

# Lecture 12:

◦ finish mergesort  
on trees

(◦ Polymorphism?)

# Divide + Combine

1) Divide problem into subproblems

2) Recur

3) Combine results

~~Mergesort~~ vs QS

split

filter  
less  
greater

merge  
based  
on sort

append

Time

$$W(n) = \underbrace{W_{\text{divide}}}_{\text{divide}} + \underbrace{2W\left(\frac{n}{2}\right)}_{\text{divide}} + \underbrace{W_{\text{combine}}}_{\text{combine}}$$

$$S(n) = \frac{S_{\text{divide}}}{S_{\text{combine}}} + S\left(\frac{n}{2}\right)$$

fun ms (t: tree): tree =

case t of

Empty  $\Rightarrow$  Empty

| Node(l, x, r)  $\Rightarrow$

~~split~~ ~~divide~~

merge ( merge (ms(l) ms(r)),

Node (Empty, x, Empty) )

Combine

fun merge (l1::int list, l2::int list) =  
 case (l1, l2) of

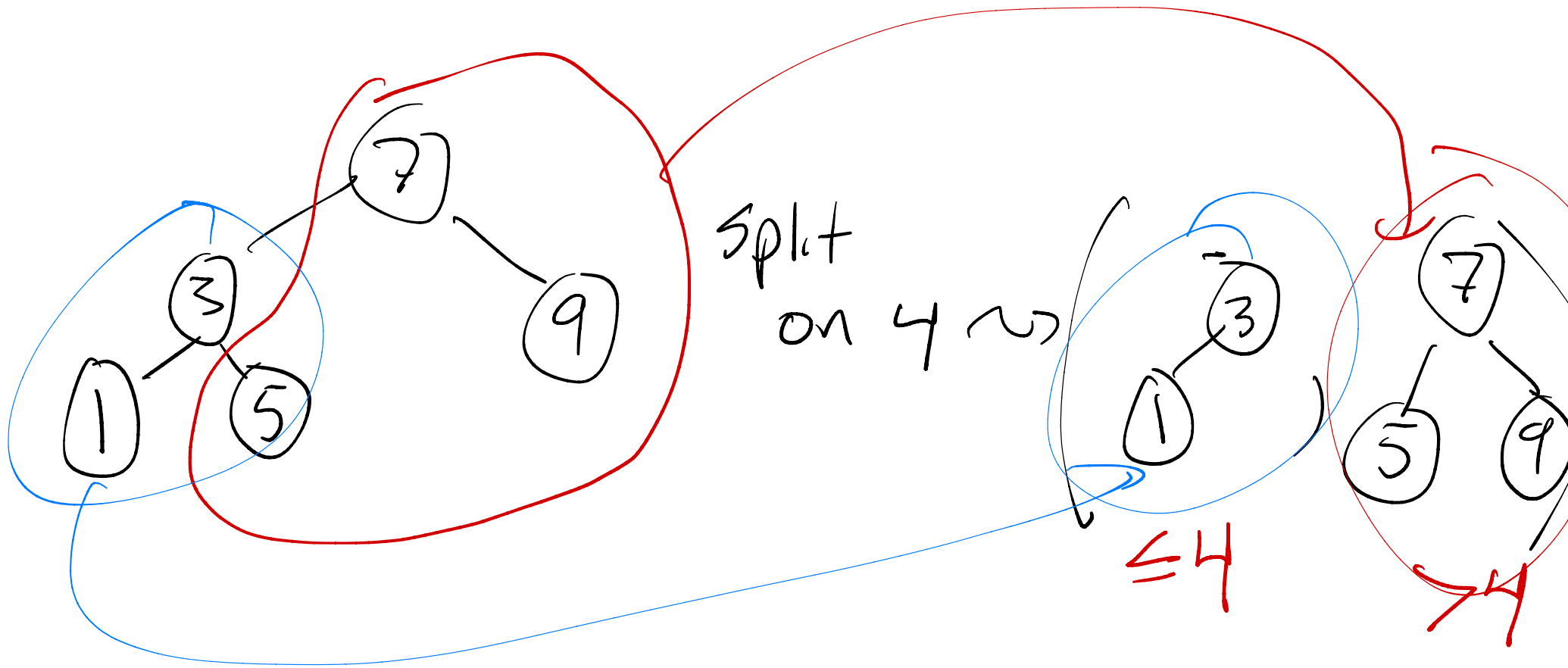
⋮

(x::xs, y::ys) =>

case x < y

| true => x::merge(xs, l2)

| false => y::merge(l1, ys)



Split At: value - based  
split

Take And Drop: size - based  
split

(\* If  $t$  is sorted, then  $\text{split}(t, b) = (l, r)$   
where  $l \leq b < r$ )  
fun splitAt( $t$ : tree,  $b$ : int): tree \* tree =

case t of

Empty  $\Rightarrow$  (Empty, Empty)

| Node( $l, x, r$ )  $\Rightarrow$  case  $b < x$  of

true  $\Rightarrow$  let val ( $ll, lr$ ) = splitAt( $l, b$ )  
in

( $ll$ ), Node( $lr, x, r$ )

| false  $\Rightarrow$  end

let val ( $rl, rr$ ) = splitAt( $r, b$ )  
in

end (Node( $l, x, rl$ )), ( $rr$ )

(\* Spec:  $\text{merge}(t_1, t_2)$  is a tree with all efts  
of  $t_1$  and  $t_2$  and if  $t_1, t_2$  are sorted <sup>and only</sup> then  
fun  $\text{merge}(t_1:\text{tree}, t_2:\text{tree}) : \text{tree} = \text{merge}(t_1, t_2)$   
IS sorted\*)

Case  $t_1$  of

Empty  $\Rightarrow t_2$

| Node ( $l_1, x, r_1$ )  $\Rightarrow$

let val ( $l_2, r_2$ ) = splitAt( $t_2, x$ )

in

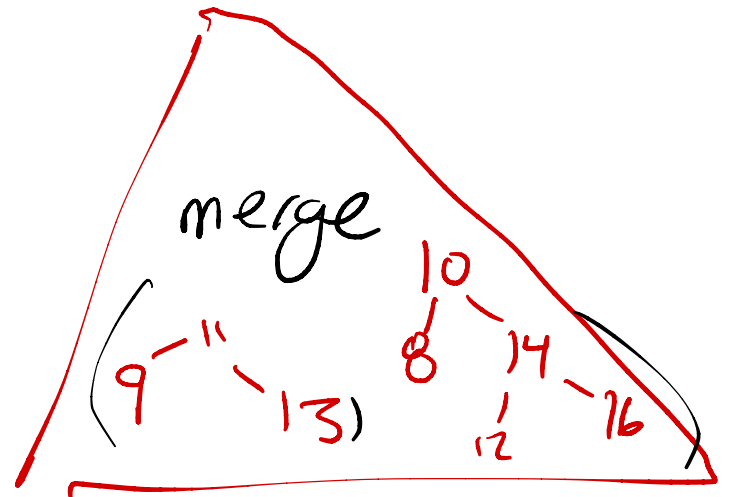
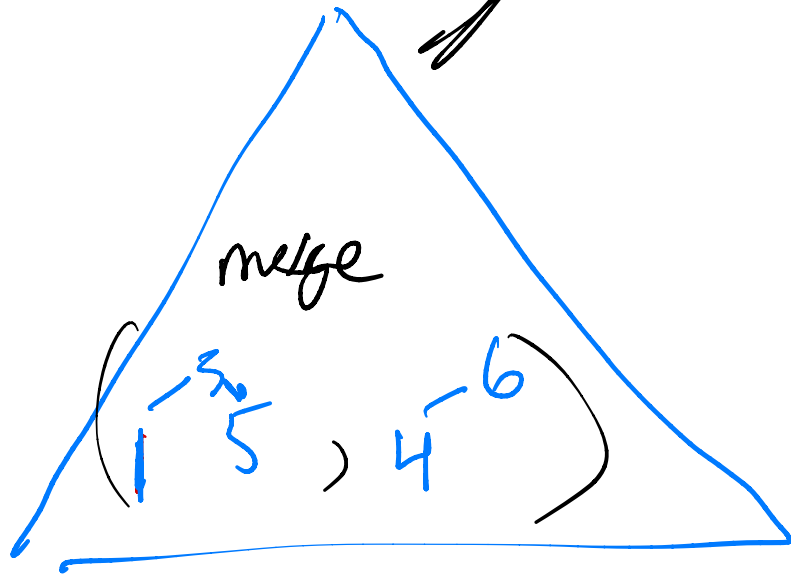
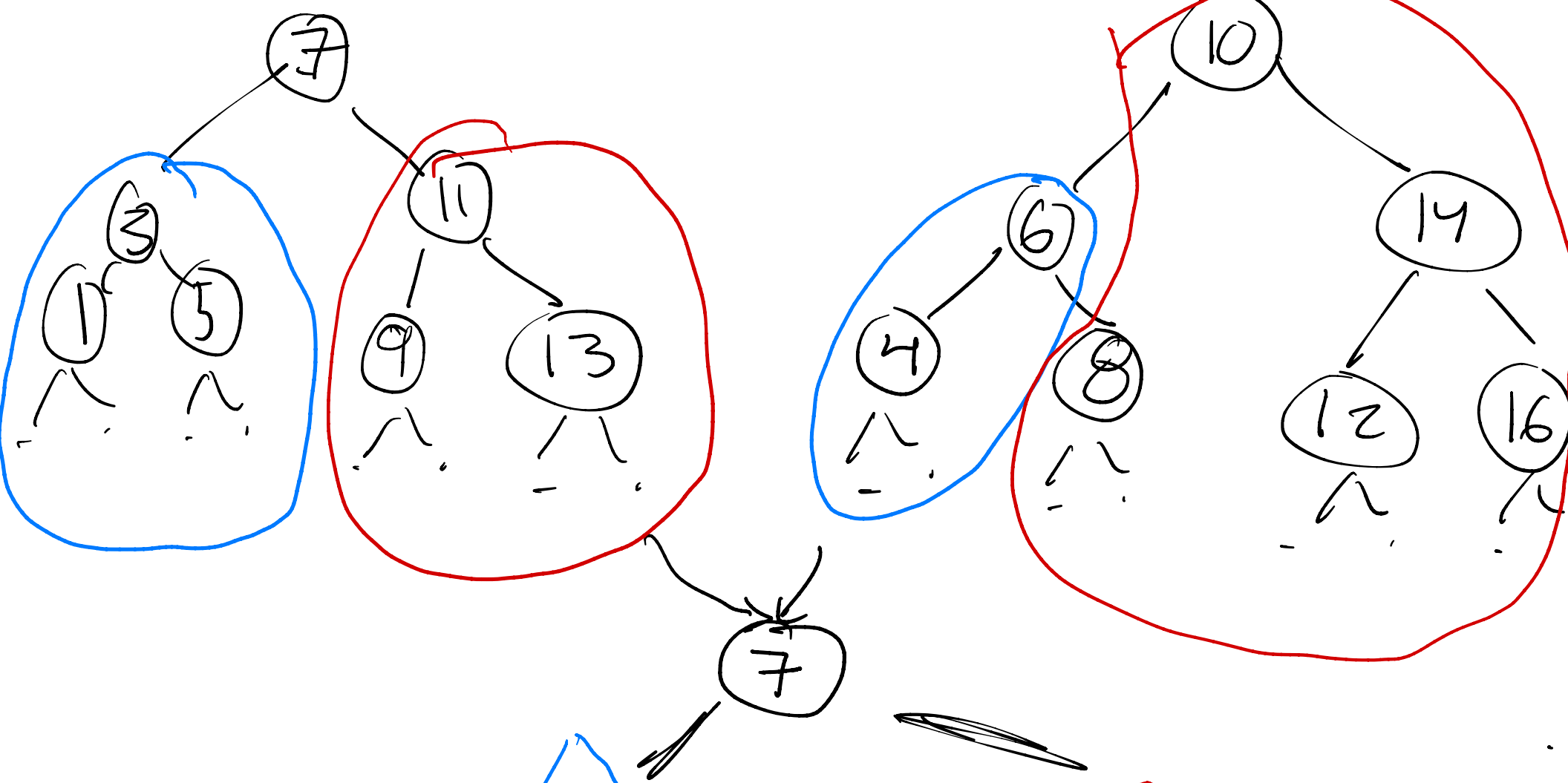
Node( $\text{merge}(l_1, l_2)$

$x,$

$\text{merge}(r_1, r_2)$ )

end





fun ms (t: tree): tree =

case + of

Empty  $\Rightarrow$  Empty

| Node(l, x, r)  $\Rightarrow$

~~split~~ ~~divide~~

merge (merge (ms(l) ms(r)),

Node(Empty, x, Empty))

Combine

$$W_{ms}(n) = W_{merging} + 2 W_{ms}\left(\frac{n}{2}\right)$$

$$\text{size} = n + 2 W_{ms}\left(\frac{n}{2}\right)$$

$$= O(n \log n)$$

fun ms (t: tree): tree =

case t of

Empty  $\Rightarrow$  Empty

| Node(l, x, r)  $\Rightarrow$

~~split~~ ~~divide~~

merge (merge (ms(l), ms(r)),

Node(Empty, x, Empty))

Combine

2 calls

$$S_{ms}(\underset{\substack{\uparrow \\ \text{size}}}{n}) = S_{\text{merging}} + 1 \cdot S_{ms}\left(\frac{n}{2}\right)$$

balanced

fun merge( $t_1$ :tree,  $t_2$ :tree):tree =

case  $t_1$  of  
Empty  $\Rightarrow$   $t_2$

| Node( $l_1$ ,  $x$ ,  $r_1$ )  $\Rightarrow$

let val ( $l_2$ ,  $r_2$ ) = splitAt( $t_2$ ,  $x$ )

in

Node(merge( $l_1$ ,  $l_2$ )

$x$ ,

merge( $r_1$ ,  $r_2$ ))

end

Smerge( $d_1, d_2$ )

is

$\mathcal{O}(\underline{d_1 \cdot d_2})$

Smerge( $d_1, d_2$ ) = Ssplit( $d_2$ ) +

$d_1$  - depth of  $t_1$

$d_2$  - depth of  $t_2$

$\max(\text{Smerge}(d_1-1, d_2),$

$\text{Smerge}(d_1-1, d_2))$

=  $d_2$  +  $\text{Smerge}(d_1-1, d_2)$

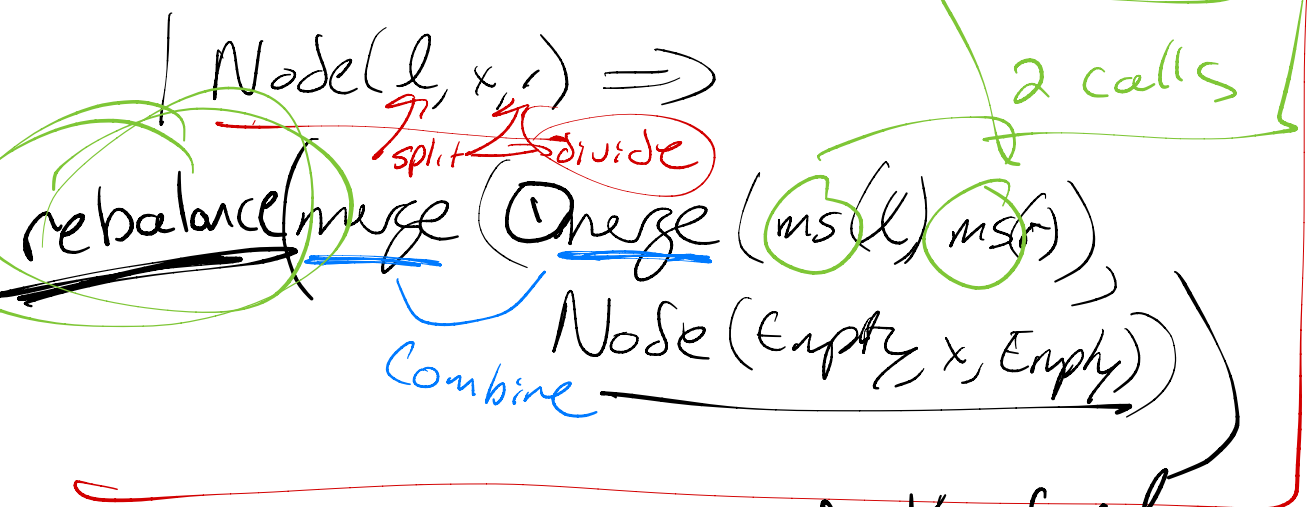
fun splitAt(t: tree, b: int): tree \* tree = <sup>depth of tree</sup> tree  
 case t of  
 Empty => (Empty, Empty)  
 | Node(l, x, r) => case b < x of  $\leq$  depth t  
 true => let val (ll, lr) = splitAt(l, b)  
 in (ll, Node(lr, x, r))  
 end  
 | false => let val (rl, rr) = splitAt(r, b)  
 in (Node(l, x, rl), rr)  
 end



$$S_{\text{split}}(d) = k + S_{\text{split}}(\underline{d-1})$$

$d$  - depth of  $t$   
 is  $O(d)$

fun ms (t: tree): tree =  
 case t of  
 Empty => Empty



$$= (\log n)^2 + S_{ms}(\frac{n}{2})$$

$$\leq \left. \begin{matrix} (\log n)^2 \\ + (\log n)^2 \\ + (\log n)^2 \\ + (\log n)^2 \end{matrix} \right\} \log n \text{ times}$$

$$O((\log n)^3)$$

depth of ms l      depth of ms r

$$S_{ms}(\frac{n}{2}) = S_{merge}(\frac{\log n}{2}, \frac{\log n}{2}) + S_{ms}(\frac{1}{2})$$

size

balanced

depth of merge 1

$$+ S_{merge}(2^{\log_2 n}, 1)$$

$$= (\log n)^2 + 2 \log n + S_{ms}(\frac{1}{2})$$

+ S reb (-)

Lists

merge sort

Trees

Work  $O(n \log n)$

$O(n \log n)$

"work-efficient"

Span  $O(n)$

$O((\log n)^3)$

linear



sublinear

time on P procs is  $\approx \max\left(\frac{W}{P}, S\right)$

100 things

2 procs

	1	2	3	4	...	50
P <sub>1</sub>	✓	✓	✓	✓	...	✓
P <sub>2</sub>	✓	✓	✓	✓	...	✓

number of procs usefully use  $\approx \frac{W}{S}$



$n = 1 \text{ billion}$

lists

$$O(n \log n)$$

$$\frac{w}{S}$$

$$O(n)$$

trees

$$O(n \log n)$$

30 billion

$$O((\log n)^2)$$

27,000

$$P \approx \log n \quad 30$$



$$P \approx \frac{n}{(\log n)^2} \quad 1,000,000$$

