

# Verification and Parallelism in Intro CS

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Wesleyan University

# Starting in 2011, Carnegie Mellon revised its intro CS curriculum

- Computational thinking [Wing]
- Specification and verification
- Parallelism

# CMU Intro Courses

Fundamentals of Computing

Imperative  
Computation

Functional  
Computation

Computer  
Systems

Data Structures  
and Algorithms

Software  
Construction

# CMU Intro Courses

Fundamentals of Computing

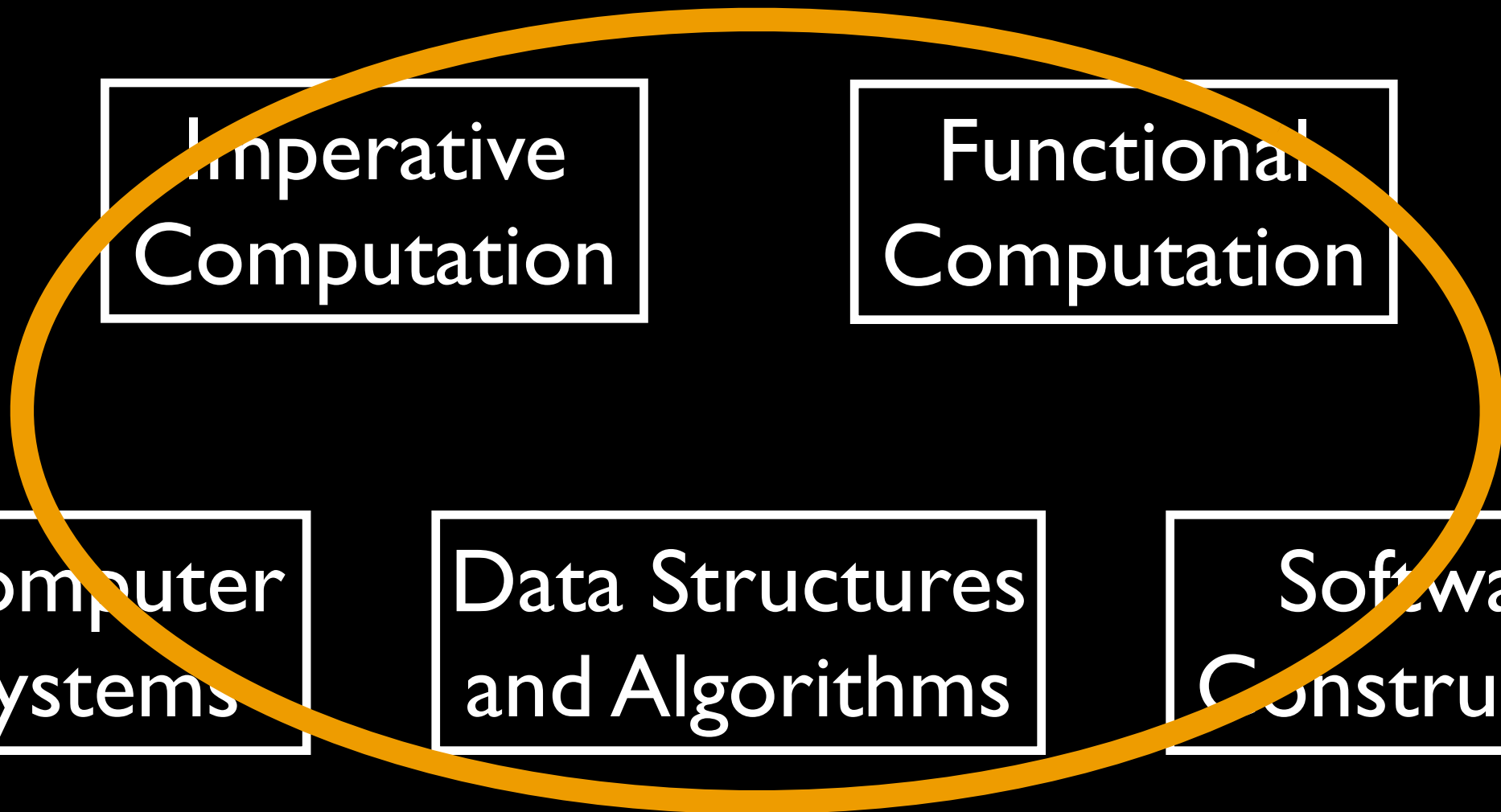
Imperative  
Computation

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Construction



# Course Design

- **Imperative computation (Fa'11):**  
Frank Pfenning, Tom Cortina, William Lovas
- **Functional computation (Sp'11):**  
me, Bob Harper
- **Data structures and algorithms (Fa'12):**  
Guy Blelloch, Margaret Reid-Miller,  
Kanat Tangwongsan

taught and refined by many people since!

# Status

- First group of students just graduated
- Courses are generally well-liked
- Anecdotaly, students seemed stronger than before in some upper-level classes
- Preliminary studies by Carol Frieze indicate they preserve the women-CS fit at CMU

# My role

- Designed Functional Computation
- Taught Spring'11, Fall'11, Spring'12
- Now at Wesleyan
- Taught Imperative Computation this spring; teaching Imperative next fall and Functional next spring

## CMU

- Premier tech school
- Students admitted to CS
- ~600 students per year in intro
- ~150 CS majors per year

## Wesleyan

- Liberal arts school, strong in sciences
- Not
- ~100 students per year in intro
- ~30 CS majors per year

## CMU

- Imperative has a “basic programming” prereq
- Imperative has a math co-req
- Functional has a math prereq

## Wesleyan

- Many students have never programmed before
- No math pre/co-reqs, though many students have it

# Hypothesis

CMU courses can work elsewhere,  
with some adaptation to context

Wesleyan this spring: 2/3rds of CMU Imperative

- less programming background
- shorter semester
- fewer student hours per week

# Course Design

Imperative  
Computation

Functional  
Computation

Data Structures  
and Algorithms

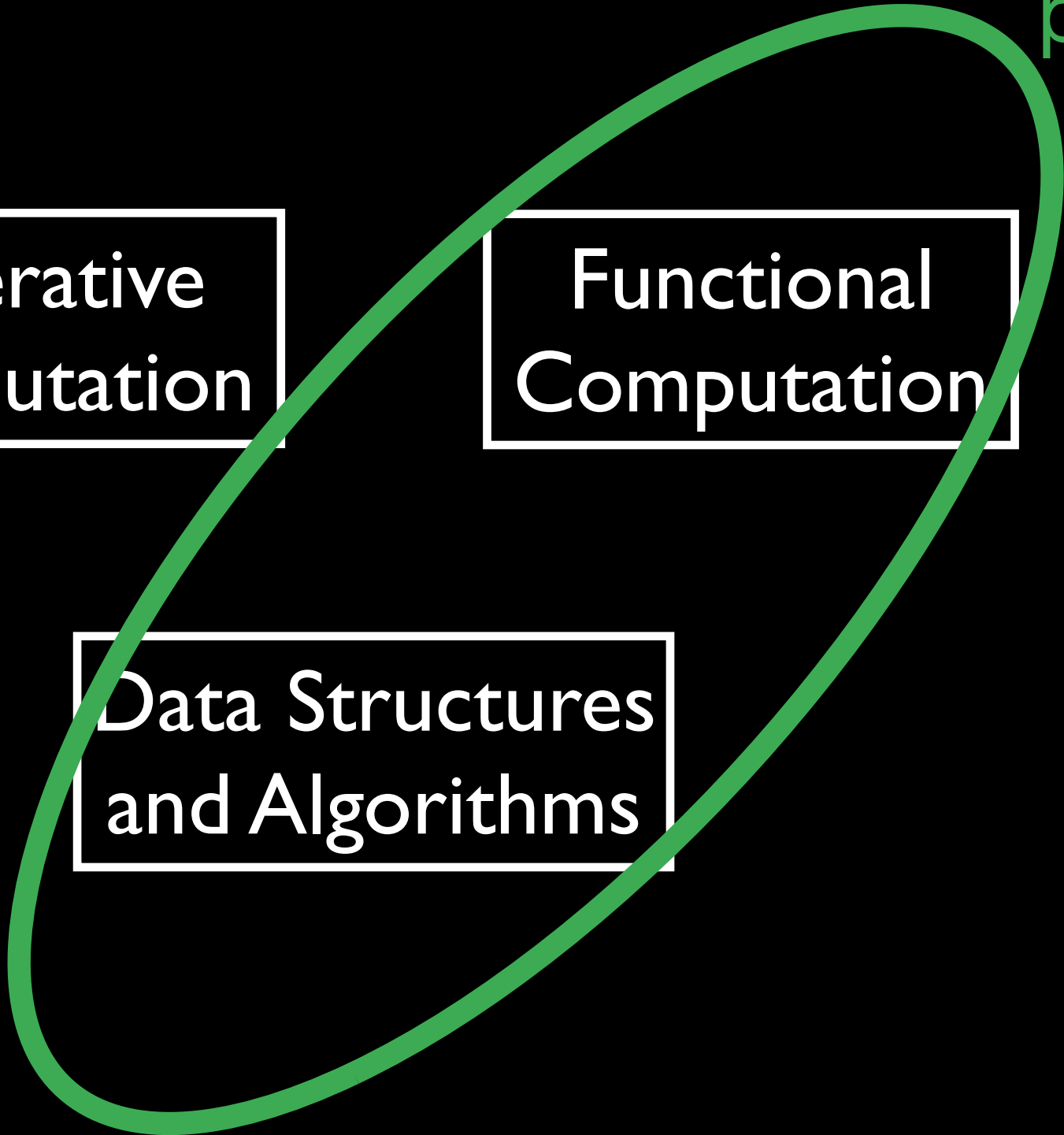
# Course Design

parallelism

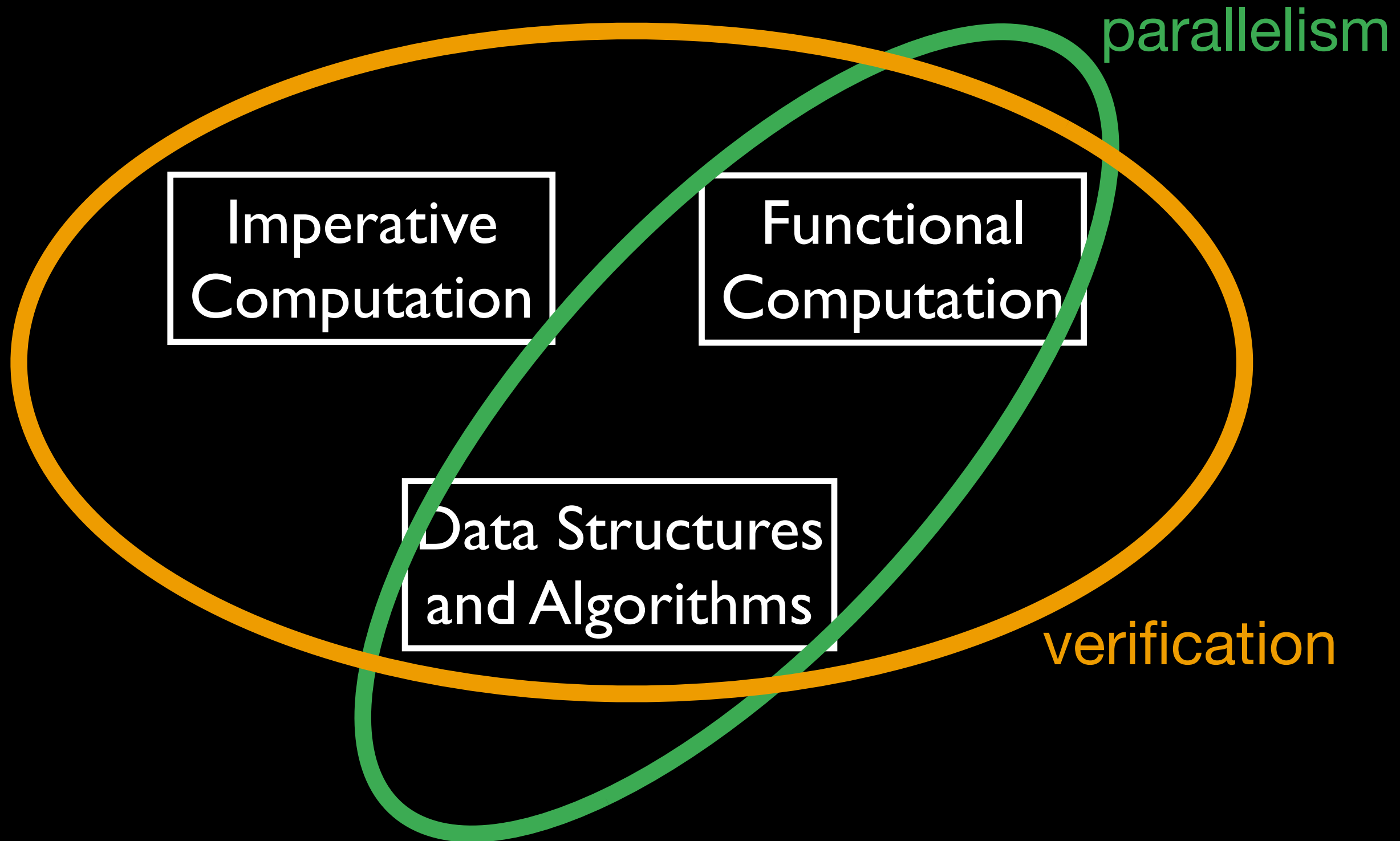
Imperative  
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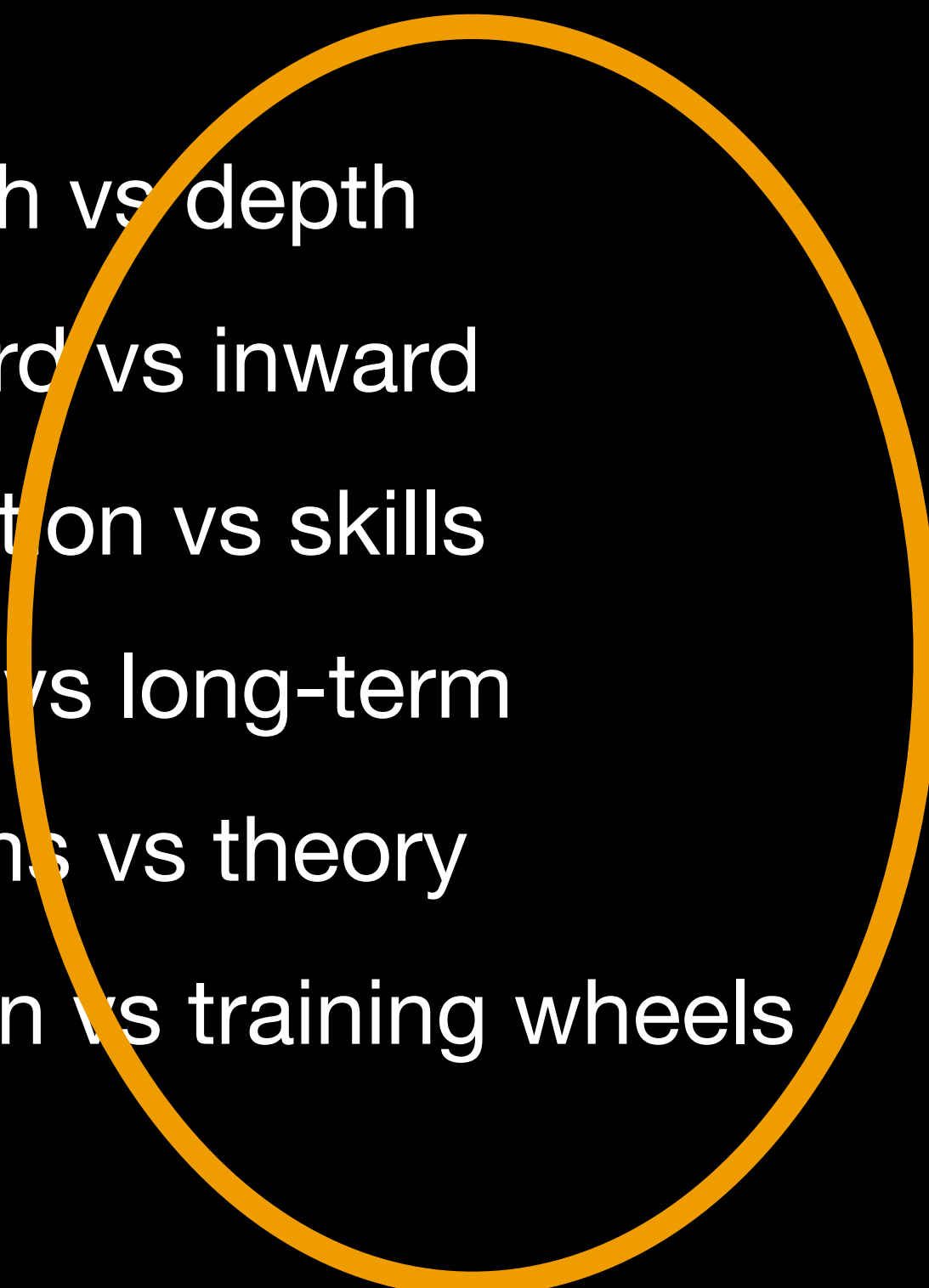
# Course Design



# Trade-offs

- Breadth vs depth
- Outward vs inward
- Motivation vs skills
- Short- vs long-term
- Systems vs theory
- Jump in vs training wheels

# Trade-offs

- Breadth vs depth
  - Outward vs inward
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  - Jump in vs training wheels
- 

# Objectives

computational thinking

imperative and functional programming

algorithm design and analysis

specification and verification

# Computational Thinking

what vs how

correctness and safety

efficiency

parallelism

randomness

self-reference

modularity

functions as data

ephemerality vs

persistence

# Objectives

computational thinking

**imperative and functional programming**

algorithm design and analysis

specification and verification

# Imperative

**C0:** teaching language based on C, designed by  
Frank Pfenning and Rob Arnold

functions, variables, loops, arrays, pointers&structs

rudimentary interfaces

type safe, bounds checked, garbage collected

# Imperative

Then transition to C

memory management  
void\* and casting  
function pointers

# Imperative

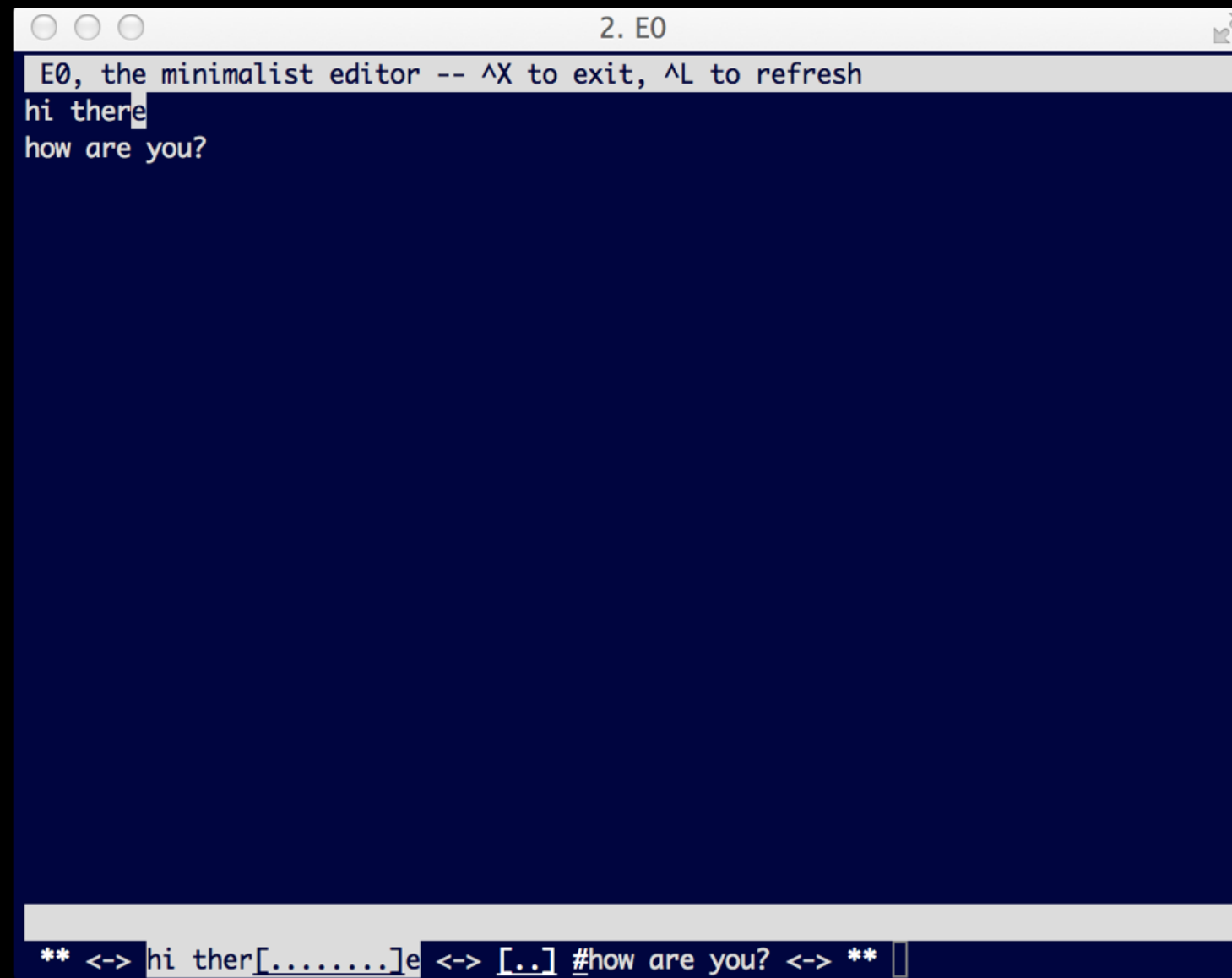
# Arrays



# Search

```
Top 10 most frequent words in texts/twitter_200k.txt
i 83670
to 37445
the 36925
lol 35615
a 30300
u 28033
my 25093
you 24574
me 21326
it 21144
```

# Pointers and structs



```
2. E0
E0, the minimalist editor -- ^X to exit, ^L to refresh
hi there
how are you?
```

\*\* <-> hi ther[.....]e <-> [..] #how are you? <-> \*\*

# Data structures

## 2.7 Summary of data structures

There are a lot of different data structures being used in this homework! Here is a summary to help you keep them straight:

- Building a Huffman tree converts a dictionary (represented as a pair of arrays) to a Huffman tree, using a priority queue (whose elements are Huffman trees).
- To encode, we convert a Huffman tree to a hashtable (mapping characters to bitstrings) using a stack (of pairs of Huffman trees and bitstrings).
- To decode, we just use the Huffman tree.
- The hashtable elements are a struct `char_with_string` that pairs a character with a bitstring.
- The priority queue elements are Huffman tree trees.
- The stack elements are a struct `hufftree_with_path` that pairs a Huffman tree with a bitstring.
- Decode returns a `decode_result`, which is either NULL or a pair of a character and a bitstring.

# Functional

## Standard ML

numbers, pairs, lists, trees, datatypes

functions as arguments and results

signatures, structures, functors

exceptions, mutation, IO

# Functional

Why not

- Ocaml: no parallel implementation (Manticore for SML)
- Haskell: laziness complicates cost analysis
- F#: want the ML module system

# Functional

## Barnes-Hut visualizer

Step 1:

Select transcript file or drag transcript file into box.

solar-year.txt

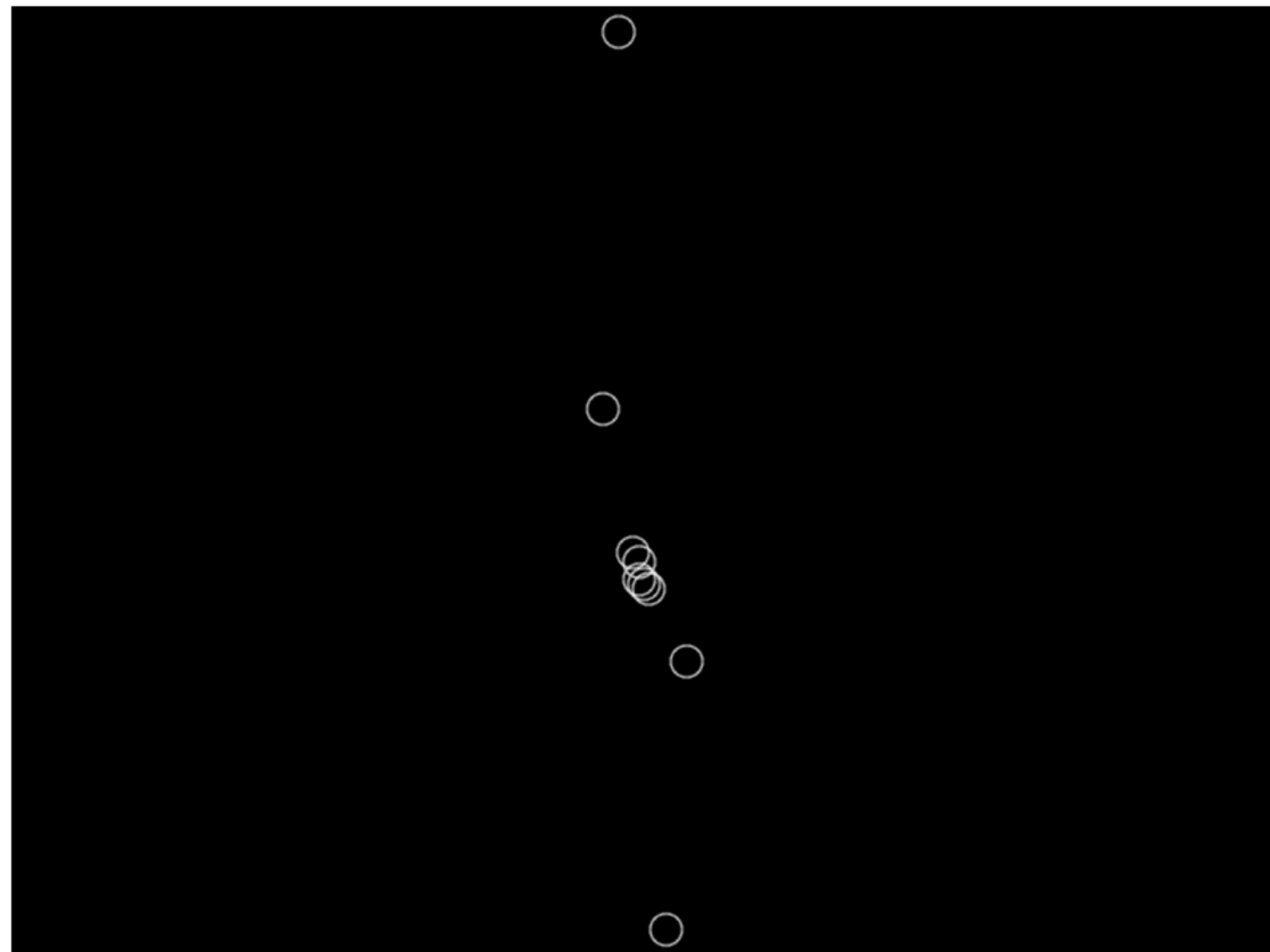


Step 2:

Run visualizer!

**End Simulation.**

[Go!](#)



# Functional

Maxie, please type your move: 0

Maxie decides to make the move 0 in 14 seconds.

0 1 2 3 4 5 6

```
-----  
| | | | | | | |  
| | | | | | | |  
| | | | | | | |  
|X| | | | | | |  
|X| | | | | | |  
|X| | |0|0| | |
```

Minnie decides to make the move 0 in 0 seconds.

0 1 2 3 4 5 6

```
-----  
| | | | | | | |  
| | | | | | | |  
|0| | | | | | |  
|X| | | | | | |  
|X| | | | | | |  
|X| | |0|0| | |
```

# Objectives

computational thinking

imperative and functional programming

**algorithm design and analysis**

specification and verification

# Imperative

|                           |                  |
|---------------------------|------------------|
| ephemeral data structures | unbounded arrays |
| arrays                    | priority queues  |
| searching                 | hash tables      |
| in-place sorting          | DFS/BFS          |
| linked lists              | balanced BSTs    |
| stacks                    | tries            |
| queues                    | spanning trees   |
|                           | union find       |

# Imperative

|                           |                  |
|---------------------------|------------------|
| ephemeral data structures | unbounded arrays |
| arrays                    | priority queues  |
| searching                 | hash tables      |
| in-place sorting          | DFS/BFS          |
| linked lists              |                  |
| stacks                    |                  |
| queues                    |                  |

# Analysis

big-O

worst case

amortized

expected case

# Functional

persistent data structures

lists

trees

sorting

regular expression matching

n-body simulation

balanced BSTs

game tree search

# Analysis

recurrence relations

closed forms

log

# Data structures and algorithms

divide and conquer

sequences

sets and tables

randomization

dynamic programming

BFS/DFS

shortest paths

treaps

leftist heaps

k-grams

# Functional

lists  
trees  
sorting  
n-body simulation  
game tree search

# DS&A

divide and conquer  
sequences  
sets and tables  
randomization  
BFS/DFS  
shortest paths  
treaps  
dynamic programming  
leftist heaps

# Functional

# DS&A

lists  
trees  
sorting  
n-body simulation  
game tree search

divide and conquer  
sequences  
sets and tables  
randomization  
BFS/DFS  
shortest paths  
treaps  
dynamic programming  
leftist heaps

**always have **parallelism** in mind!**

# Imperative

- mutable data structures
- arrays
- searching
- in-place sorting
- linked lists
- stacks
- queues
- unbounded arrays
- priority queues
- hash tables
- DFS/BFS
- tries
- spanning trees
- union find

# Functional/ DS&A

- lists
- trees
- sorting
- n-body simulation
- game tree search
- divide and conquer
- sequences
- sets and tables
- randomization
- BFS/DFS
- shortest paths
- treaps
- dynamic programming
- leftist heaps

# Imperative

- mutable data structures
- arrays
- searching
- in-place sorting
- linked lists
- stacks
- queues
- unbounded arrays
- priority queues
- hash tables
- DFS/BFS
- tries
- spanning trees
- union find

# Functional/ DS&A

- lists
- trees
- sorting
- n-body simulation
- game tree search
- divide and conquer
- sequences
- sets and tables
- randomization
- BFS/DFS
- shortest paths
- treaps
- dynamic programming
- leftist heaps

**always have **verification** in mind!**

# Objectives

computational thinking

imperative and functional programming

parallel algorithm design and analysis

specification and verification

# Specification and Verification

# Specification and Verification

**Imperative:** pre/post-conditions and loop invariants, expressed using boolean-valued functions

**Functional:** mathematical statements about calculational behavior of programs

# Slogans

proof-oriented programming

deliberate programming

proof-directed debugging

# Imperative

# Specifications

safety

behavioral

data structure invariants

# Safety

// try to prove that all array accesses are in bounds

```
void process_heartbeat(struct ssl* request)
{
    int payload = request->data[0];

    int[] buffer = alloc_array(int, 1 + payload);

    buffer[0] = payload;

    for (int i = 0; i < payload; i = i + 1)
    {
        buffer[i+1] = request->data[i+1];
    }

    send(buffer, 1+payload);
}
```

# Behavior

```
void sort(int[] A, int lower, int upper)
//@ requires 0 <= lower && lower <= upper && upper <= \length(A);
//@ ensures is_sorted(A,lower,upper);
{
    for (int i = lower; i < upper ; i = i + 1)
        //@loop_invariant lower <= i && i <= upper;
        //@loop_invariant is_sorted(A,lower,i);
        //@loop_invariant le_segs(A,lower,i,i,upper);
        {
            int smallest_index = get_min(A,i,upper);
            swap(A,i,smallest_index);
        }
}
```

# Behavior

```
//return true iff A[lower,upper) is sorted
bool is_sorted(int[] A, int lower, int upper)
//@requires 0 <= lower && lower <= upper && upper <= \length(A);
{
    for (int i = lower; i + 1 < upper; i = i + 1)
        //@loop_invariant 0 <= i;
        {
            if (A[i] > A[i+1]) { return false; }
        }
    return true;
}
```

# Proofs

Loop invariants hold initially

Loop invariants preserved by one iteration

Loop invariants imply the postcondition

```
void sort(int[] A, int lower, int upper)
//@ requires 0 <= lower && lower <= upper && upper <= \length(A);
//@ ensures is_sorted(A,lower,upper);
{
    for (int i = lower; i < upper ; i = i + 1)
        //@loop_invariant lower <= i && i <= upper;
        //@loop_invariant is_sorted(A,lower,i);
        //@loop_invariant le_segs(A,lower,i,i,upper);
        {
            int smallest_index = get_min(A,i,upper);
            swap(A,i,smallest_index);
        }
}
```

# Preservation of LI



$A[lower, i)$  sorted

$A[lower, i) \leq A[i, upper)$

$A[s] \leq A[i+1, upper)$

$A[lower, i+1)$  sorted

$A[lower, i+1) \leq$   
 $A[i+1, upper)$

# Binary Search

```
int search(int x, int[] A, int n)
//@requires 0 <= n && n <= \length(A);
//@requires is_sorted(A, 0, n);
/*@ensures (\result == -1 && !is_in(x, A, 0, n))
           || (0 <= \result && \result < n && A[\result] == x); @*/
{
    int lower = 0;
    int upper = n;

    // look in A[lower,upper)

    while (lower < upper)
        //@ loop_invariant 0 <= lower && lower <= upper && upper <= n;
        //@ loop_invariant lower == 0 || (x > A[lower - 1]);
        //@ loop_invariant upper == n || x < A[upper];
        {
            int mid = lower + (upper - lower) / 2;
            //@ assert lower <= mid && mid < upper;
            if (A[mid] == x) { return mid; }
            else if (A[mid] > x) {
                upper = mid;
            }
            else {
                //@ assert A[mid] < x;
                lower = mid+1;
            }
        }

    // @ assert lower == upper;

    return -1;
}
```

# Binary Search

```
int search(int x, int[] A, int n)
//@requires 0 <= n && n <= \length(A);
//@requires is_sorted(A, 0, n);
/*@ensures (\result == -1 && !is_in(x, A, 0, n))
           || (0 <= \result && \result < n && A[\result] == x); @*/
{
    int lower = 0;
    int upper = n;

    // look in A[lower,upper)

    while (lower < upper)
        //@ loop_invariant 0 <= lower && lower <= upper && upper <= n;
        //@ loop_invariant lower == 0 || (x > A[lower - 1]);
        //@ loop_invariant upper == n || x < A[upper];
        {
            int mid = lower + (upper - lower) / 2;
            //@ assert lower <= mid && mid < upper;
            if (A[mid] == x) { return mid; }
            else if (A[mid] > x) {
                upper = mid;
            }
            else {
                //@ assert A[mid] < x;
                lower = mid+1;
            }
        }

    // @ assert lower == upper;

    return -1;
}
```

Assignment: binary  
search for *first*  
occurrence

# Data structure invs

```
struct heap_header {
    int limit;        /* limit = capacity+1 */
    int next;         /* 1 <= next && next <= limit */
    elem[] data;      /* \length(data) == limit */
};
typedef struct heap_header* heap;

/* Just checks the basic invariants described above, none
 * of the ordering invariants. */
bool is_safe_heap(struct heap_header* H) {
    if (H == NULL) return false;
    if (!(1 <= H->next && H->next <= H->limit)) return false;
    //@assert \length(H->data) == H->limit;
    return true;
}
```

# Data structure invs

```
bool is_heap(struct heap_header* H)
{
    if (!is_safe_heap(H)) return false;

    for (int i = 2; i < H->next; i = i + 1)
        //@loop_invariant 2 <= i;
        {
            if (!(priority(H,i) >= priority(H,parent(i)))) return false;
        }

    return true;
}
```

# Representation invs

```
/** Client interface */  
  
// typedef _____ pq_elem;  
  
int pq_elem_priority(pq_elem e);  
  
/** Library interface */  
  
// typedef _____ pq;  
typedef struct heap_header* pq;  
  
bool pq_empty(pq P);  
  
pq pq_new(int capacity)  
/*@ensures pq_empty(\result); @*/ ;  
  
void pq_insert(pq P, pq_elem e)  
  
pq_elem pq_delmin(pq P)  
/*@requires !pq_empty(P); @*/ ;
```

# Preservation

```
void pq_insert(heap H, elem e)
//@requires is_heap(H);
//@ensures is_heap(H);
{
    H->data[H->next] = e;
    int except = H->next;
    H->next = H->next + 1;

    // not necessarily is_heap(H)
    //@assert is_heap_except_up(H,except);

    // sift up

    while (except != 1 &&
           priority(H,except) < priority(H,parent(except)))
        //@ loop_invariant is_heap_except_up(H,except);
        {
            swap(H->data,except,parent(except));
            except = parent(except);
        }

    // @assert is_heap(H);
}
```

# Data structure invs

```
bool is_heap_except_up(heap H, int except)
// ensures is_heap_except_up(H,1) == is_heap(H)
{
    if (!is_safe_heap(H)) {return false;}

    for (int n = 2; n < H->next ; n = n + 1)
        //@ loop_invariant (2 <= n);
        {
            if (n != except) {
                if (!(priority(H,n) >= priority(H,parent(n)))) {
                    return false;
                }
            }

            if ((n == left(except) || n == right(except))
                && exists(parent(except),H->next)) {
                if (!(priority(H,n) >= priority(H,parent(except)))) {
                    return false;
                }
            }
        }

    return true;
}
```

# Heaps

- (f) Draw a picture of what happens when the swap on line `/*2*/` is performed. Explain why the loop invariant is preserved in this case.

**Solution:**

# Data structure invs

**Task 1 (6 pts)** *A valid text buffer satisfies all the invariants described above: it is a valid doubly-linked list containing valid size-16 gap buffers, it is aligned, and it consists of either one empty gap buffer or one or more non-empty gap buffers. Implement the function*

```
bool is_tbuf(tbuf B)
```

*that formalizes the text buffer data structure invariants.*

# Contracts

```
'w': START <--> abc12345[...] <--> _678#w[...]WXYZdefgh_ <--> [...]ABCDEFGH <--> END
'x': START <--> abc12345[...] <--> _678#wx[...]WXYZdefgh_ <--> [...]ABCDEFGH <--> END
'y': START <--> abc12345[...] <--> _678#wxy[]WXYZdefgh_ <--> [...]ABCDEFGH <--> END
'z': START <--> abc12345[...] <--> _678#wxyz[...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
'#': START <--> abc12345[...] <--> _678#wxyz#[...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
del: START <--> abc12345[...] <--> _678#wxyz[...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
del: START <--> abc12345[...] <--> _678#wxy[...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
del: START <--> abc12345[...] <--> _678#wx[...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
del: START <--> abc12345[...] <--> _678#w[...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
del: START <--> abc12345[...] <--> _678#[...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
del: START <--> abc12345[...] <--> _678[...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
del: START <--> abc12345[...] <--> _67[...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
del: START <--> abc12345[...] <--> _6[...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
del: START <--> abc12345[...] <--> _[...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
del: START <--> _abc1234[...]_ <--> [...]W_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
=> : START <--> abc1234[...] <--> _W[...]_ <--> [...]XYZdefgh <--> [...]ABCDEFGH <--> END
del: tbuf.c0:197.4-197.23: @ensures annotation failed
```

```
void tbuf_delete(tbuf B)
//@requires is_tbuf(B);
//@ensures is_tbuf(B);
```

Functional

# Specifications

**Beyond requires/ensures**

Specs relating multiple functions

Propositions, not booleans

# Computing by calculation

$(1 + 2) * (3 + 4)$

$\mapsto 3 * (3 + 4)$

(because  $1 + 2 \mapsto 3$ )

$\mapsto 3 * 7$

(because  $3 + 4 \mapsto 7$ )

$\mapsto 21$

# Contextual equivalence

$(\text{fn } x \Rightarrow e) \ e' == [e'/x]e \quad \text{if } e' \text{ valuable}$

$e1 \ e2 == e1 \ e2' \quad \text{if } e2 == e2'$   
 $e1 \ e2 == e1' \ e2 \quad \text{if } e1 == e1'$

$f == g : T2 \rightarrow T \quad \text{if}$   
 $\text{for all values } v : T2, f \ v == g \ v : T$

**Task 2.1** (5%). Write the function

$$\text{zip} : \text{int list} * \text{string list} \rightarrow (\text{int} * \text{string}) \text{ list}$$

**Task 2.2** (5%). Write the function

$$\text{unzip} : (\text{int} * \text{string}) \text{ list} \rightarrow \text{int list} * \text{string list}$$

**Task 2.3** (10%). Prove Theorem 1.

**Theorem 1.** *For all  $l : (\text{int} * \text{string}) \text{ list}$ ,  $\text{zip}(\text{unzip } l) \cong l$ .*

**Task 2.4** (4%). Prove or disprove Theorem 2.

**Theorem 2.** *For all  $l1 : \text{int list}$  and  $l2 : \text{string list}$ ,*

$$\text{unzip}(\text{zip } (l1, l2)) \cong (l1, l2)$$

# Props, not bools

|                      |     |                                                                               |
|----------------------|-----|-------------------------------------------------------------------------------|
| $s \in L(0)$         | iff | $\perp$                                                                       |
| $s \in L(1)$         | iff | $s = []$                                                                      |
| $s \in L(c)$         | iff | $s = [c]$                                                                     |
| $s \in L(r_1 + r_2)$ | iff | $s \in L(r_1) \vee s \in L(r_2)$                                              |
| $s \in L(r_1 r_2)$   | iff | $\exists s_1, s_2. (s = s_1 s_2) \wedge s_1 \in L(r_1) \wedge s_2 \in L(r_2)$ |

# Props, not bools

|                      |     |                                                                               |
|----------------------|-----|-------------------------------------------------------------------------------|
| $s \in L(0)$         | iff | $\perp$                                                                       |
| $s \in L(1)$         | iff | $s = []$                                                                      |
| $s \in L(c)$         | iff | $s = [c]$                                                                     |
| $s \in L(r_1 + r_2)$ | iff | $s \in L(r_1) \vee s \in L(r_2)$                                              |
| $s \in L(r_1 r_2)$   | iff | $\exists s_1, s_2. (s = s_1 s_2) \wedge s_1 \in L(r_1) \wedge s_2 \in L(r_2)$ |

# Props, not bools

|                      |     |                                                                               |
|----------------------|-----|-------------------------------------------------------------------------------|
| $s \in L(0)$         | iff | $\perp$                                                                       |
| $s \in L(1)$         | iff | $s = []$                                                                      |
| $s \in L(c)$         | iff | $s = [c]$                                                                     |
| $s \in L(r_1 + r_2)$ | iff | $s \in L(r_1) \vee s \in L(r_2)$                                              |
| $s \in L(r_1 r_2)$   | iff | $\exists s_1, s_2. (s = s_1 s_2) \wedge s_1 \in L(r_1) \wedge s_2 \in L(r_2)$ |

want to reason logically about existential,  
not about try-all-splits implementation

# Props, not bools

$$\begin{array}{lcl} s \in L(r^*) & \text{iff} & s \in L^*(r) \\ & & \overline{\quad} \in L^*(r) \\ & & \frac{s = s_1 s_2 \quad s_1 \in L(r) \quad s_2 \in L^*(r)}{s \in L^*(r)} \end{array}$$

inner inductive definition of  $L^*$

# Props, not bools

```
match : regexp -> char list -> (char list -> bool) -> bool
```

*Soundness* For all  $cs, k$ , if  $\text{match } r \text{ } cs \text{ } k \cong \text{true}$   
then there exist  $p, s$  such that  $p@s \cong cs$  and  $p \in L(r)$  and  $k \text{ } s \cong \text{true}$ .

*Completeness* For all  $cs, k$ ,  
if (there exist  $p, s$  such that  $p@s \cong cs$  and  $p \in L(r)$  and  $k \text{ } s \cong \text{true}$ )  
then  $\text{match } r \text{ } cs \text{ } k \cong \text{true}$ .

# Regexp

```
fun match (r : regexp) (cs : char list) (k : char list -> bool) : bool =  
  case r of  
    Zero => false  
  | One => k cs  
  | Char c => (case cs of  
                 [] => false  
               | c' :: cs' => chareq (c,c') andalso k cs')  
  | Plus (r1,r2) => match r1 cs k orelse match r2 cs k  
  | Times (r1,r2) => match r1 cs (fn cs' => match r2 cs' k)  
  | Star r =>  
    let fun matchstar cs' = k cs' orelse match r cs' matchstar  
    in  
      matchstar cs  
    end
```

# Regexp

```
fun match (r : regexp) (cs : char list) (k : char list -> bool) : bool =  
  case r of  
    Zero => false  
  | One => k cs  
  | Char c => (case cs of  
    [] => false  
    | c' :: cs' => chareq (c,c') andalso k cs')  
  | Plus (r1,r2) => match r1 cs k orelse match r2 cs k  
  | Times (r1,r2) => match r1 cs (fn cs' => match r2 cs' k)  
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    let fun matchstar cs' = k cs' orelse match r cs' matchstar  
    in  
      matchstar cs  
    end  
end
```

termination bug

# Proof-directed debugging

$$s \in L(r_1 \cap r_2) \quad \text{iff} \quad s \in L(r_1) \wedge s \in L(r_2)$$

# Modularity

```
signature ORDERED =  
sig  
  type t  
  val compare : t * t -> order  
end  
  
signature DICT =  
sig  
  structure Key : ORDERED  
  type 'v dict  
  
  val empty   : 'v dict  
  val insert  : 'v dict -> (Key.t * 'v) -> 'v dict  
  val lookup  : 'v dict -> Key.t -> 'v option  
end
```

# Representation invs

A RBT satisfies

(red) no red node has a red child

(black) all paths from the root to a leaf have the same number of black nodes

(\* If  $d$  is a RBT then  $\text{insert } d(k, v)$  is a RBT \*)

**fun**  $\text{insert } d(k, v) = \dots$

# Representation invs

```
signature QUEUE=  
sig  
  type queue  
  val emp : queue  
  val ins : int * queue -> queue  
  val rem : queue -> (int * queue) option  
end
```

$$\mathcal{R}(l:\text{int list}, (f,b):\text{int list} * \text{int list}) \quad \text{iff} \quad l \cong f@(\text{rev } b)$$

and  $\mathcal{R}$  respects equivalence in that if  $l \cong l'$ ,  $(f,b) \cong (f',b')$ , and  $\mathcal{R}(l, (f,b))$  then  $\mathcal{R}(l', (f',b'))$ .

Showing that this relation is respected by both implementations for all the values in QUEUE amounts to proving the following theorem:

**Theorem 1.**

(i.) *The empty queues are related:*

$$\mathcal{R}(LQ.\text{emp}, LLQ.\text{emp})$$

(ii.) *Insertion preserves relatedness:*

*For all  $x:\text{int}$ ,  $l:\text{int list}$ ,  $f:\text{int list}$ ,  $b:\text{int list}$*

$$\text{If } \mathcal{R}(l, (f,b)), \text{ then } \mathcal{R}(LQ.\text{ins}(x, l), LLQ.\text{ins}(x, (f,b)))$$

(iii.) *On related queues, removal gives equal integers and related queues:*

*For all  $l:\text{int list}$ ,  $f:\text{int list}$ ,  $b:\text{int list}$ , if  $\mathcal{R}(l, (f,b))$  then one of the following is true:*

(a)  $LQ.\text{rem } l \cong \text{NONE}$  and  $LLQ.\text{rem } (f,b) \cong \text{NONE}$

(b) *There exist  $x:\text{int}$ ,  $y:\text{int}$ ,  $l':\text{int list}$ ,  $f':\text{int list}$ ,  $b':\text{int list}$ , such that*

*i.  $LQ.\text{rem } l \cong \text{SOME}(x, l')$*

*ii.  $LLQ.\text{rem } (f,b) \cong \text{SOME}(y, (f',b'))$*

*iii.  $x \cong y$*

*iv.  $\mathcal{R}(l', (f',b'))$*

# Verification

safety

behavioral specifications

representation invariants + modularity

booleans and propositions

contracts

# Slogans

proof-oriented programming

deliberate programming

proof-directed debugging

Parallelism

# Parallelism

recognize the dependencies

deterministic parallelism

language-based cost model

asymptotic analysis

# Parallelism != Concurrency

parallelism: multiple processors/cores.  
property of the machine.

concurrency: interleaving of threads.  
property of the application.

# Parallelism != Concurrency

|          | sequential                       | concurrent          |
|----------|----------------------------------|---------------------|
| serial   | traditional algorithms           | traditional OS      |
| parallel | <b>deterministic parallelism</b> | general parallelism |

# Parallel Calculation

```
(1 + 2) * (3 + 4)
|-> 3 * (3 + 4)      (because 1 + 2 |-> 3)
|-> 3 * 7            (because 3 + 4 |-> 7)
|-> 21
```

```
(1 + 2) * (3 + 4)
|=> 3 * 7              (because 1 + 2 |=> 3 AND 3 + 4 |=> 7)
|=> 21
```

# Parallel Calculation

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|-> 21
```

```
(1 + 2) * (3 + 4)
|=> 3 * 7              (because 1 + 2 |=> 3 AND 3 + 4 |=> 7)
|=> 21
```

**verification** is the same as without parallelism

# Work and span

work is usual serial time complexity

$$\text{work } \langle e_1, e_2 \rangle = \text{work}(e_1) + \text{work}(e_2)$$

span is parallel time complexity

$$\text{span } \langle e_1, e_2 \rangle = \max(\text{span}(e_1), \text{span}(e_2))$$

# Mergesort

```
fun split (l : int list) : int list * int list =
  case l of
    [] => ([], [])
  | [x] => ([x], [])
  | x :: y :: xs => let val (pile1 , pile2) =
                      split xs
                    in (x :: pile1 , y :: pile2)
                    end

fun merge (l1 : int list , l2 : int list) : int list =
  case (l1 , l2) of
    ([], l2) => l2
  | (l1 , []) => l1
  | (x :: xs , y :: ys) =>
    (case x < y of
      true => x :: (merge (xs , l2))
    | false => y :: (merge (l1 , ys)))

fun mergesort (l : int list) : int list =
  case l of
    [] => []
  | [x] => [x]
  | _ => let val (pile1,pile2) = split l
        in
          merge (mergesort pile1, mergesort pile2)
        end
```

# Work

[7,1,3,6,8,4,2,5]

# Work

[7,1,3,6,8,4,2,5]

[7,1,3,6]

[8,4,2,5]

# Work

[7,1,3,6,8,4,2,5]

[7,1,3,6]

[8,4,2,5]

[7,1]

[3,6]

[8,4]

[2,5]

# Work

[7,1,3,6,8,4,2,5]

[7,1,3,6]

[8,4,2,5]

[7,1]

[3,6]

[8,4]

[2,5]

[7]

[1]

[3]

[6]

[8]

[4]

[2]

[5]

# Work

[7,1,3,6,8,4,2,5]

[7,1,3,6]

[8,4,2,5]

[7,1]

[3,6]

[8,4]

[2,5]

[7]

[1]

[3]

[6]

[8]

[4]

[2]

[5]

$$W(n) = n + 2 W(n/2) \quad \text{is } O(n \log n)$$

# Span

[7,1,3,6,8,4,2,5]

[7,1,3,6]

[8,4,2,5]

[7,1]

[3,6]

[8,4]

[2,5]

[7]

[1]

[3]

[6]

[8]

[4]

[2]

[5]

# Span

[7,1,3,6,8,4,2,5]

[7,1,3,6]

[8,4,2,5]

[7,1]

[3,6]

[8,4]

[2,5]

[7]

[1]

[3]

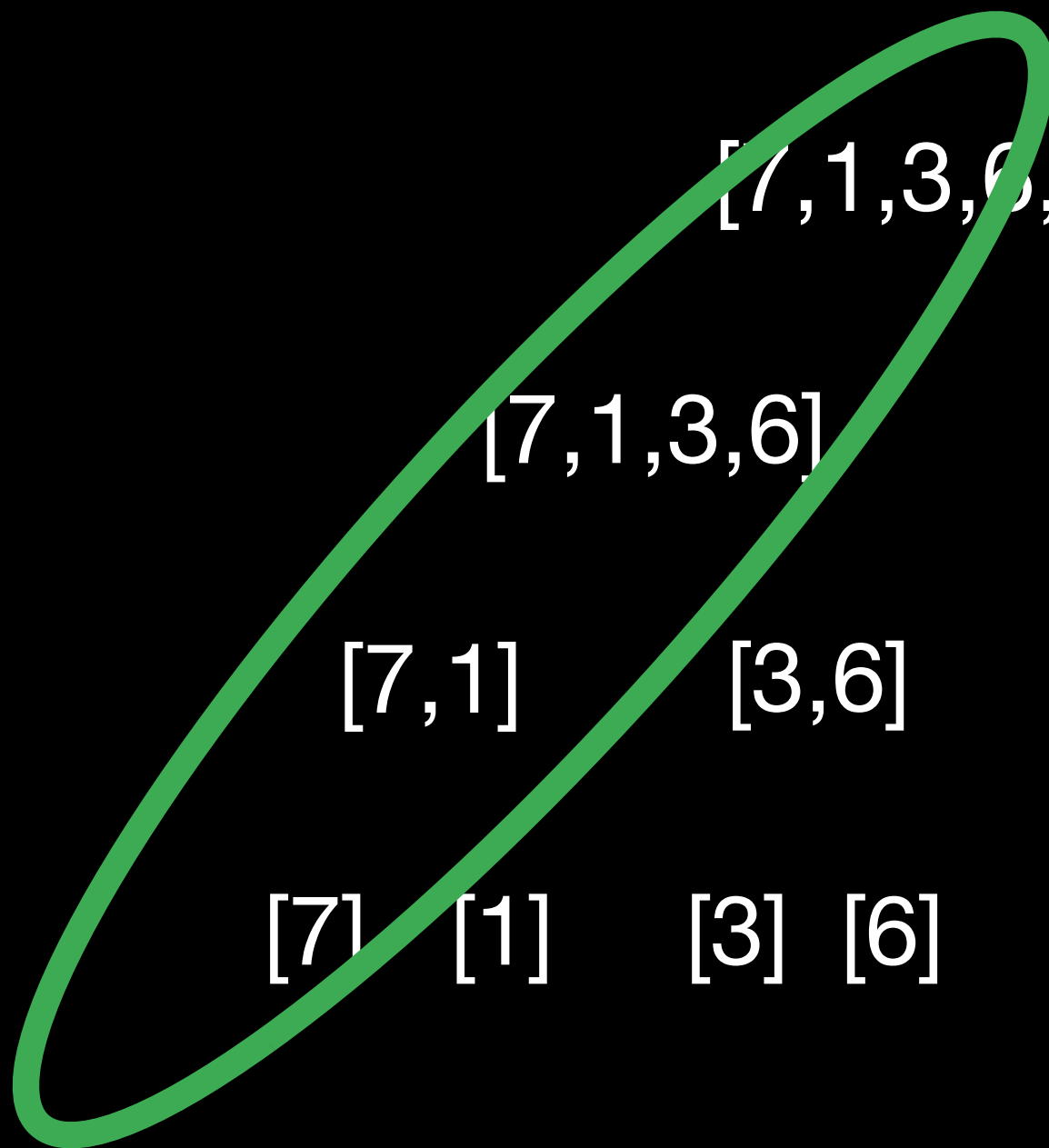
[6]

[8]

[4]

[2]

[5]



# Span

[7,1,3,6,8,4,2,5]

[7,1,3,6]

[8,4,2,5]

[7,1]

[3,6]

[8,4]

[2,5]

[7]

[1]

[3]

[6]

[8]

[4]

[2]

[5]

$$S(n) = n + S(n/2) \quad \text{is } O(n)$$

# Mergesort

on lists:             $O(n \log n)$  work  
                          $O(n)$  span

on trees:             $O(n \log n)$  work  
                          $O((\log n)^3)$  span

```

fun splitAt (t : tree , bound : int) : tree * tree =
  case t of
    Empty => (Empty , Empty)
  | Node (l , x , r) =>
    (case bound < x of
      true => let val (l1 , lr) = splitAt (l , bound)
              in (l1 , Node (lr , x , r))
              end
      | false => let val (r1 , rr) = splitAt (r , bound)
                 in (Node (l , x , r1) , rr)
                 end)

```

```

fun merge (t1 : tree , t2 : tree) : tree =
  case t1 of
    Empty => t2
  | Node (l1 , x , r1) =>
    let val (l2 , r2) = splitAt (t2 , x)
    in
      Node (merge (l1 , l2) ,
            x ,
            merge (r1 , r2))
    end

```

```

fun mergesort (t : tree) : tree =
  case t of
    Empty => Empty
  | Node (l , x , r) =>
    merge(merge (mergesort l , mergesort r),
          Node(Empty,x,Empty))

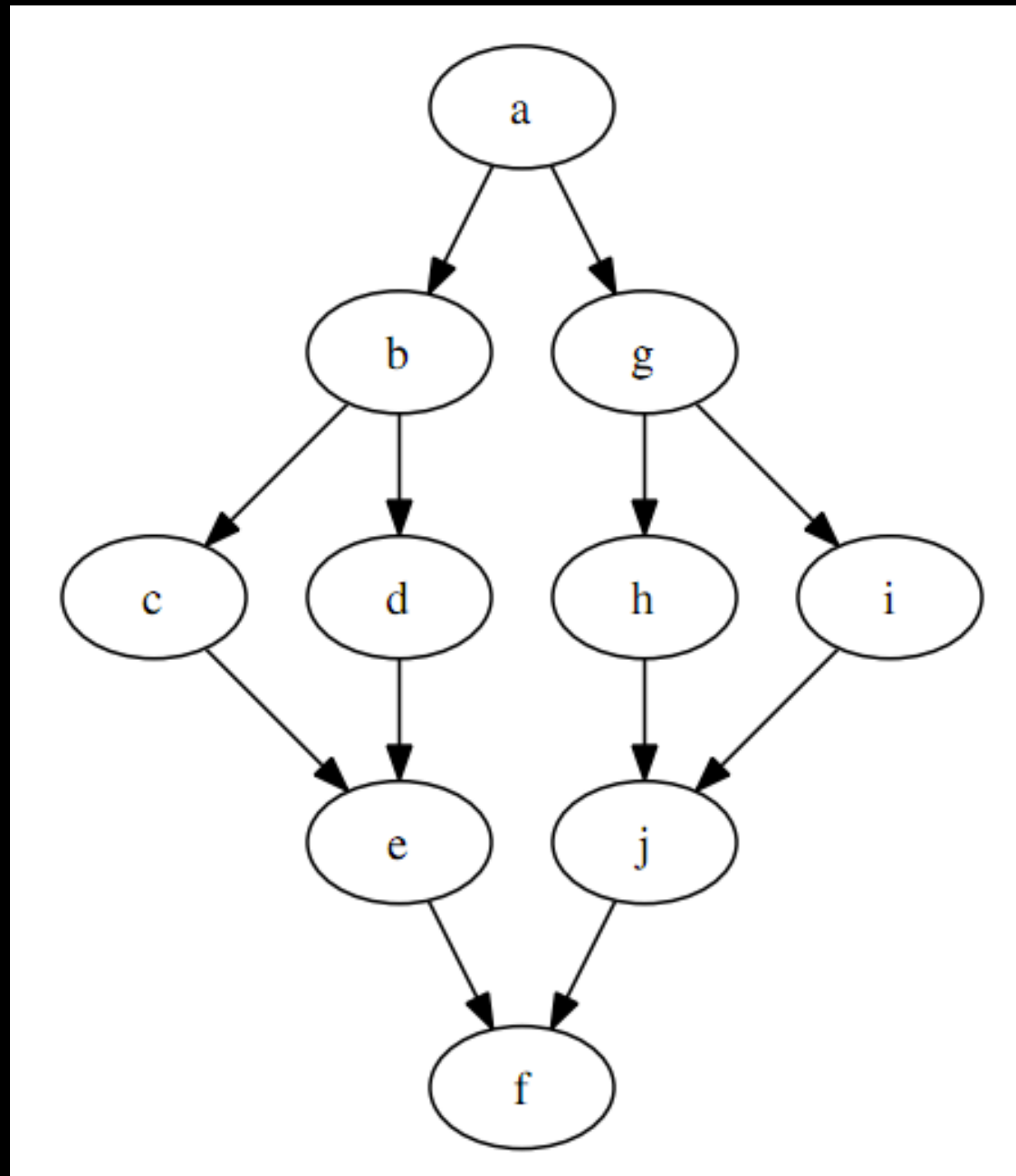
```

# Design principles

keep work low (ideally *work-efficient*)

then minimize span

**Brent's principle:** time to run on  $p$  processors is  $O(\max(\text{work}/p, \text{span}))$



# Sequences

```
(* map f <x1, ..., xn> == <f x1, ..., f xn>  
   work 0(n)  
   span 0(1)  
   *)
```

```
val map : ('a -> 'b) -> 'a seq -> 'b seq
```

```
(* reduce op b <x1, ..., xn> == x1 op x2 ... op xn  
   work 0(n)  
   span (log n)  
   *)
```

```
val reduce : (('a * 'a) -> 'a) -> 'a -> 'a seq -> 'a
```

# n-body simulation

```
fun accelerations (bodies : body Seq.seq)  
  : vec Seq.seq =  
  Seq.map  
    (fn b1 =>  
      sum bodies (fn b2 => acc0n (b1 , b2)))  
  bodies
```

quadratic work

logarithmic span

# Barnes-Hut

divide space recursively into quadrants,  
approximating contribution of bodies that  
are too far away by their center of mass

$O(n \log(n))$  work

logarithmic span

# Game tree search

Minimax: at each node,  
work is  $O(\text{children})$   
span is  $O(\log \text{ children})$

Alpha-beta pruning:  
work is better  
but span is linear

Jamboree: trade work for span

# Data structures and algorithms

divide and conquer

sequences

sets and tables

randomization

dynamic programming

BFS/DFS

shortest paths

**graph contractability**

treaps

leftist heaps

# Implementation

Nested parallelism can be realized in

Nesl

Manticore (SML)

Parallel Haskell

Cilk

TPL (C#/F#)

OpenMP

# Parallelism

recognize the dependencies

deterministic parallelism

language-based cost model

asymptotic analysis

# Objectives

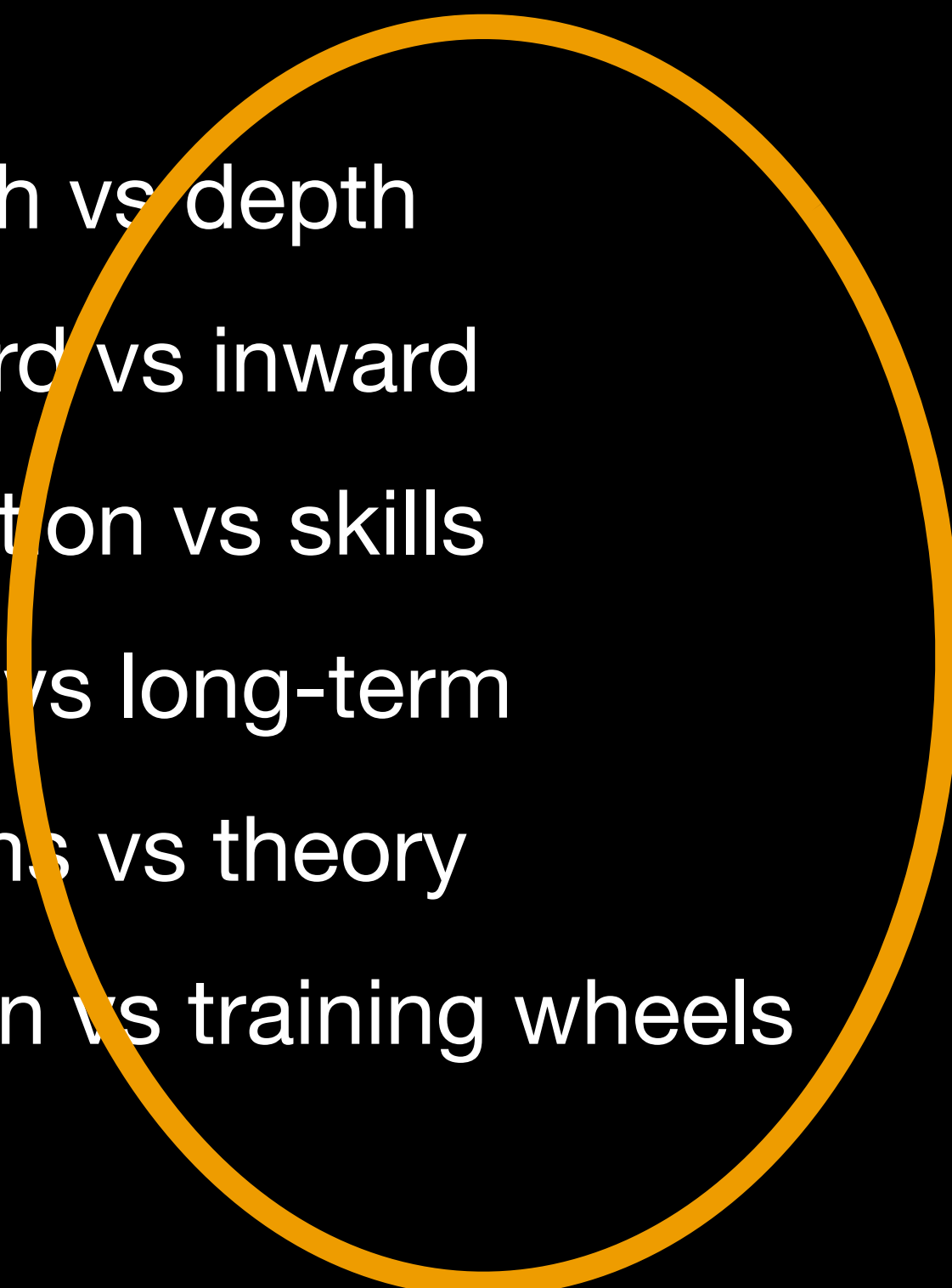
computational thinking

imperative and functional programming

parallel algorithm design and analysis

specification and verification

# Trade-offs

- Breadth vs depth
  - Outward vs inward
  - Motivation vs skills
  - Short- vs long-term
  - Systems vs theory
  - Jump in vs training wheels
- 

# CMU Intro Courses

Fundamentals of Computing

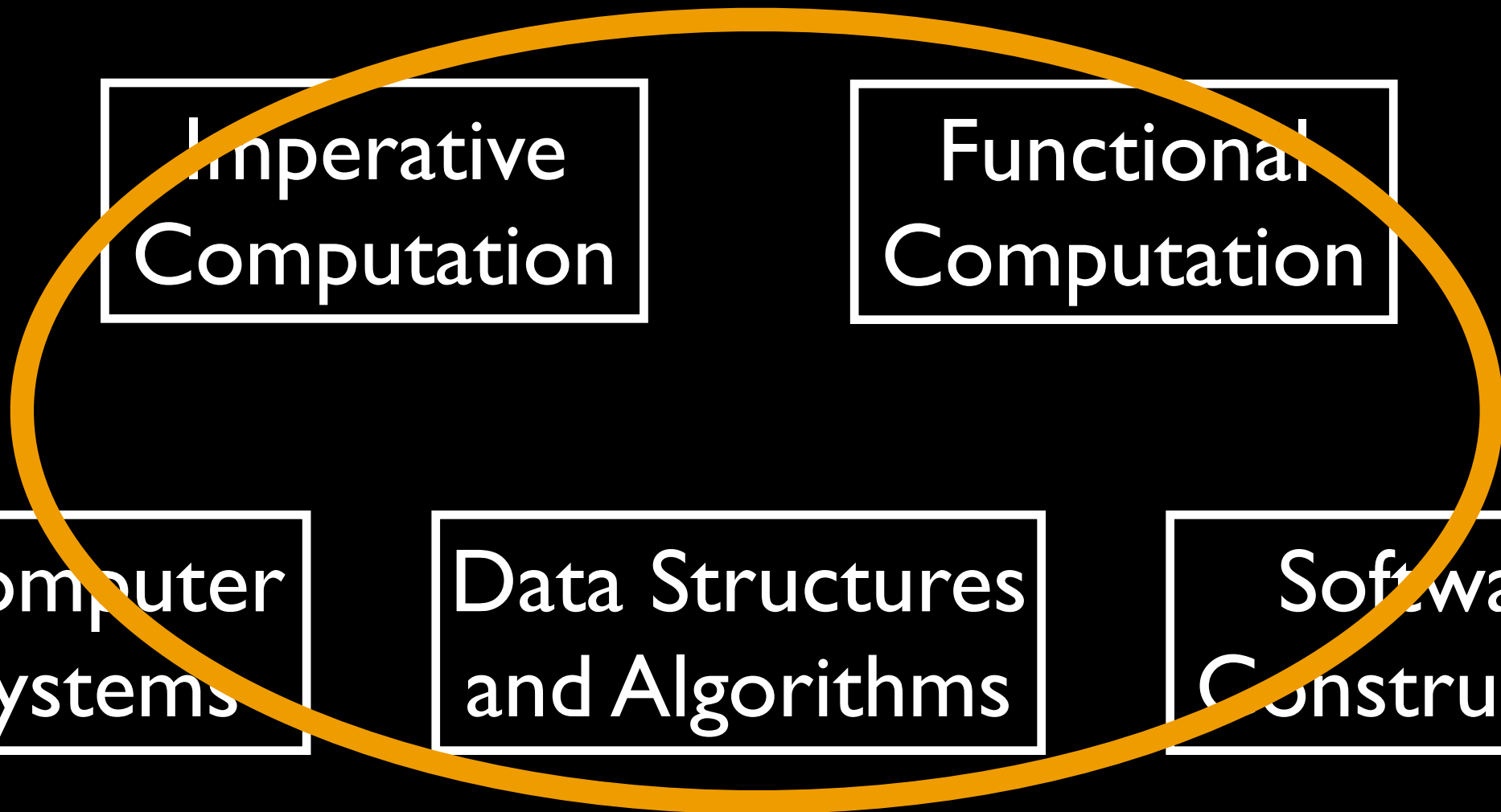
Imperative  
Computation

Functional  
Computation

Computer  
Systems

Data Structures  
and Algorithms

Software  
Construction



# Activities

lecture

lab/recitation

homework

**lots** of TA homework help time

**lots** of TA grading time

# Experiment

CMU courses can work elsewhere,  
with some adaptation to context

Wesleyan this spring: 2/3 of CMU Imperative

Wesleyan next year: 3/4 of CMU Functional?