i) := ... : I(doc[n]) = I(doc[n])$  $I_2(compose) := ...$ 

Key idea: pick A = Type and define I(doc[n]) := ... : Type  $I_1(a \leftrightarrow b@i) := ... : I(doc[n]) = I(doc[n])$  $I_2(compose) := ...$ 

Key idea: pick A = Type and define I(doc[n]) := Vec Char n : Type  $I_1(a \leftrightarrow b@i) := ... : I(doc[n]) = I(doc[n])$  $I_2(compose) := ...$ 

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Key idea: pick A = Type and define
I(doc[n]) := Vec Char n : Type
I<sub>1</sub>(a↔b@i) := ua(swapat a b i)
 : Vec Char n = Vec Char n
I<sub>2</sub>(compose) := ...

Key idea: pick A = Type and define I(doc[n]) := Vec Char n : Type  $I_1(a \leftrightarrow b@i) := ua(swapat a b i)$ : Vec Char n = Vec Char n  $I_2(compose) := ...$ univalence

Key idea: pick A = Type and define
I(doc[n]) := Vec Char n : Type
I<sub>1</sub>(a↔b@i) := ua(swapat a b i)
 : Vec Char n = Vec Char n
I<sub>2</sub>(compose) := <proof about swapat>

interp : doc[n]=doc[n]  $\rightarrow$  Bijection (Vec Char n) (Vec Char n) interp(p) = ua<sup>-1</sup>(I<sub>1</sub>(p))

Key idea: pick A = Type and define
I(doc[n]) := Vec Char n : Type
I<sub>1</sub>(a↔b@i) := ua(swapat a b i)
 : Vec Char n = Vec Char n
I<sub>2</sub>(compose) := <proof about swapat>

Satisfies the desired equations (as propositional equalities):

interp(id) = 
$$(\lambda x.x, ...)$$
  
interp(q o p) = (interp q) o<sub>b</sub> (interp p)  
interp(!p) = !<sub>b</sub> (interp p)

interp(a↔b@i) = swapat a b i

**※ I**: Repos → Type interprets Repos as Types, patches as bijections, satisfying patch equalities

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- \* Higher inductive elim. defines functions that respect equality: you specify what happens on the generators; homomorphically extended to id,o,!,...
- \* Univalence lets you give a computational model of equality proofs (here, patches); guaranteed to satisfy laws
- Shorter definition and code:
  - 1 basic patch & 4 basic axioms of equality, instead of
  - 4 patches & 14 equations

# **Operational semantics**

\* Can't run these programs yet

\* Some special cases known, some recent progress: Licata&Harper, POPL'12 Coquand&Barras, '13 Shulman, '13 Bezem&Coquand&Huber, '13

\* Would support proof automation and programming applications

# Outline

1.Certified homotopy theory

2.Certified software

