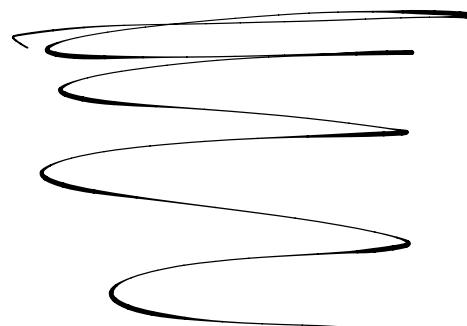


# Recursion and Induction



→ driven by the  
Structure of

the Data



A natural number is either

- 0, or
- 1 + k, where k is a natural number

self-referential

0 is a nat ✓

1 is a nat

$$1 = 1 + 0$$

2 is a nat

$$2 = 1 + 1$$

$$= 1 + 1 + 0$$

# Recursion

## Methodology

1) Type + name

2) Purpose

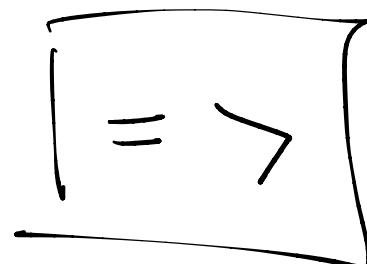
3) Examples

4) Code

5) Test

Goal: Double a natural number

② (\* Purpose: \*)



③ (\* Examples: \*)

double(2) should be 4

double(3) should be 6 (\*)

① fun double(n: int) : int =

case n of

0 => 0

1 -> 2 + double(n-1)

shift

recursive call

case  $\wedge$  of

0  $\Rightarrow$  —

| 1  $\Rightarrow$  —

| 2  $\Rightarrow$  —

| 3  $\Rightarrow$  —

double(2)

→ case 2 of 0⇒0 | - ⇒ 2 + double(2 - 1)

→ 2 + double(2 - 1)

→ 2 + double(1)

→ 2 + case 1 of 0⇒0 | - ⇒ 2 + double(1 - 1)

→ 2 + (2 + double(1 - 1))

→ 2 + (2 + double 0)

→ 2 + (2 + (case 0 of 0⇒0 | - ⇒ 2 + double(0 - 1)))

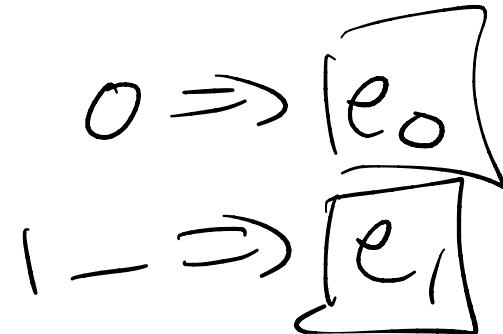
→ 2 + (2 + 0)

→ 2 + 2

→ 4

To step

case 1 of



- ① Step n until value
- ② if value is 0,  
step to  $e_0$
- ③ if value is not 0,  
step to  $e_1$

( $\times$  Purpose  
Compute  $2^n$ )

( $\times$  Eg.)

$$\exp(2) = 4$$

$$\exp(3) = 8$$

$$\exp(4) = 16 \quad \dots \quad *$$

fun  $\exp(n: \text{int}): \text{int} =$

case  $n$  of

$$0 \Rightarrow$$

$$\boxed{1}$$

$$1 \Rightarrow$$

$$\boxed{2 * \exp(n-1)}$$

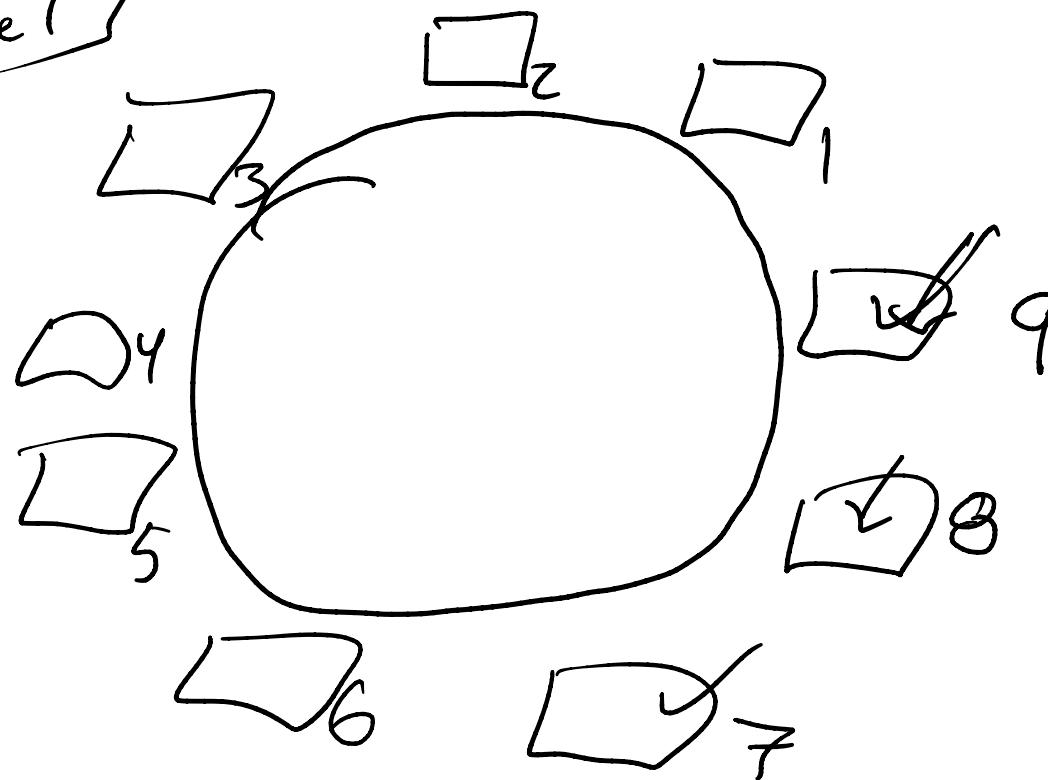
$n$  times

$$\overbrace{2 * 2 * 2 * 2 * 2 \dots \dots \dots * 2}^n$$

(e.g. Purpose + e.g.)

$$9! = \underbrace{9 \times 8 \times 7 \times 6 \times 5 \times 4 \times 3 \times 2 \times 1}$$

9 factorial



Q: how many arrangements  
of 9 people?  
\*)

(\* Goal: compute  $n!$  \*)

fun fact(n:int):int =

case n of

0 =>

1

1 ->

$n * \text{fact}(n-1)$

---

fact(5)

$\vdash \text{case } 5 \text{ of } 0 \Rightarrow 1 \mid 1 -\Rightarrow 5 * \text{fact}(4)$

$\vdash 5 * \text{fact}(4)$

$\vdash 5 * (4 * 3 * 2 * 1)$

$\text{fact}(5)$

$\rightarrow$  case 5 of 0  $\Rightarrow$  1  $\rightarrow$  5 \*  $\text{fact}(5-1)$   
 $\rightarrow$  5 \*  $\text{fact}(4)$

$\rightarrow$  5 \* case 4 of 0  $\Rightarrow$  1  $\rightarrow$  4 \*  $\text{fact}(4-1)$

Problem: Make the doctor

Say "aaa...a"

with n letters



Examples

doctor 2 = "aa"

doctor 4 = "aaaa"

doctor 5 = "aa&a a"

fun doctor ( $n$  : int): String =  
case  $n$  of  
 $0 \Rightarrow \text{"“}$   
 $( - \Rightarrow \text{“a”} ^ \wedge \text{doctor}(n-1)$

doctor(5) should be "aaaaa"

doctor(4) should be "aaa"

for fact( $n$ : int): int =  
 Case  $n$  of  
 $0 \Rightarrow 1$  :int  
 $1 \Rightarrow n * \text{fact}(n-1)$

for factor ( $n$ : int): string =  
 Case  $n$  of  
 $0 \Rightarrow \text{"a"}$  :string  
 $1 \Rightarrow \text{"a"} \wedge \text{factor}(n-1)$

for  $f(x : T_1) : T =$

$x : T$  [   
 $\quad \quad \quad \text{Case } n : \text{int} \text{ of}$   
 $\quad \quad \quad \quad \quad 0 \Rightarrow e_0$  :  $T$   
 $\quad \quad \quad \quad \quad 1 \Rightarrow [e_1, f(-) : T]$  :  $T$  ]

To prove something about

all natural numbers,

you can

- ① prove it for 0
- ② prove it for  $1+k$

assuming it is true

for  $k$

```
fun exp(n:int):int =
```

case n of

$$0 \Rightarrow \boxed{1}$$

$$1 \Rightarrow \boxed{2 * \exp(n-1)}$$

(\* Purpose: for all natural numbers n,

$$\exp(n) = \cancel{2^n} *$$

Proof by induction on n

①

Prove  $\exp(0) = 2^0$

② Prove  $\exp(1+k) = 2^{1+k}$  ] for any k  
assuming  $\exp(k) = 2^k$

fun exp(n: int): int =

case n of

$$0 \Rightarrow \boxed{1}$$

$$1 - \Rightarrow \boxed{2 * \exp(n-1)}$$

①

Base case

To show:  $\exp(0) = 2^0$

$\exp(0)$

$\mapsto$  case 0 of  $0 \Rightarrow 1 \dots$

$\mapsto 1$

$\equiv 2^0$

2

To Show:

$$\exp(1+k) = 2^{1+k}$$

Inductive hypothesis:

$$\boxed{\exp(k) = 2^k}$$

$$\exp(1+k)$$

$$\mapsto \text{case } 1+k \text{ of } 0 \Rightarrow 1 \quad - \Rightarrow 2 * \exp((1+k)-1)$$

$$\mapsto 2 * \exp((1+k)-1)$$

$$= 2 * \boxed{\exp(k)}$$

$$= 2^{1+k}$$

fun exp(n: int): int =

case n of

0 =>

$$\boxed{1}$$

- =>

$$\boxed{2 * \exp(n-1)}$$

by inductive hypothesis  
by math

Programs aren't exactly math

$$\exp(\sim 1)$$

"-1"

$$\mapsto 2 * \exp(-2)$$

$$\mapsto 2 * 2 * \exp(-3)$$

$$\mapsto 2 * 2 * 2 * \exp(-4)$$

$$\mapsto \dots$$

Infinite  
loop

$e_1 = e_2$        $e_1$   
 $e_2$  programs  
either

- ① both  $e_1$  and  $e_2$  return  
the same value
- ② both raise the same  
exception (5div0 raise Div)
- ③ both  $\infty$ -loop

## Rules:

1 If  $e \mapsto e'$  then  $e = e'$

2 equivalence relation

①  $e = e$

② if  $e_1 = e_2$  then  $e_2 = e_1$

③ if  $e_1 = e_2$  and  $e_2 = e_3$   
then  $e_1 = e_3$

3 replace equals with equals inside  
an expression